

# Nakajima's quiver varieties

## Categorical quotients

### ① Why?

- a) Our motivation: the construction of Nakajima's quiver variety involves this notion. More precisely the notion of moduli space for quivers.
- b) General motivation: given variety  $X$  (affine or projective) and algebraic group action  $G \curvearrowright X$ . To form a quotient  $X/G$  in such a way that resulting variety will lie in the same category (i.e.  $X/G$  is affine or projective). Usual orbit-space does not work here as the following example shows.

Example 0.  $X = \mathbb{C}^n$ ,  $G = \mathbb{C}^*$ , then  $X/G$  is not transversal (!).

The aim of this lecture is to give brief introduction to GIT which allows to avoid such situation.

### ① Affine case

- a) Affine varieties. We fix one and forever field  $\mathbb{C}$ .

Def. An affine variety  $X$  in  $\mathbb{C}^n$  is a common zero-locus of some collection of polynomials  $f_i \in \mathbb{C}[x_1, \dots, x_n]$ , i.e.

$$X = \{(x_1, \dots, x_n) \in \mathbb{C}^n \mid f_i(x_1, \dots, x_n) = 0, i \in I\}.$$

It is not hard to see that there exist 1-1 corresp. between:

$$\text{ideal } I \subset \mathbb{C}[x_1, \dots, x_n] \longleftrightarrow \begin{array}{l} \text{affine varieties} \\ X \subset \mathbb{C}^n \end{array}$$

Under this correspondence

$$\begin{array}{c} \text{maximal ideals} \\ \text{in } \mathbb{C}[x_1, \dots, x_n] \end{array} \longleftrightarrow \text{points in } \mathbb{C}^n.$$

Which are the consequences of Hilbert theorems:

- 1) every ideal in  $\mathbb{C}[x_1, \dots, x_n]$  is finitely generated.
- 2) every maximal ideal has a form  $(x_1 - a_1, \dots, x_n - a_n)$  for some  $(a_1, \dots, a_n) \in \mathbb{C}^n$ .

### b) Spectrum of the ring

Def. Let  $R$  be a ring. Spectrum of  $R$ , denoted by  $\text{Spec } R$  is set of all maximal ideals in  $R$ .

Example 1 As we saw  $\text{Spec } \mathbb{C}[x_1, \dots, x_n] \cong \mathbb{C}^n$ .

Example 2 Let  $I$  be an ideal in  $\mathbb{C}[x_1, \dots, x_n]$ , then  $\text{Spec } \mathbb{C}[x_1, \dots, x_n]/I \cong X_I - \text{an affine variety determined by } I$ .

Given any ring  $R$ ,  $\text{Spec } R$  - affine variety, and the other way around given an affine variety  $X \subset \mathbb{C}^n$ , there exist such  $I$  that  $\text{Spec } R = X$ . Namely  $R$  - is a coordinate ring  $\mathbb{C}[x_1, \dots, x_n]/I$ .

### c) Group action

L2

Suppose that complex Lie group  $G$  acts on  $R$  by ring automorphism.

Example  $R = \mathbb{C}[x_1, \dots, x_n]$ ,  $G = GL_n(\mathbb{C})$  acts by linear changes of  $x_i$ 's

$G$  takes maximal ideal to maximal, therefore  $G$  acts on  $\text{Spec } R$ . We are interesting in forming quotient  $(\text{Spec } R)/G$ . As we are in category of varieties we are looking for some ring  $S$ , such that  $\text{Spec } S = \text{Spec } R/G$ , or equivalently  $S$  is the ring of function on  $\text{Spec } R/G$ . But then each element of  $S$  pulls back to  $G$ -invariant function on  $\text{Spec } R$ . Retake by  $R^G$  - the ring of  $G$ -invariant functions on  $\text{Spec } R$ .

Def. We call  $\text{Spec}(R^G)$  - the geometric invariant theory quotient (or GIT) of  $\text{Spec } R$  by  $G$ . And denote it by  $(\text{Spec } R)/\!/G$ .

Obs i) It not quite true that  $(\text{Spec } R)/G = (\text{Spec } R)/\!/G$ . but there is a map  $(\text{Spec } R)/G \rightarrow (\text{Spec } R)/\!/G$ . Suppose that  $G$  is reductive then

- 2)  $\mathbb{R}^G$  is finitely generated (By Hilbert's theorem).  
 3)  $(\text{Spec } R)/G \rightarrow (\text{Spec } k)/G$  is onto.

