

# Characterizing and Recognizing Normal Helly Circular-Arc Graphs

Luciano Grippo  
Universidad Nacional de General Sarmiento

12 December 2014, Montevideo, Uruguay  
Graph Theory and Combinatorics, FoCM 2014  
Joint work with: Yixin Cao and Martín Safe

# Outline

## 1 Interval graphs

## 2 Circular-arc graphs

- Normal circular-arc graphs
- Normal Helly circular-arc graphs

## 3 Results

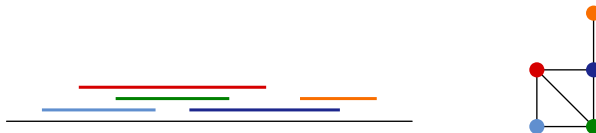
- Forbidden induced subgraph characterization
- Linear-time recognition algorithm

# Interval graphs

- An **interval graph** is a graph whose vertices can be assigned to a finite family of intervals on a line such that two vertices are adjacent if and only if their corresponding intervals intersect.

# Interval graphs

- An **interval graph** is a graph whose vertices can be assigned to a finite family of intervals on a line such that two vertices are adjacent if and only if their corresponding intervals intersect.



- Clearly, the class of interval graphs is a hereditary class.

# Forbidden induced subgraph characterization

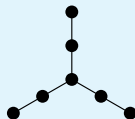
Fifty years ago, Lekkerkerker and Boland found the characterization of interval graphs by **minimal forbidden induced subgraphs**.

# Forbidden induced subgraph characterization

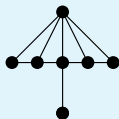
Fifty years ago, Lekkerkerker and Boland found the characterization of interval graphs by **minimal forbidden induced subgraphs**.

## Theorem (Lekkerkerker and Boland, 1962)

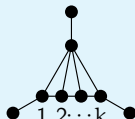
A graph is an interval graph if and only if it contains none of the following graphs as induced subgraphs:



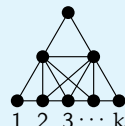
bipartite claw



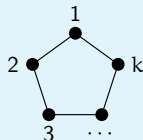
umbrella



$k$ -net,  $k \geq 2$



$k$ -tent,  $k \geq 3$



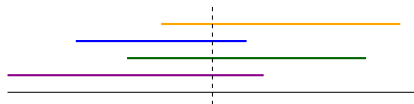
$C_k$ ,  $k \geq 4$

# Helly Property

- A family of sets  $\mathcal{F}$  has the **Helly property** if every pairwise intersecting subfamily of sets has nonempty intersection.

# Helly Property

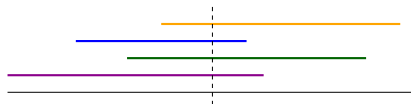
- A family of sets  $\mathcal{F}$  has the **Helly property** if every pairwise intersecting subfamily of sets has nonempty intersection.
- Notice that every family of pairwise intersecting intervals in the real line has a common point.





# Helly Property

- A family of sets  $\mathcal{F}$  has the **Helly property** if every pairwise intersecting subfamily of sets has nonempty intersection.
- Notice that every family of pairwise intersecting intervals in the real line has a common point.



- Every family of intervals in the real line has the Helly property.

# Unit interval graphs

An interesting special case of interval graphs are **unit interval graphs** where the intervals can be chosen to be all open (or all closed) and all of the same length.

# Unit interval graphs

An interesting special case of interval graphs are **unit interval graphs** where the intervals can be chosen to be all open (or all closed) and all of the same length.

The minimal forbidden induced subgraph characterization was found by Roberts.

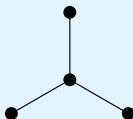
# Unit interval graphs

An interesting special case of interval graphs are **unit interval graphs** where the intervals can be chosen to be all open (or all closed) and all of the same length.

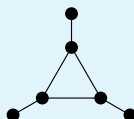
The minimal forbidden induced subgraph characterization was found by Roberts.

