## **Combinatorial Search**



permutations
backtracking
counting
subsets
paths in a graph

#### Overview

Exhaustive search. Iterate through all elements of a search space.

Backtracking. Systematic method for examining feasible solutions to a problem, by systematically eliminating infeasible solutions.

Applicability. Huge range of problems (include NP-hard ones).

Caveat. Search space is typically exponential in size  $\Rightarrow$  effectiveness may be limited to relatively small instances.

Caveat to the caveat. Backtracking may prune search space to reasonable size, even for relatively large instances

#### Warmup: enumerate N-bit strings

Problem: process all 2<sup>N</sup> N-bit strings (stay tuned for applications).



Invariant (prove by induction);

Enumerates all (N-k)-bit strings and cleans up after itself.

```
Warmup: enumerate N-bit strings (full implementation)
      Equivalent to counting in binary from 0 to 2^{N} - 1.
                 public class Counter
                                                                 private void process()
                    private int N; // number of bits
                    private int[] a; // bits (0 or 1)
                                                                    for (int i = 0; i < N; i++)
                                                                       StdOut.print(a[i]);
                    public Counter(int N)
                                                                    StdOut.println();
                    ſ
                                                                 }
                       this.N = N;
                       a = new int[N];
                       for (int i = 0; i < N; i++)
                                                                        % java Counter 4
                            a[i] = 0; _____ optional
all the programs
                       enumerate(0);
                                                                        0000
                                      (in this case)
in this lecture
                    }
                                                                        0001
are variations
                                                                        0010
                    private void enumerate(int k)
on this theme
                                                                        0011
                                                                        0100
                      if (k == N)
                                                                        0101
                       { process(); return; }
                                                                        0110
                      enumerate(k+1);
                                                                        0111
                      a[k] = 1;
                                                                       1000
                      enumerate(k+1);
                      a[k] = 0;
                                                                        1001
                    }
                                                                        1010
                                                                        1011
                    public static void main(String[] args)
                                                                        1100
                                                                        1101
                       int N = Integer.parseInt(args[0]);
                                                                        1110
                       Counter c = new Counter(N);
                                                                        1111
                                                                                              4
```

### permutations

backtracking
counting
subsets
paths in a graph

#### N-rooks Problem

How many ways are there to place

N rooks on an N-by-N board so that no rook can attack any other?



No two in the same row, so represent solution with an array a[i] = column of rook in row i. No two in the same column, so array entries are all different a[] is a permutation (rearrangement of 0, 1, ... N-1)

Answer: There are N! non mutually-attacking placements. Challenge: Enumerate them all.

#### Enumerating permutations

Recursive algorithm to enumerate all N! permutations of size N:

- Start with 0 1 2 ... N-1.
- For each value of i
  - swap i into position 0
  - enumerate all (N-1)! arrangements of a [1..N-1]
  - clean up (swap i and o back into position)



7

#### N-rooks problem (enumerating all permutations): scaffolding

```
public class Rooks
ł
   private int N;
   private int[] a;
   public Rooks(int N)
      this.N = N;
      a = new int[N];
                                                     initialize a [0..N-1] to 0..N-1
      for (int i = 0; i < N; i++)
         a[i] = i;
      enumerate(0);
   }
   private void enumerate(int k)
   { /* See next slide. */ }
   private void exch(int i, int j)
   { int t = a[i]; a[i] = a[j]; a[j] = t; }
   private void process()
   {
      for (int i = 0; i < N; i++)
          StdOut.print(a[i] + " ");
      StdOut.println();
   }
                                              % java Rooks 3
   public static void main(String[] args)
                                              0 1 2
   ł
                                              021
      int N = Integer.parseInt(args[0]);
                                              102
      Rooks t = new Rooks(N);
                                              1 2 0
      t.enumerate(0);
                                              2 1 0
   }
                                              201
}
```

8

#### N-rooks problem (enumerating all permutations): recursive enumeration

Recursive algorithm to enumerate all N! permutations of size N:

- Start with 0 1 2 ... N-1.
- For each value of i
  - swap i into position 0
  - enumerate all (N-1)! arrangements of a [1..N-1]
  - clean up (swap i and o back into position)

```
private void enumerate(int k)
{
    if (k == N)
    {
        process();
        return;
    }
    for (int i = k; i < N; i++)
    {
        exch(a, k, i);
        enumerate(k+1);
        exch(a, k, i);
    }
} clean up
</pre>
```







#### N-Queens problem

How many ways are there to place

N queens on an N-by-N board so that no queen can attack any other?



