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2	Using Seismic Noise Levels to Monitor Social Isolation:
3	An Example from Rio de Janeiro, Brazil
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20	Key Points:
21 22	• Seismic noise in a seismographic station within Rio de Janeiro city correlates with mobile-phone isolation indexes.
23 24 25	• Seismic noise in Rio de Janeiro can be used as an approximate isolation index.
25 26	version R1, 2020 July 14

27 Abstract

28

29 Decrease of seismic noise level, after reduction of traffic due to the COVID-19 pandemia, has been 30 observed worldwide. The possibility of using seismic noise as another proxy to estimate social isolation 31 was tested with a station within Rio de Janeiro city. We used the isolation index measured from smart-32 phone movement to calibrate the seismic noise levels and estimated an Isolation Seismic Index, ISI (% 33 of the population at home), using the seismic noise energy. Noise levels best correlate with isolation 34 measures in the frequency range 4-8Hz. Small differences between the smart-phone and the ISI indexes 35 are interpreted as differences in social activities and noise sources. All mobility indexes are proxies to 36 the actual isolation. Although ISI does not measure the number of people outside, it measures the 37 number of noise sources (vehicles, trains, factories, etc.) and can be used as additional information to 38 interpret anomalies in other proxies.

39

40 Plain Language Summary

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42 A seismic station within Rio de Janeiro city showed a drastic reduction of seismic noise after isolation 43 measures imposed by the state government and city council. We show that the seismic noise level, due 44 mainly to traffic, can be used as another proxy for the social isolation and can help interpret variations in 45 the other indexes of people mobility.

47 **1 Introduction**

48

49	The continuous ground motion recorded at seismic stations is due to different sources of
50	perturbation (noise sources). At long periods (1 to 50s) it is mainly produced by atmospheric oscillations
51	and ocean waves; with ocean waves giving energy peaks around 5s and 10 s (e.g., Yang and Ritzwoller
52	2008, Bormann, 2012; Juretzek and Hadziioannou, 2016). At high frequencies, above a 1 Hz, it is
53	mainly produced by local perturbations, both natural, such as wind and storms, and anthropogenic, such
54	as vehicles, factories, etc. (e.g., Diaz et al., 2020; Poli et al., 2020).
55	
56	In the last decades, seismic noise has been used for many different purposes. Tomography using
57	seismic noise is now a standard tool to investigate Earth's structure from local to global scale (e.g.,
58	Shapiro et al., 2005; Bensen et al., 2008; Ekström, 2013; Li et al., 2016). Polarization analyses of
59	seismic noise can give information about atmospheric disturbances in the oceans (e.g., Stutzmann et al.,
60	2009; Schimmel et al., 2011). High-frequency noise, even within cities, is also extensively used to map
61	soil structure (e.g., Bonnefoy-Claudet, 2006a, Wathelet et al., 2020). Noise signals have also been
62	identified from other anthropogenic activities such as crowds of people jumping at football matches
63	(Diaz et al., 2017), dancing events (Green and Bowers, 2008), vibrations due to rapid mudflow
64	downstream after a dam rupture (Agurto-Detzel et al., 2016), or long term changes associated with
65	economic growth (Hong et al., 2020). A good review of noise types recorded in the urban environment,
66	like sub-way trains and marathons, is given by Diaz et al. (2020).
67	

The most recent observation of variations in human-induced seismic noise is the significant decrease of noise levels due to social isolation policies imposed by governments to reduce the rate of transmission of the COVID-19 pandemia. Several Seismological Centers around the world have reported through their social media channels (like Facebook, Twitter) the noise reduction especially in

stations close to urban centers (Gibney, 2020; Poli et al., 2020). Examples from stations in cities like Milan, Los Angeles and Barcelona can be found on the National Geographic Magazine¹ website. In all those examples, it is possible to see the decrease of the ground displacement after mid-March imputed by the beginning of the lockdown in the cities. Another interesting feature is the difference of the noise levels between diurnal and nocturnal period and between weekdays and weekends.