## Theorem (Roberts, 1969)

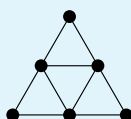
A graph is a unit interval graph if and only if it contains none of the following graphs as induced subgraphs:



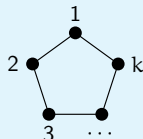
claw



net

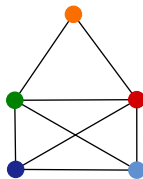
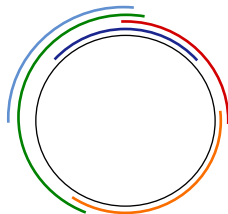


tent

 $C_k, k \geq 4$

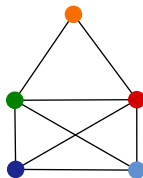
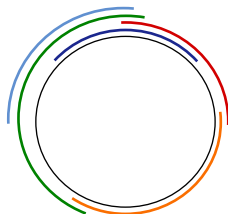
# Circular-arc Graphs

- A **circular-arc graph** is the intersection graph of a finite family of arcs on a circle; such a family of arcs is called a **circular-arc model** of the graph.



# Circular-arc Graphs

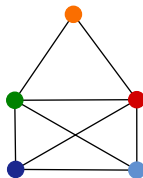
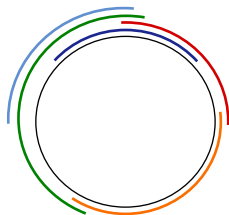
- A **circular-arc graph** is the intersection graph of a finite family of arcs on a circle; such a family of arcs is called a **circular-arc model** of the graph.



- Clearly, the class of circular-arc graphs generalizes that of interval graphs and is also hereditary.

# Circular-arc Graphs

- A **circular-arc graph** is the intersection graph of a finite family of arcs on a circle; such a family of arcs is called a **circular-arc model** of the graph.



- Clearly, the class of circular-arc graphs generalizes that of interval graphs and is also hereditary.
- A family of arcs on a circle not necessary has the Helly property.

# Characterizations of some subclasses of circular-arc graphs

- Despite their similarity in definition to interval graphs, characterizing circular-arc graphs by forbidden induced subgraphs is a long-standing open problem (Hadwiger and Debrunner, 1964; Klee, 1969). However Mathew, Hell and Stacho, characterized them in terms of some obstructions called quadruples, giving a characterization similar to those well-known characterization of interval graphs in terms of asteroidal triples (2014).



# Characterizations of some subclasses of circular-arc graphs

- Despite their similarity in definition to interval graphs, characterizing circular-arc graphs by forbidden induced subgraphs is a long-standing open problem (Hadwiger and Debrunner, 1964; Klee, 1969). However Mathew, Hell and Stacho, characterized them in terms of some obstructions called quadruples, giving a characterization similar to those well-known characterization of interval graphs in terms of asteroidal triples (2014).
- Tucker pioneered the study of circular-arc graphs and some important subclasses. In 1974, he found the minimal forbidden induced subgraph characterizations of both **unit circular-arc graphs** (defined analogously to unit interval graphs) and **proper circular-arc graphs**, which are those intersection graphs of finite sets of arcs on a circle such that none of the arcs is contained in another of the arcs.

# Characterizations of some subclasses of circular-arc graphs

Since then, the problem of characterizing circular-arc graphs and some of its subclasses by forbidden induced subgraphs or some other kinds of obstructions has attracted considerable attention:

- co-bipartite circular-arc graphs (Trotter and Moore, 1976; Feder, Hell, and Huang, 1999)
- chordal proper circular-arc graphs (Bang-Jensen and Hell, 1994)
- Helly circular-arc graphs (Lin and Szwarcfiter, 2006; Joeris, Lin, McConnell, Spinrad, and Szwarcfiter, 2011)
- proper Helly circular-arc graphs (Lin, Soulignac, and Szwarcfiter, 2007)
- partial characterizations of circular-arc graphs (Bonomo, Durán, G., and Safe, 2009)
- normal Helly circular-arc graphs (Lin, Soulignac, and Szwarcfiter, 2011)

# Normal circular-arc graphs

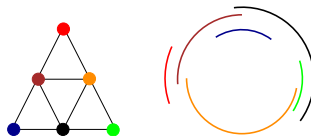
- We say that a set of arcs on a circle **covers the circle** if the arcs of the set collectively cover every point of the circle.

# Normal circular-arc graphs

- We say that a set of arcs on a circle **covers the circle** if the arcs of the set collectively cover every point of the circle.
- It is easy to see that every circular-arc graph is the intersection graph of a finite set of arcs no single arc of which covers the circle.