Representation. Same as for rooks:

represent solution as a permutation: a[i] = column of queen in row i.

Additional constraint: no diagonal attack is possible



Challenge: Enumerate (or even count) the solutions



#### N Queens: Backtracking solution

Iterate through elements of search space.

- when there are N possible choices, make one choice and recur.
- if the choice is a dead end, backtrack to previous choice, and make next available choice.

Identifying dead ends allows us to prune the search tree

#### For N queens:

- dead end: a diagonal conflict
- pruning: backtrack and try next row when diagonal conflict found

#### In general, improvements are possible:

- try to make an "intelligent" choice
- try to reduce cost of choosing/backtracking



#### N-Queens: Backtracking solution

```
private boolean backtrack(int k)
- F
   for (int i = 0; i < k; i++)
                                                                    % java Queens 4
   ł
      if ((a[i] - a[k]) == (k - i)) return true;
                                                                    1 3 0 2
      if ((a[k] - a[i]) == (k - i)) return true;
                                                                    2031
   }
   return false;
                                                                    % java Queens 5
                                                                    0 2 4 1 3
}
                                              stop enumerating
                                                                    0 3 1 4 2
                                              if adding the n<sup>th</sup>
private void enumerate(int k)
                                                                    1 3 0 2 4
                                              queen leads to a
                                                                    14203
   if (k == N)
                                              diagonal violation
                                                                    20314
                                                                    24130
   £
      process();
                                                                     31420
      return;
                                                                    30241
   }
                                                                    4 1 3 0 2
   for (int i = k; i < N; i++)
                                                                    4 2 0 3 1
   ł
      exch(a, k, i);
                                                                    % java Queens 6
      if (! backtrack(k)) enumerate(k+1);
                                                                    1 3 5 0 2 4
      exch(a, k, i);
                                                                    251403
   }
                                                                    304152
}
                                                                    4 2 0 5 3 1
```

N-Queens: Effectiveness of backtracking													
	Pruning the search tree leads to enormous time savings												
	N	2	3	4	5	6	7	8	9	10	11	12	
	Q(N)	0	0	2	10	4	40	92	352	724	2,680	14,200	
	N!	2	6	24	120	720	5,040	40,320	362,880	3,628,800	39,916,800	479,001,600	
	N			13			14		15		16		
	Q(N)		7	3,712		30	65,596		2,279,184		14,772,5	12	
	N!		6,227	,020,8	300	87,17	8,291,200	1 ,30	7,674,368,0	00	20, 922,789,8	88,000	
										savinas	: factor of mor	re than 1-million	
										ournigo			
												18	

#### N-Queens: How many solutions?

#### Answer to original question easy to obtain:

- add an instance variable to count solutions (initialized to 0)
- change process() to increment the counter
- add a method to return its value

<pre>% java Queens 4 2 solutions</pre>
<pre>% java Queens 8 92 solutions</pre>
<pre>% java Queens 16 14772512 solutions</pre>

Source: On-line encyclopedia of integer sequences, N. J. Sloane [sequence A000170]

N	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Q(N)	0	0	2	10	4	40	92	352	724	2,680	14,200	73,712	365,596	2,279,184
N		16	,		17		1	8		19				25
Q(N)	14	,772	2,512	. 9	5,815,	104	666,0	90,624	4,96	8,057,8	48	•	2, 207,893	3,435,808,35
												to	ok 53 years	↑ of CPU time

#### N-queens problem: back-of-envelope running time estimate

Hypothesis ??



Hypothesis: Running time is about (N/2)! seconds.