Example 3 Let  $G = \mathbb{C}^*$  acts on  $R = \mathbb{C}[x_1, \dots, x_n]$  by rescaling each coordinate. Then  $G$  acts on  $\text{Spec } k = \mathbb{C}^n$  by rescaling as well, the only invariant polynomials are the constant  $\Rightarrow \mathbb{R}^G = \mathbb{C}$ . But then  $\text{Spec } k = \text{pt}$ .

## ② Projective case

### a) Projective spectrum

Def. A projective variety  $X$  in  $\mathbb{P}^n$  is a common-zero locus of some collection of homogeneous polynomials  $f_i \in \mathbb{C}[x_0, \dots, x_n]$ , i.e.

$$X = \{(x_0, \dots, x_n) \mid f_i(x_0, \dots, x_n) = 0\}$$

Let  $R$  be a graded ring.  $R = \bigoplus_{k \in \mathbb{N}} R_k$ , i.e.  $R_k \cdot R_m \subseteq R_{k+m}$ .

For example  $\mathbb{C}[x_0, \dots, x_n]$  is graded, with  $R_k$  - hom. polynomials of degree  $k$ .

Several equivalent definitions of projective spectrum of  $R$ ,  $\text{Proj } R$ :

1.  $\text{Proj } R$  — the set of maximal graded ideals of  $R$ , i.e. when  $I = \bigoplus_{n \in \mathbb{N}} I_n$ , and  $I_n = I \cap R_n$ .

2.  $\text{Proj } R = (\text{Spec } R \setminus \text{Spec } R_0) / \mathbb{C}^*$

Example 4 If  $R = \mathbb{C}[x_1, \dots, x_n]$ , then  $R_0 = \mathbb{C}$ . and.

$$\text{Proj } R = (\mathbb{C}^n \setminus \{0\}) / \mathbb{C}^* \cong \mathbb{CP}^{n-1}$$

3. If  $R$  is presented as  $\mathbb{C}[x_1, \dots, x_n]/I$ , then

$$\mathbb{C}[x_1, \dots, x_n] \rightarrow R \text{ on dually } \text{Spec } R \hookrightarrow \mathbb{C}^n$$

usually gives  $\text{Proj } R \hookrightarrow \mathbb{CP}^{n-1}$   
in this case  $\text{Proj } R$  is a projective variety.

Another relation between  $\text{Spec}$  and  $\text{Proj}$

Relation Given ungraded ring  $\mathbb{R}$  we define  $R := \mathbb{R}[e]$   
with  $\deg e = 1$ . Then  $\text{Spec } R = \text{Spec } \mathbb{R}_0 \times \mathbb{C}$ .  
hence  $(\text{Spec } R \setminus \text{Spec } \mathbb{R}_0) = \text{Spec } \mathbb{R}_0 \times \mathbb{C}^*$  and so  
 $\text{Proj } R = (\text{Spec } R \setminus \text{Spec } \mathbb{R}_0) / \mathbb{C}^* = \text{Spec } \mathbb{R}_0$ .

Obs. Given a map  $R \rightarrow S$  we have a map

$$\text{Spec } S \rightarrow \text{Spec } R$$

Expectation: if  $f: R \rightarrow S$  is hom. of graded rings  
then  $f: \text{Proj } S \rightarrow \text{Proj } R$ . But(!).

Proposition Let  $f: R \rightarrow S$  be hom. of graded rings. Let  $X$  be the points of  $\text{Spec } S \setminus \text{Spec } S_0$  such that every function in  $f(R)$  vanish on  $X$ . Let

$$\text{Proj } f^{\text{us}} = X / \mathbb{C}^*. \text{ then } f \text{ induces a map } \text{Proj } S \setminus \text{Proj } S_0^{\text{us}} \rightarrow \text{Proj } R$$

We will call the set  $(\text{Proj } S)^{\text{us}}$  unstable. L5

## b) GIT:

Given a graded ring  $R$  with an action of  $G$  we define GIT quotient as

$$(\text{Proj } R) // G := \text{Proj}(R^G), \stackrel{s}{\simeq} (\text{Proj } R)^S / G$$

This notation  $\text{Proj } R // G$  is misleading (!) due to:

- 1). Usually one starts with the variety  $\text{Proj } R = X$  and  $G$ -action there. And there is a freedom in choosing ring  $R$  such that  $\text{Proj } R = X$ .
- 2) Choosing the ring, there is a freedom in choosing group action on it such that leads to the same group action on  $\text{Proj } R$ .