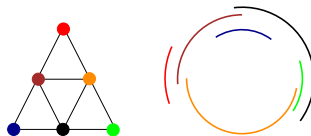
# Normal circular-arc graphs

- We say that a set of arcs on a circle **covers the circle** if the arcs of the set collectively cover every point of the circle.
- It is easy to see that every circular-arc graph is the intersection graph of a finite set of arcs no single arc of which covers the circle.
- A **normal circular-arc graph** is a graph admitting a circular-arc model with no two arcs covering the circle; such a model is called a **normal circular-arc model**.



# Normal circular-arc graphs

- We say that a set of arcs on a circle **covers the circle** if the arcs of the set collectively cover every point of the circle.
- It is easy to see that every circular-arc graph is the intersection graph of a finite set of arcs no single arc of which covers the circle.
- A **normal circular-arc graph** is a graph admitting a circular-arc model with no two arcs covering the circle; such a model is called a **normal circular-arc model**.



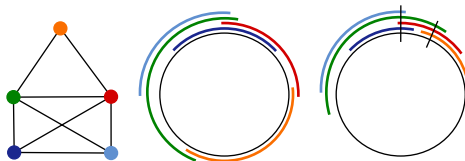
- No characterization of normal circular-arc graphs by forbidden induced subgraphs is known, but there are some partial characterizations (Bonomo et al., 2009).

# Helly circular-arc graphs

- A **clique** is an inclusion-wise maximal set of pairwise adjacent vertices.

# Helly circular-arc graphs

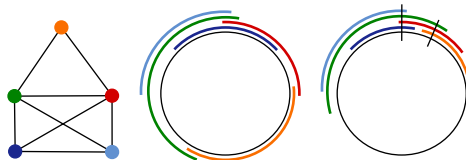
- A **clique** is an inclusion-wise maximal set of pairwise adjacent vertices.
- A **Helly circular-arc graph** is a graph admitting a circular-arc model such that for each clique of the graph there is a point in the circle that belongs to all the arcs corresponding to vertices of that clique; such a model is called a **Helly circular-arc model**.





# Helly circular-arc graphs

- A **clique** is an inclusion-wise maximal set of pairwise adjacent vertices.
- A **Helly circular-arc graph** is a graph admitting a circular-arc model such that for each clique of the graph there is a point in the circle that belongs to all the arcs corresponding to vertices of that clique; such a model is called a **Helly circular-arc model**.



- There is a characterization by forbidden induced subgraphs by Lin and Szwarcfiter (2006) by restricting themselves to circular-arc graphs.

# Normal Helly circular-arc graphs

- In this work, we study the intersection graphs of finite sets of arcs on a circle no three arcs of which cover the circle.

# Normal Helly circular-arc graphs

- In this work, we study the intersection graphs of finite sets of arcs on a circle no three arcs of which cover the circle.
- They are called **normal Helly circular-arc graphs** because they are precisely those graphs having a circular-arc model which is simultaneously normal and Helly (Lin and Szwarcfiter, 2006).

# Normal Helly circular-arc graphs

- In this work, we study the intersection graphs of finite sets of arcs on a circle no three arcs of which cover the circle.
- They are called **normal Helly circular-arc graphs** because they are precisely those graphs having a circular-arc model which is simultaneously normal and Helly (Lin and Szwarcfiter, 2006).
- Tucker (1975) gave an algorithm that outputs a proper coloring of any given finite set of normal Helly circular-arcs using at most  $3\omega/2$  colors, where  $\omega$  denotes the size of the largest number of pairwise intersecting arcs.

# Normal Helly circular-arc graphs

- In this work, we study the intersection graphs of finite sets of arcs on a circle no three arcs of which cover the circle.
- They are called **normal Helly circular-arc graphs** because they are precisely those graphs having a circular-arc model which is simultaneously normal and Helly (Lin and Szwarcfiter, 2006).
- Tucker (1975) gave an algorithm that outputs a proper coloring of any given finite set of normal Helly circular-arcs using at most  $3\omega/2$  colors, where  $\omega$  denotes the size of the largest number of pairwise intersecting arcs.
- Lin, Soulignac, and Szwarcfiter (2010) showed that normal Helly circular-arc graphs arise naturally when studying convergence of circular-arc graphs under the iterated clique graph operator.