▶ permutations
▶ backtracking
▶ counting
▶ subsets
paths in a graph

#### Counting: Java Implementation

Problem: enumerate all N-digit base-R numbers Solution: generalize binary counter in lecture warmup

```
enumerate N-digit base-R numbers
```

enumerate binary numbers (from warmup)

```
private void enumerate(int k)
{
    if (k == N)
    {       process(); return; }
    enumerate(k+1);
    a[k] = 1;
    enumerate(k+1);
    a[k] = 0;
}    clean up
```

	0	0	0	1	0	0	2	0	0	
	0	0	1	1	0	1	2	0	1	
	0	0	2	1	0	2	2	0	2	
	0	1	0	1	1	0	2	1	0	
	0	1	1	1	1	1	2	1	1	
	0	1	2	1	1	2	2	1	2	
	0	2	0	1	2	0	2	2	0	
	0	2	1	1	2	1	2	2	1	
example showing	0	2	2	1	2	2	2	2	2	
cleanups that	0	2	0							
zero out digits	0	0	0							

#### Counting application: Sudoku

Problem:

Fill 9-by-9 grid so that every row, column, and box contains each of the digits 1 through 9.



Remark: Natural generalization is NP-hard.

#### Counting application: Sudoku

Problem:

Fill 9-by-9 grid so that every row, column, and box contains each of the digits 1 through 9.

7	2	8	9	4	6	3	1	5
9	3	4	2	5	1	6	7	8
5	1	6	7	3	8	2	4	9
1	4	7	5	9	3	8	2	6
3	6	9	4	8	2	1	5	7
8	5	2	1	6	7	4	9	3
2	9	3	6	1	5	7	8	4
4	8	1	3	7	9	5	6	2
6	7	5	8	2	4	9	3	1

Solution: Enumerate all 81-digit base-9 numbers (with backtracking).



#### Sudoku: Backtracking solution

Iterate through elements of search space.

- For each empty cell, there are 9 possible choices.
- Make one choice and recur.
- If you find a conflict in row, column, or box, then backtrack.



#### Improvements are possible.

- try to make an "intelligent" choice
- try to reduce cost of choosing/backtracking

#### Sudoku: Java implementation

```
private static void solve(int cell)
                                                        int[81] board;
                                                        for (int i = 0; i < 81; i++)
                                                           board[i] = StdOut.readInt();
   if (cell == 81)
                                                        Solver s = new Solver(board);
      show(board); return; }
                                                        s.solve();
                                                        . . .
   if (board[cell] != 0)
                                         already filled in
      solve(cell + 1); return;
                                  }
                                                               % more board.txt
                                                               708000300
   for (int n = 1; n \le 9; n++)
                                                                  0201000
                                                               0 0
                                        - try all 9 possibilities
   {
                                                                  0 0 0
                                                                        0 0 0 0
      if (! backtrack(cell, n))
                                                               04000026
      ł
                                                                  0 0 8 0 0 0 0
                                          unless a Sudoku
         board[cell] = n;
                                                               0 0 0 1 0 0 0 9 0
                                                               090600004
         solve(cell + 1);
                                        constraint is violated
                                                               0 0 0 0 7 0 5 0 0
      }
                                       (see booksite for code)
                                                               0 0 0 0 0 0 0 0 0
   }
                                                               % java Solver
   board[cell] = 0;
                                          clean up
                                                               728946315
}
                                                               934251678
                                                               5 1
                                                                  6
                                                                      3
                                                                        8 2
                                                                    7
                                                                           49
                                                                  7
                                                                    5 9
                                                                        38
                                                                           2 6
                                                               3 6 9 4 8 2 1 5 7
                                                               8 5 2 1
                                                                      6
                                                                        74
                                                                           93
                                                               29361
                                                                        5784
                                                               481379562
                                                               675824931
Works remarkably well (plenty of constraints). Try it!
```



#### Enumerating subsets: natural binary encoding

Given n items, enumerate all 2<sup>n</sup> subsets.