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78 National Observatory is a research institution located in an urban area in Rio de Janeiro City 79 (Fig. 1). The test station, ON02, is installed in the lower floor of an office building on a 3.5-m tall 80 underground pillar that reaches the local rock basement. It is a broadband station (100s-50 Hz response) 81 recording at 100 sps. It showed a dramatic decrease in its noise level, especially during the day (Fig. 2). 82 Although this effect is better seen at ON02 station, which is inside a city, a few other stations of the 83 Brazilian Seismic Network (Bianchi et al., 2018) closer to median-sized cities (less than 100.000 84 inhabitants) have also shown a clear decrease in their diurnal noise level. These observations suggest 85 that noise levels could be used to help monitor the compliance with the social isolation policies. Here, 86 we compare the seismic noise reduction with an isolation index, commonly used in Brazil, measured by 87 monitoring the number of smart-phones that leave their homes. We show that the seismic noise energy 88 has an excellent correlation with the smart-phone-derived isolation index.

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90 2 Origin of the noise measured within Rio de Janeiro city.

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92 The noise recorded by seismic stations near or within a city, at frequencies above 1 Hz, is 93 commonly interpreted as due mainly to anthropic sources. The significant decrease in noise levels 94 during the COVID-19 lockdown has enabled a clear determination that the "cultural noise" spans the

¹ <u>https://www.nationalgeographic.com/science/2020/04/coronavirus-is-quieting-the-world-seismic-data-shows/ (last access 04/May/2020)</u>

95 frequency range of 1-40 Hz, but mostly between 2 and 20 Hz (Diaz et al., 2020; Poli et al., 2020). Poli et al. (2020) showed that different cities have different spectra of anthropic noise, depending on the type of sources in the vicinity of the station. However, the noise character and type of sources remained the same before or during the lockdown, as shown by the constant average H/V spectral amplitude ratios.

99 The noise can be produced by a few weak local sources or by many energetic sources at longer 100 distances. We cannot directly determine the dominant distances using a single station. Here we used 101 polarization analysis to infer the possible origins of the noise recorded at ON02. It is usually accepted 102 that most of the high frequency noise (despite not all) is composed of surface Rayleigh waves (e.g., 103 Bonnefoy-Claudet et al., 2006a,b). We applied the time-frequency polarization analysis (Schimmel and 104 Gallart, 2003; 2004; Schimmel et al., 2011) to identify the trains of elliptically polarized noise with 105 retrograde particle motion in a vertical plane, for different frequency bands. The distribution of the 106 directions of arrival of such Rayleigh-type noise are shown in Fig. 3.

107 For high frequencies (10-20 Hz) the predominant directions are NE and E, both before and 108 during the quarantine. We interpret this high frequency noise as coming predominantly from the road 109 traffic of the major highways and avenues close to the station, which run in a N-S direction just East of 110 the National Observatory (such as "Av. Brasil" and "Red Line", leading to inter-state express-ways, Fig. 111 1). For intermediate frequencies (2-9 Hz), the incoming directions are more scattered among N, NE, E, 112 SE and S. Only the W and SW directions have almost no contribution. This probably indicates noise 113 sources from more distant traffic, up to several km maybe (Fig. 1b), with the lack of heavy traffic 114 correlating with the hills and mountains W and SW of the Observatory (green color of national parks, 115 Fig. 1). For lower frequencies, around 1 Hz, the dominant sources are to the South, which is interpreted 116 as influence of the more distant oceanic microseisms.

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117	No clear systematic difference was observed in the predominant directions before and during the
118	quarantine. This is consistent with the findings of Poli et al.(2020) for stations in northern Italy where
119	the noise character remains the same before and during the quarantine, only its amplitude changes.
120	Although this polarization analysis is qualitative, it shows that a 2-9 Hz frequency band is
121	convenient to sample traffic noise in a large area of the city. Significant contribution from local activity
122	of the Observatory itself, below 20 Hz, can be discarded. The Observatory lies in a residential area,
123	without operation of heavy equipment in the institution where only technical and research staff work.
124	Working hours start at 09 AM, but the average noise in the range 4-14 Hz reaches its daily average
125	about 05:30 AM local time (see Fig. S1 in the Supplementary Material), due to traffic in the
126	neighborhood and the city in general. This discards a significant contribution from local activities of the
127	Observatory.
128 129 130	3 The Social Isolation Index (I_k) and the Isolation Seismic Index (ISI)
131	We use the smart-phone isolation index to calibrate the noise level as a proxy for the number of
132	noise sources in the city. We assume that the smart-phone movement away from home is directly
133	proportional to the number of seismic sources (mainly cars, trains, buses, factories) operating during the
134	day.
135	3.1 The smart-phone index I_k
136	The Brazilian company In Loco (www.inloco.com.br) collects the positions of more than 37
137	million smart-phones, all over Brazil, when they are using their SDK (software development kit), which
138	is present in many popular mobile apps. No personal information is gathered. The anonymized data
139	collected by In Loco contain the physical locations where billions of visits to selected apps have
140	occurred. The technology uses not only Global Positioning System (GPS) data, but also wireless and