# Normal Helly circular-arc graphs

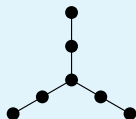
- In this work, we study the intersection graphs of finite sets of arcs on a circle no three arcs of which cover the circle.
- They are called **normal Helly circular-arc graphs** because they are precisely those graphs having a circular-arc model which is simultaneously normal and Helly (Lin and Szwarcfiter, 2006).
- Tucker (1975) gave an algorithm that outputs a proper coloring of any given finite set of normal Helly circular-arcs using at most  $3\omega/2$  colors, where  $\omega$  denotes the size of the largest number of pairwise intersecting arcs.
- Lin, Soulignac, and Szwarcfiter (2010) showed that normal Helly circular-arc graphs arise naturally when studying convergence of circular-arc graphs under the iterated clique graph operator.
- Bhowmick and Chandran (2011) showed that NHCA graphs have boxicity at most 3 (3 is the minimum  $k$  such that  $G$  is the intersection graph of a family of  $k$ -dimensional boxes ).

# Characterization of normal Helly circular-arc graphs

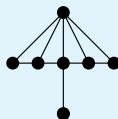
Lin, Soulignac, and Szwarcfiter (2011) drew several parallels between normal Helly circular-arc graphs. In particular, they proved the following characterization of normal Helly circular-arc graphs by minimal forbidden induced subgraphs, by restricting themselves to circular-arc graphs.

## Theorem (Lin, Soulignac, and Szwarcfiter, 2011)

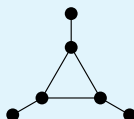
Let  $G$  be a circular-arc graph. Then,  $G$  is a normal Helly circular-arc graph if and only if  $G$  contains no induced: bipartite claw, umbrella, net,  $k$ -tent for some  $k \geq 3$ , or  $k$ -wheel some  $k \geq 4$ .



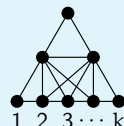
bipartite claw



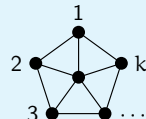
umbrella



net



$k$ -tent,  $k \geq 3$

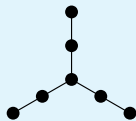


$k$ -wheel,  $k \geq 4$

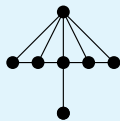
# Complete characterization

## Theorem

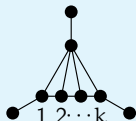
A graph is a normal Helly circular-arc graph if and only if it contains none of the following graphs as an induced subgraph:



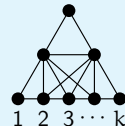
bipartite claw



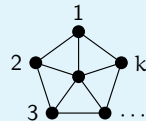
umbrella



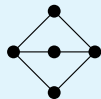
$k$ -net,  $k \geq 2$



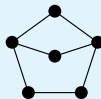
$k$ -tent,  $k \geq 3$



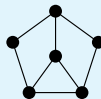
$k$ -wheel,  $k \geq 4$



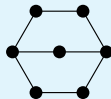
$G_1 = K_{2,3}$



$G_2$



$G_3$



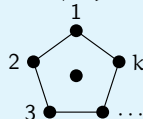
$G_4$



domino



$\overline{C_6}$



$C_k^*$ ,  $k \geq 4$



## Sketch of the proof

Let  $G$  be a graph containing none of the graphs displayed on the statement of the theorem as an induced subgraph.

We must prove that  $G$  is a circular-arc graph.

# Sketch of the proof

Let  $G$  be a graph containing none of the graphs displayed on the statement of the theorem as an induced subgraph.

We must prove that  $G$  is a circular-arc graph.

- 1 If  $G$  is an interval graph, then, in particular,  $G$  is a normal Helly circular-arc graph.

# Sketch of the proof

Let  $G$  be a graph containing none of the graphs displayed on the statement of the theorem as an induced subgraph.

We must prove that  $G$  is a circular-arc graph.