1)-2) - Leads to different stability condition of the group action on variety.

Usually one consider the line bundle  $X \times \mathbb{C}$ , equipping it with the action of  $G$ . Depending on character  $\chi: G \rightarrow \mathbb{C}^*$ , there is another freedom here.

Example 4. Let  $\mathbb{C}^n = X$ ,  $\mathbb{C}^* = G$ . Then  $X = \text{Spec}(\mathbb{C}[x_1, \dots, x_n]) = \text{Proj}(\mathbb{C}[x_1, \dots, x_n, l])$   
the action of  $G$  on  $\mathbb{C}[x_1, \dots, x_n, l]$  is not quite determined if  $z \in \mathbb{C}^*$ , then  $z * x_i = z x_i$ . But  
 $z * l = z^k * l$ .  
therefore we have the following.

- [6]
- 1) if  $k > 0$ , then there are no invariant polynomials other than constant.  $R^G = \mathbb{C}$ , and  $\text{Proj } R^G$  is empty.
  - 2) if  $k = 0$ , then  $R^G = \mathbb{C}[l]$ , and  $\text{Proj } R^G = \text{pt.}$
  - 3) if  $k \leq -1$  the invariant ring  $R^G = \mathbb{C}[x_1, l, x_2, l, \dots, x_n, l]$ .  
Hence  $\text{Proj } R^G = \mathbb{C}\mathbb{P}^{n-1}$ . This holds for any  $k < 0$ .

Example 5 Let  $X = \mathbb{C}^{m \times n}$ , the space of  $m \times n$  matrices with  $m \leq n$ .  $G = GL_m(\mathbb{C})$  act on  $X$  by left multiplication.  
We regard  $X$  as the space of  $m$  vectors in  $\mathbb{C}^n$ . If GIT  $X//G$  is non empty then  $X//G$  is just  $G_{2m}(\mathbb{C}^n) = \{\text{subspaces of dim } m \text{ in } \mathbb{C}^n\}$ .

Example 6. Let  $Q$  be a quiver and  $d$  is dimension vector  $X = \text{Rep}_d Q$ .  $G = GL_d(\mathbb{C}) = \prod_i GL_{d_i}(\mathbb{C})$ .  
then  $X//G$  depends on stability condition chosen. For example  $Q \begin{array}{c} \nearrow \searrow \\ \downarrow \uparrow \end{array}$   
then  $X//G \cong \mathbb{P}^1$

③ Kirwan-Ness theorem, there are 2 methods to build "nice" quotient.

i) Via moment map. If  $X$  is projective and compact lie group acts on  $X$  then

$$X//G = \mu^{-1}(0)/G, \text{ where } \mu^*: X \rightarrow \mathfrak{g}^* - \text{is moment map}$$

ii) Or via GIT

$$X//\mathbb{F} = \text{Proj } R^G.$$

there is fundamental Kirwan-Vess theorem  
which connects this two quotient's.

Theorem Let  $k$  act on the graded ring  $R = \mathbb{C}\{x_1, \dots, x_n\}/I$   
So  $\text{Proj } R$  is a variety of  $\mathbb{CP}^{n-1}$ , and (if smooth)  
a symplectic  $k$ -manifold. Then there is identification

$$\mu^{-1}(0)/k \cong (\text{Proj } R)/k^{\mathbb{C}}$$

where  $k^{\mathbb{C}}$  is a complexification of  $k$ .

Example 7. Assume that  $X = \mathbb{C}^{4 \times 4}$  and  $k = U(n)$ . then  $k^{\mathbb{C}} = GL(n)$ .  
and  $U^*(n)$  - is the set of self-adjoint unitaries.

Then

Example 8 Assume that  $X = \mathbb{C}^4$ ,  $R = S^1$ ,  $G = k^{\mathbb{C}} = \mathbb{C}^*$ .  
 $\mu^{-1}(0)$  - is a sphere  $S^{n-1}$ . and K.-M. theorem  
says that  $\mathbb{C}^4 - \{0\}/\mathbb{C}^* \cong S^{n-1}/S^1$