other mobile sensors that combined allow a precision of meters for the locations. Based on the pattern of 141 142 similar positions outside working hours, it is possible to define their home or neighborhood location and 143 then measure the number of times each smart-phone moves more than a few hundreds of meters away 144 from home or their neighborhood, for more than 5 min, within 24 hours. A more detailed explanation of 145 their methodology can be found in Peixoto et al. (2020). The In Loco isolation index is provided by the 146 company already in aggregated form, by cities, therefore totally preserving anonymity, since no 147 individual data was available for this research. The index measures the fraction of people that stay at 148 home and is defined as

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$$I_k = \frac{n_k^{res} - n_k^{mov}}{n_k^{res}}$$

where n_k^{res} is the estimated total number of city residents in the *In Loco* database, and n_k^{mov} is the number of smartphones used outside their home location in day *k*. Before the lockdown decree, on March 23, I_k was about 0.2 on average (Fig. 2), indicating that about 80% of people used to be away from home. For Rio de Janeiro city, the In Loco system captures ~10% of the population (mainly the more economically active individuals). The database is not a census of all individuals' locations, but it has been shown to capture well the overall population mobility (Peixoto et al., 2020, Candido et al 2020).

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159 3.2 The Isolation Seismic Index (ISI)

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According to the definition, the fraction of people out of home is given by $(1-I_k)$. We assume that the number of noise sources (vehicles, trains, trucks, working factories) is proportional to $(1-I_k)$ and they are the main sources that contribute to the records at the station. That is, for a high-noise station within a city, natural sources of noise (wind, rain, etc.) are usually insignificant. We also assume that the energy of the seismic waves, for a frequency range of 2-20Hz, (dominated by anthropic noise) is proportional to the square of the particle velocity. Therefore, we expect that $(1-I_k)$ should be proportional to the noise "energy".

We measure the noise levels as follows: a) remove the instrument response and calculate the power spectral density for the particle velocity for every 15min segment with overlao of 7.5 min; b) integrate the velocity power spectral density, in a certain frequency band, to estimate the *rms* particle velocity for each segment; c) get the *rms* median value of for all segments between 07 AM and 06 PM local time. Taking medians, instead of the averages, avoids the influence of spurious bursts of noise from very local disturbances. These calculations were done with a script based on a code by Thomas LeCocq (https://github.com/ThomasLecocq/SeismoRMS - last access Mat/2020).

We tested various frequecy ranges and found that 4-8 Hz best correlates with the mobile-phone isolation index (Fig. S2). This is consistent with our comparison of the polarization results with the expected traffic: noise from this frequency range probably comes from more distant sources in the city and are, therefore, more representative of the whole city where the isolation index I_k was obtained.

Fig. 4 compares the fraction of people staying out of their homes, $(1-I_k)$, with both the median amplitude and energy (i.e., median amplitude squared) of the diurnal particle velocity (between 10h-21h UTC, 07h-18h local time), for the best fitting band 4-8 Hz. It is clear, from the histogram shapes and reduced errors in the fit (Fig. S2), that the square of the amplitudes has a better linear relation with the $(1-I_k)$ index, compared with the amplitude, as expected. Therefore, we can estimate a calibration constant with

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186
$$(1 - I_k) = C \cdot (A_k)^2$$
 (1)