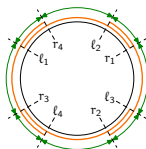
- 1 If  $G$  is an interval graph, then, in particular,  $G$  is a normal Helly circular-arc graph.
- 2 If  $G$  is not an interval graph,  $G$  contains some of the forbidden induced subgraphs by Lekkerkerker and Boland. But, by hypothesis,  $G$  necessarily contains some chordless cycle  $C$  of length  $n \geq 4$ .

# Sketch of the proof

Let  $G$  be a graph containing none of the graphs displayed on the statement of the theorem as an induced subgraph.

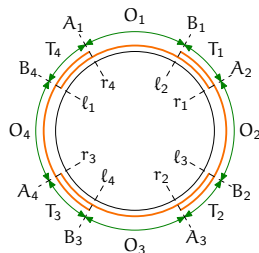
We must prove that  $G$  is a circular-arc graph.

- 1 If  $G$  is an interval graph, then, in particular,  $G$  is a normal Helly circular-arc graph.
- 2 If  $G$  is not an interval graph,  $G$  contains some of the forbidden induced subgraphs by Lekkerkerker and Boland. But, by hypothesis,  $G$  necessarily contains some chordless cycle  $C$  of length  $n \geq 4$ .



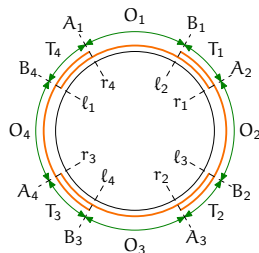
We start with a circular-arc model of  $C$  consisting of closed arcs. Its  $2n$  endpoints divide the circle into  $2n$  portions.

# Sketch of the proof



By looking at the neighborhoods in the cycle  $C$  of those vertices outside  $C$ , we determine for each  $i = 1, \dots, n$ :

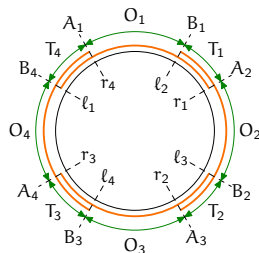
# Sketch of the proof



By looking at the neighborhoods in the cycle  $C$  of those vertices outside  $C$ , we determine for each  $i = 1, \dots, n$ :

- A set  $A_i$  of vertices whose circular-arcs must cross  $r_{i-1}$ .

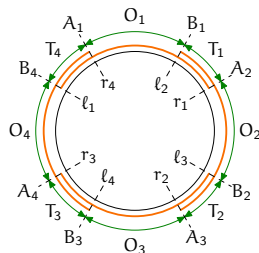
# Sketch of the proof



By looking at the neighborhoods in the cycle  $C$  of those vertices outside  $C$ , we determine for each  $i = 1, \dots, n$ :

- A set  $A_i$  of vertices whose circular-arcs must cross  $r_{i-1}$ .
- A set  $B_i$  of vertices whose circular-arcs must cross  $\ell_{i+1}$

# Sketch of the proof

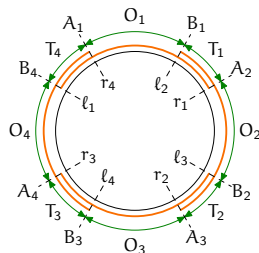


By looking at the neighborhoods in the cycle  $C$  of those vertices outside  $C$ , we determine for each  $i = 1, \dots, n$ :

- A set  $A_i$  of vertices whose circular-arcs must cross  $r_{i-1}$ .
- A set  $B_i$  of vertices whose circular-arcs must cross  $\ell_{i+1}$
- A set  $O_i$  of vertices whose circular-arcs must lie within  $(r_{i-1}, \ell_{i+1})$ .



# Sketch of the proof



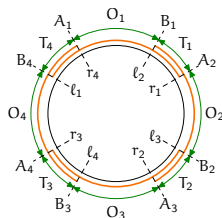
By looking at the neighborhoods in the cycle  $C$  of those vertices outside  $C$ , we determine for each  $i = 1, \dots, n$ :

- A set  $A_i$  of vertices whose circular-arcs must cross  $r_{i-1}$ .
- A set  $B_i$  of vertices whose circular-arcs must cross  $\ell_{i+1}$ .
- A set  $O_i$  of vertices whose circular-arcs must lie within  $(r_{i-1}, \ell_{i+1})$ .
- A set  $T_i$  of vertices whose circular-arcs can be assumed to lie within  $[\ell_{i+1}, r_i]$ .