- count in binary from 0 to  $2^n 1$ .
- bit i represents item i
- if 0, in subset; if 1, not in subset

i	binary	subset	complement
0	0 0 0 0	empty	4321
1	0001	1	4 3 2
2	0010	2	4 3 1
3	0011	2 1	43
4	0 1 0 0	3	4 2 1
5	0 1 0 1	31	4 2
6	0 1 1 0	32	4 1
7	0 1 1 1	321	4
8	1 0 0 0	4	321
9	1 0 0 1	4 1	32
10	1010	42	31
11	1011	4 2 1	3
12	1 1 0 0	43	2 1
13	1 1 0 1	4 3 1	2
14	1 1 1 0	432	1
15	1111	4321	empty

#### Enumerating subsets: natural binary encoding

Given N items, enumerate all  $2^N$  subsets.

- count in binary from 0 to  $2^{N}$  1.
- maintain a[i] where a[i] represents item i
- if 0, a[i] in subset; if 1, a[i] not in subset

#### Binary counter from warmup does the job

```
private void enumerate(int k)
{
    if (k == N)
    {       process(); return; }
    enumerate(k+1);
    a[k] = 1;
    enumerate(k+1);
    a[k] = 0;
}
```

#### Digression: Samuel Beckett play

Quad. Starting with empty stage, 4 characters enter and exit one at a time, such that each subset of actors appears exactly once.

code	subset	move
0 0 0 0	empty	
0001	1	enter 1
0011	2 1	enter 2
0010	2	exit 1
0110	3 2	enter 3
0111	321	enter 1
0101	3 1	exit 2
0 1 0 0	3	exit 1
1 1 0 0	4 3	enter 4
1 1 0 1	431	enter 1
1111	4 3 2 1	enter 2
1110	4 3 2	exit 1
1010	4 2	exit 3
1011	421	enter 1
1001	4 1	exit 2
1000	4	exit 1
		•



ruler function

#### Binary reflected gray code

The n-bit binary reflected Gray code is:

- the (n-1) bit code with a 0 prepended to each word, followed by
- the (n-1) bit code in reverse order, with a 1 prepended to each word.



#### Beckett: Java implementation

```
public static void moves(int n, boolean enter)
{
    if (n == 0) return;
    moves(n-1, true);
    if (enter) System.out.println("enter " + n);
    else System.out.println("exit " + n);
    moves(n-1, false);
}
```

% j <b>ava</b> B	eckett 4
enter 1	
enter 2	
exit 1	stage directions
enter 3	for 3-actor play
enter 1	
exit 2	
exit 1	
enter 4	
enter 1	
enter 2	
exit 1	reverse stage directions
exit 3	for 3-actor play
enter 1	
exit 2	moves(3, false)
exit 1	



#### Enumerating subsets using Gray code

#### Two simple changes to binary counter from warmup:

- flip a [k] instead of setting it to 1
- eliminate cleanup

```
Gray code enumeration
```

```
private void enumerate(int k)
{
    if (k == N)
    { process(); return; }
    enumerate(k+1);
    a[k] = 1 - a[k];
    enumerate(k+1);
}
```

```
standard binary (from warmup)
```

```
private void enumerate(int k)
{
    if (k == N)
    {       process(); return; }
    enumerate(k+1);
    a[k] = 1;
    enumerate(k+1);
    a[k] = 0;
}    clean up
```

000
001
010
011
100
101
110
111

Advantage (same as Beckett): only one item changes subsets

#### Scheduling

Scheduling (set partitioning). Given n jobs of varying length, divide among two machines to minimize the time the last job finishes.



#### Scheduling (full implementation)

```
public class Scheduler
```

{

{

}

}

```
int N; // Number of jobs.
int[] a; // Subset assignments.
int[] b; // Best assignment.
double[] jobs; // Job lengths.
```

public Scheduler(double[] jobs)

```
this.N = jobs.length;;
this.jobs = jobs;
a = new int[N];
b = new int[N];
for (int i = 0; i < N; i++)
        a[i] = 0;
for (int i = 0; i < N; i++)
        b[i] = a[i];
enumerate(0);
```

```
public int[] best()
{ return b; }
```

```
private void enumerate(int k)
{ /* Gray code enumeration. */ }
```

```
private void process()
{
    if (cost(a) < cost(b))
        for (int i = 0; i < N; i++)
            b[i] = a[i];
}</pre>
```

```
public static void main(String[] args)
{ /* Create Scheduler, print result. */ }
```