188	Fig. 4 also shows that the relation between I_k and noise is different between weekdays, Saturdays
189	and Sundays+Holidays. One possible interpretation is that on Sundays and holidays people leave home
190	mainly using light vehicles; heavier sources of noise (trucks, trains, buses, working factories, etc.) are
191	mainly operated on weekdays. Saturday is halfway between Sunday and workdays where factories and
192	commerce are partially functioning.
193	The good correlation between I_k and noise energy allows us to define an "Isolation Seismic
194	Index", ISI, as:
195 196 197	$(1 - ISI_k) = \mathbf{C} \cdot (A_k)^2 \tag{2}$
198	where coefficient C can have three values: $C = C_W$ for working weekdays, C_{Sa} for Saturdays and $C =$
199	C_{SH} for Sundays and holidays. Based on Fig 4, we obtained $C_W = 0.00120$, $C_{Sa} = 0.00166$, $C_{SH} =$
200	0.00219. One interpretation of the C values is that for the same noise amplitude, we must expect less
201	isolation (i.e., higher 1- I_k) on the weekend than on the weekdays.
202	In Fig. 4, we also show the histograms of the difference (in percentage) between the measured
203	index $(1-I_k)$ by the In Loco company and the ISI value predicted by the coefficient C using the amplitude
204	and energy of the signal. The histograms for the energy, in all situations, are more concentrated around
205	zero than histograms for the amplitude, reinforcing the better agreement of the energy and isolation
206	index. Another conclusion is that the difference between I_k and ISI is concentrated within +-20%. The
207	rms fit, in percentage, in Fig. 4b, is 11%. For example, if the noise level indicates a seismic isolation
208	index of 0.40 (i.e., 40% isolated, 60% outside), the expected uncertainty would be 6.6% (11% of 0.60).
209	
210	Fig. 5 shows the Isolation Seismic Index for Rio de Janeiro city (blue line) compared to the In
211	Loco smart-phone Isolation Index I_k . The ISI level on the weekdays after the lockdown decree matches
212	the smart-phone derived index quite well, as well as the variation between weekends and weekdays.

213 **3 Discussion**

A detailed comparison between ISI and I_k indexes (Fig. 5) shows that, despite the overall excellent agreement (using only two parameters, C_W and C_{SH} ; for Saturday, we used the average between C_W and C_{SH}), small inconsistencies are observed which can help interpretation of social isolation and mobility patterns.

218 In the week of March 16 to 20 (Monday to Friday), the seismic index continues in the same level 219 as the previous working weeks, whereas the I_k index shows that many people had already started to stay 220 at home. One possible interpretation is that, before the city council decree imposing severe isolation 221 after March 22 (closing all shops, restaurants, commerce, etc.) many people had already started reducing 222 their social activities due to the previous state decree of March16, prohibiting large gatherings, closing 223 cinemas, theater, sports, schools, and even inter-state bus trips. These early isolation steps, however, 224 may not have affected industries, commerce, services and municipal public transportation, which 225 maintained the number of heavy seismic noise sources in the same level, until the definite lockdown on 226 the Monday 23.

227

228 4 Conclusions

The government quarantine, due to the COVID-19 pandemia, drastically reduced the mobility of the population and commercial activity. Owing to those restrictions the noise level recorded at seismographic stations close to urban centers decreased significantly. Here, we show this effect at station ON02 situated at an urban area of Rio de Janeiro city. We compared the average particle-velocity recorded by that station with the social isolation index (I_k), measured through smart-phone location monitoring, and showed that both are closely related: the noise level decreases as the social isolation index increases. The correlation is good enough to allow an "Isolation Seismic Index" to be defined,

after calibration with the smart-phone derived index.

The Isolation Seismic Index does not measure directly the number of people outside, but

estimates the number of active noise sources such as trains, buses, vehicles, and factories, and so can be

used as additional information to interpret anomalies in the other proxies.

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- 243 acknowledges FAPESP (São Paulo State Research Foundation) grant 16/18445-7. Figure 2 was made
- 244 using Thomas Lecocq code <u>https://github.com/ThomasLecocq/SeismoRMS (last access 04/May/2020).</u>
- 245 Data for the Rio de Janeiro station ON.ON02 is available at the database of the Brazilian Seismographic
- 246 Network: www.rsbr.gov.br. In Loco isolation indexes can be viewed at their site www.inloco.com.br.
- The data used here (daily noise median amplitudes, and I_k indexes) are available at a Zenode repository
- 248 (https:www.....)