# Left-right sets

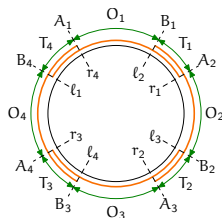
A pair  $A, B$  of vertex sets of an interval graph is **left-right** if there is an interval model such that all vertices of  $A$  have the same left endpoint and no other endpoints are further to the left and all vertices of  $B$  have the same right endpoint and no other endpoints are further to the right.

# Sketch of the proof



By relying on a characterization of left-right sets by de Figueiredo, Gimbel, de Mello, and Szwarcfiter (1997), we prove that:

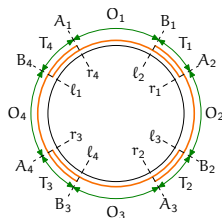
# Sketch of the proof



By relying on a characterization of left-right sets by de Figueiredo, Gimbel, de Mello, and Szwarcfiter (1997), we prove that:

- $G[A_i \cup O_i \cup B_i]$  is an interval graph with left-right pair  $A_i, B_i$ .

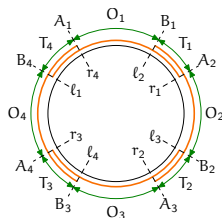
# Sketch of the proof



By relying on a characterization of left-right sets by de Figueiredo, Gimbel, de Mello, and Szwarcfiter (1997), we prove that:

- $G[A_i \cup O_i \cup B_i]$  is an interval graph with left-right pair  $A_i, B_i$ .
- $G[B_i \cup T_i \cup A_{i+1}]$  is an interval graph with left-right pair  $B_i, A_{i+1}$ .

# Sketch of the proof



By relying on a characterization of left-right sets by de Figueiredo, Gimbel, de Mello, and Szwarcfiter (1997), we prove that:

- $G[A_i \cup O_i \cup B_i]$  is an interval graph with left-right pair  $A_i, B_i$ .
- $G[B_i \cup T_i \cup A_{i+1}]$  is an interval graph with left-right pair  $B_i, A_{i+1}$ .

We show that all these interval models can be glued together to build a circular-arc model of  $G$ . □

# The auxiliary graph $\mathcal{U}(G)$

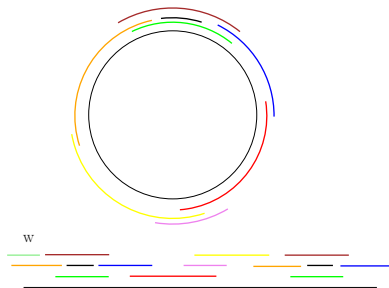
## Theorem

If  $G$  is NHCA, then  $\mathcal{U}(G)$  is an interval graphs. In addition, if  $\mathcal{U}(G)$  is an interval graph, then we can in build in linear-time a circular-arc model of  $G$ , otherwise a minimal non-NHCA graph can be obtained from a minimal noninterval graph of  $\mathcal{U}(G)$ .

# The auxiliary graph $\mathcal{U}(G)$

## Theorem

If  $G$  is NHCA, then  $\mathcal{U}(G)$  is an interval graphs. In addition, if  $\mathcal{U}(G)$  is an interval graph, then we can in build in linear-time a circular-arc model of  $G$ , otherwise a minimal non-NHCA graph can be obtained from a minimal noninterval graph of  $\mathcal{U}(G)$ .





# The linear-time recognition algorithm

- 1 Test if  $G$  is chordal and find a chordless cycle if it is not (Tarjan and Yannakakis, 1985);  
if  $G$  is chordal **then** verify whether  $G$  is an interval graph or not (Lindzey and McConnell, 2014);
- 2 Construct the auxiliary graph  $\mathcal{U}(G)$ ;
- 3 if  $\mathcal{U}(G)$  is not an interval graph **then** find a minimal non-NHCA graph of  $G$ .
- 4 Construct a circular-arc model  $\mathcal{A}$  of  $G$ ;
- 5 verify whether  $\mathcal{A}$  is NHCA (Lin et al., 2013). In case,  $\mathcal{A}$  is not NHCA, find a minimal non-NHCA (Cao, 2014).

Thank you for your attention!