#### trace of

% java Scheduler 4 < jobs.txt</pre>

	a [	]		finish	times	cost
0 0 0 0 0 0 0 0 1 1 1 1 1	0 0 0 1 1 1 1 1 1 0 0	0 0 1 1 1 0 0 0 0 1 1 1 1	0 1 1 0 0 1 1 0 0 1 1 0 0 1	7.38 5.15 3.15 5.38 3.65 1.41 3.41 5.65 4.24 2.00 0.00 2.24 3.97 1.73	0.00 2.24 4.24 2.00 3.73 5.97 3.97 1.73 3.15 5.38 7.38 5.15 3.41 5.65	2.91 1.09 0.08
1	0	0	1	3.73	3.65	
1	0	0	0	5.97	1.41	
	MA	CHI	NE O	MAC	HINE 1	
	1.4	142	1356	24		
				1.73	2050807	76
	~ ~	200	<b>CTOT</b>	2.00	0000000	00
	2.2	360	6797	/5		
	3.6	502	8153	99 3.73	2050807	76

#### Scheduling (larger example)



Large number of subsets leads to remarkably low cost

#### Scheduling: improvements

#### Many opportunities (details omitted)

- fix last job on machine 0 (quick factor-of-two improvement)
- backtrack when partial schedule cannot beat best known (check total against goal: half of total job times)

```
private void enumerate(int k)
{
    if (k == N-1)
    {       process(); return; }
    if (backtrack(k)) return;
    enumerate(k+1);
    a[k] = 1 - a[k];
    enumerate(k+1);
}
```

 process all 2<sup>k</sup> subsets of last k jobs, keep results in memory, (reduces time to 2<sup>N-k</sup> when 2<sup>k</sup> memory available).

#### Backtracking summary

N-Queens: permutations with backtracking Soduko : counting with backtracking Scheduling: subsets with backtracking

# ▶ permutations backtracking ► counting ▶ subsets paths in a graph

#### Hamilton Path

Hamilton path. Find a simple path that visits every vertex exactly once.



Remark. Euler path easy, but Hamilton path is NP-complete.

visit every edge exactly once

#### Knight's Tour

Knight's tour. Find a sequence of moves for a knight so that, starting from any square, it visits every square on a chessboard exactly once.



legal knight moves



a knight's tour

#### Solution. Find a Hamilton path in knight's graph.

#### Hamilton Path: Backtracking Solution

Backtracking solution. To find Hamilton path starting at v:

- Add v to current path.
- For each vertex w adjacent to v
  - find a simple path starting at w using all remaining vertices
- Remove **v** from current path.

How to implement? Add cleanup to DFS (!!)

#### Hamilton Path: Java implementation

```
public class HamiltonPath
   private boolean[] marked;
   private int count;
   public HamiltonPath(Graph G)
   Ł
      marked = new boolean[G.V()];
      for (int v = 0; v < G.V(); v++)
         dfs(G, v, 1);
      count = 0;
   }
   private void dfs (Graph G, int v, int depth)
                                                           also need code to
   ł
                                                            count solutions
      marked[v] = true;
                                                           (path length = V)
      if (depth == G.V()) count++;
      for (int w : G.adj(v))
         if (!marked[w]) dfs(G, w, depth+1);
      marked[v] = false;
  }
}
                              clean up
```

Easy exercise: Modify this code to find and print the longest path

#### The Longest Path

Recorded by Dan Barrett in 1988 while a student at Johns Hopkins during a difficult algorithms final.

Woh-oh-oh, find the longest path! Woh-oh-oh, find the longest path!

If you said P is NP tonight, There would still be papers left to write, I have a weakness, I'm addicted to completeness, And I keep searching for the longest path.

The algorithm I would like to see Is of polynomial degree, But it's elusive: Nobody has found conclusive Evidence that we can find a longest path. I have been hard working for so long. I swear it's right, and he marks it wrong. Some how I'll feel sorry when it's done: GPA 2.1 Is more than I hope for.

Garey, Johnson, Karp and other men (and women) Tried to make it order N log N. Am I a mad fool If I spend my life in grad school, Forever following the longest path?

Woh-oh-oh, find the longest path! Woh-oh-oh, find the longest path! Woh-oh-oh, find the longest path.