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- 310

311 **FIGURE LEGENDS:**

- 313
- 314 **Fig. 1.**
- **a**) Location of the National Observatory (red triangle) in the Rio de Janeiro metropolitan area, with the
- 316 seismic station ON.ON02. The station is located ~4 km NW of the busiest city center. White lines are
- the main access highways (BR040, BR101, BR116). **b**) Street type in Rio de Janeiro: red lines are
- 318 highways, blue main avenues, gray local streets. Green lines are rail tracks. Note N-S running "Brasil
- 319 Avenue" and "Red Line" just East of the station, and fewer busy streets to the West and SW. Green-
- 320 shaded areas are hills and city parks of Rio de Janeiro. Figure 1b uses data from Wikimedia Maps and
- 321 Open Street Map project available at <u>https://maps.wikimedia.org/</u>. Federal Highways in Fig. 1a from
- 322 GeoLogística, Brazil (*https://geo.epl.gov.br/portal/home/*).
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- 326 327 Fig. 2. Vertical component particle velocity at ON.ON02 in Rio de Janeiro city (01/Fev to 328 20/Apr/2020). Comparison of seismic noise level (blue and dark blue lines, in nm/s, left scale) with the 329 "In Loco" Social Isolation Index (I_k , red line, fraction of the population staying at home). Note that we 330 plot $(1-I_k)$ which measures the percentage of people outside. The light blue line is hourly medians of the 331 seismic noise showing higher levels during the day and lower at night. The dark blue curve shows daily 332 median amplitudes (07:00 to 18:00 Local Time). Background color shows weekdays in green and 333 Saturday and Sundays in white. Dates in the horizontal axis are plotted at the beginning of the day 334 (00:00 Local Time). Weekends have lower seismic noise both at day and night compared to weekdays. 335 The dashed and dot-dashed green vertical lines are the dates of the Social Isolation Decree by the state 336 government (16/March) and city council (23/March), respectively. Solid and dotted vertical lines are the 337 Sunday Carnival and Good Friday holidays. After the isolation decrees, daytime noise on weekdays 338 reduced from averages of about 26 nm/s to 21 nm/s, while the number of people outside decreased from 339 about 80% to less than 50% in the first lockdown week. Plotting script by Thomas Lecocq (Belgian 340 Observatory, https://github.com/ThomasLecocq, last access 04/May/2020). 341 342 343 344 Fig. 3. Arrival directions of Rayleigh waves at ON02 for various frequency bands. Left and right
- columns show directions before and after the isolation decrees, respectively. Processed periods are
 Monday-Thursday 7AM to 6 PM local time for two weeks. No consistent difference is observed before
 or during the quarentine.
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- 349 350

351	Fig. 4. Relation between the average diurnal noise (07-18 hs local time) and the fraction of people
352	outside (1-Ik). top left) average amplitude, bottom left) average "energy" (velocity squared). I_k is the In
353	<i>Loco</i> isolation index (fraction of people staying at home); therefore $(1-I_k)$ is fraction of people outside.
354	Black circles are weekdays, red are Sundays and Holidays, and blue are Saturdays or bridges. Note the
355	clear linear relation between energy and $(1 - I_k)$. During weekdays the number of heavy noise sources
356	(trucks, trains, working factories) is relatively higher than on Sundays and Holidays, for the same
357	number of people outside. Saturday plots at midway between weekdays and Sundays. The histograms
358	show the relative errors (in percentage) of the seismic "outside index" (1- ISI) in relation to the smart-
359	phone index. Smaller errors are seen for the energy (bottom histogramas) compared with the amplitude
360	(top).
361 362 363	
364	Fig. 5. Comparison of the Social Isolation Index (red line for the city of Rio de Janeiro) with the

Fig. 5. Comparison of the Social Isolation Index (red line for the city of Rio de Janeiro) with the
 Isolation Seismic Index, *ISI* (blue line). The days annotated in the horizontal axis are Sundays. The
 vertical short green lines show the Carnival (Tuesday, February 25) and the Good Friday (April 10)
 holidays. The two vertical green lines indicate the dates of the state and city council isolation decrees.

Figure 1.



Figure 2.



Figure 3.



Figure 4.



Figure 5.



