

# Submanifolds, Holonomy, and Homogeneous Geometry

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# Introduction.

*We would like to draw the attention to some problems of both submanifold and homogeneous geometry.*

*These two topics will be often related, in a subtle way, via the so-called normal holonomy.*

*In this expository talk we will intend to give a panoramic view on the main results, in this context, obtained in the past thirty years. We will comment on recent developments and open problems in the area.*

Let us begin with some motivations:

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Symmetric spaces play a central rôle in Riemannian geometry.

On the one hand, this family of homogeneous spaces is so wide that from the geometry of such spaces one can infer general results in Riemannian geometry, e.g. de Rham decomposition theorem or properties of spaces with sectional curvatures of the same sign.

On the other hand, this family is so particular, that the geometry of symmetric spaces is very rich and non-generic.

Some of the most beautiful and important results in Riemannian geometry, are theorems that under mild non-generic assumptions imply symmetry. This is the case of the Berger holonomy theorem and the rank rigidity theorem of Ballmann/Burns-Spatzier.

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Some remarkable results in submanifold geometry are theorems that imply, under mild assumptions, that a given submanifold is an orbit of an  $s$ -representation.

This is the case of the well-known theorem of Thorbergsson (Ann. of Math. 1991) about the homogeneity of isoparametric submanifolds of high codimension. This is also the case of the so-called Rank Rigidity theorem for submanifolds (O.; JDG, 1994, Di Scala-O.; Crelle, 2004).

The above mentioned results on Riemannian geometry look similar to those on submanifold geometry (being the main ingredients Riemannian or normal holonomy).

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This is explained, in part, by the fact that using submanifold geometry, with normal holonomy ingredients, one can prove, geometrically, the Berger holonomy theorem (O.; Ann. of Math., 2005), or the Simons' (algebraic) holonomy theorem (O.; L' Enseign.Math., 2005).

This last theorem can be generalized to the so-called *Skew-torsion holonomy theorem* (O.-Reggiani; Crelle, 2012) with strong applications to naturally reductive spaces.

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# Euclidean submanifold geometry and holonomy

A similar rôle as symmetric spaces play in Riemannian geometry is played by all the orbits of  $s$ -representations i.e., the isotropy representation of semisimple symmetric spaces.

For example the isotropy representation of the symmetric space  $SL(n)/SO(n)$  can be regarded as  $SO(n)$  acting by conjugation on the space of traceless symmetric matrices.

The isotropy representation of the Grassmannian  $SO(n+k)/SO(n) \times SO(k)$  can be regarded as the action of  $SO(n) \times SO(k)$  on  $\mathbb{R}^{n \times k}$  given by

$$(g, h).A = gAh^{-1}$$

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# Euclidean submanifold geometry and holonomy

A similar rôle as symmetric spaces play in Riemannian geometry is played by all the orbits of  $s$ -representations i.e., the isotropy representation of semisimple symmetric spaces.

For example the isotropy representation of the symmetric space  $SL(n)/SO(n)$  can be regarded as  $SO(n)$  acting by conjugation on the space of traceless symmetric matrices.

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A symmetric space is characterized by the property that the parallel transport  $\tau_c$  along any curve  $c$ , starting at  $p$  is achieved by the differential of an isometry  $g$ , i.e.,

$$dg|_p = \tau_c \quad g \text{ is unique.} \quad (\text{E. Cartan})$$

On the other hand, an orbit  $M = K.v \subset \mathbb{R}^N$  of an  $s$ -representation is characterized by a similar property, with respect to the normal connection. Namely, the normal parallel transport  $\tau_c^\perp$ , along any  $c$  in  $M$ , starting at  $p$ , can be achieved by the differential of some extrinsic isometry of  $M$  (O.-Sánchez; Crelle, 1991). That is, there exists an isometry  $g$  of  $\mathbb{R}^N$  such that  $g(M) = M$  and

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**Informal Remark.** The normal connection gives weaker information, in submanifold geometry, than the Levi-Civita connection in Riemannian Geometry.

**Normal Holonomy Theorem** (O.; PAMS, 1990) *The normal holonomy group of a Euclidean submanifold, acts on the normal space, up to the set of fixed vectors, as the isotropy representation of a semisimple symmetric space.*

The holonomy of a symmetric space, without Euclidean factor, coincides with the isotropy (represented on the tangent space). So, the normal holonomy theorem can be phrased as follows: *the normal holonomy representation of a Euclidean submanifold coincides with the holonomy representation of a symmetric space.* This means that the normal holonomy representation coincides with a non-exceptional Riemannian holonomy.

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As we informally pointed out, the normal holonomy gives weaker information than the Riemannian holonomy. So, interesting applications of the normal holonomy, **can only be given within a restrictive class of submanifolds, as, for instance, the following:**

- 1) *Homogeneous submanifolds.* ●●●
- 2) *Submanifolds with constant principal curvatures.*
- 3) *Complex submanifolds.*

In order to illustrate this, we enunciate two Berger-type theorems, for the last two classes of submanifolds.

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For the second family of submanifolds we have the following reformulation of a remarkable result of *G. Thorbergsson* about the homogeneity of isoparametric submanifolds of higher codimension (which can also be proved by using normal holonomy methods, O.; JDG 1993).

**Theorem** (Thorbergsson; Ann. of Math., 1991). *Let  $M$  be a submanifold of the sphere with constant principal curvatures. Assume that the normal holonomy group of  $M$  acts irreducibly and non-transitively. Then  $M$  is an orbit of an  $s$ -representation.*

For the third class of submanifolds, i.e. complex, we have

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**Theorem** (Console, Di Scala, O.; Math. Ann., 2011). *Let  $M$  be a complete and full complex submanifold of  $\mathbb{C}P^n$ . If the normal holonomy of  $M$  is not transitive, then  $M$  is the (unique) complex orbit, in the projectivized space, of an irreducible Hermitian  $s$ -representation (or equivalently,  $M$  is an extrinsic symmetric submanifold of  $\mathbb{C}P^n$ ).* ● ● ●

The proof uses all the main techniques developed in this area. *We believe that this theorem would have strong applications in algebraic geometry.*

*A. Di Scala and F. Vittone (Adv. Math. 2017) generalized the above theorem: if we remove, in the above theorem, the completeness assumption, then one obtains the Mok's characteristic varieties.*

*Open problem: what happens if the ambient space is the dual symmetric space of  $\mathbb{C}P^n$ , i.e. the complex hyperbolic space? It is conjectured, if the submanifold is complete, that the normal holonomy is always transitive.*



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*Conjecture. If the normal holonomy group, of an irreducible and full homogeneous submanifold  $M^n$  of the sphere with  $n \geq 2$ , does not act transitively on the normal sphere, then  $M$  is an orbit of an  $s$ -representation.*

Observe, from the rank rigidity theorem of submanifolds, that the above conjecture is true if the normal holonomy group has a non-zero fixed vector.

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The conjecture is true if  $n = 2$  in which case the normal holonomy is always transitive (*Submanifolds and Holonomy*, Berndt, Console, O.; 2003).

It is also true for  $n = 3$  (O.-Riaño; J. Math. Soc. Japan 2015), by making use of topological arguments. In this case the only 3-submanifold with non-transitive normal holonomy is the Veronese embedding of the real projective space  $\mathbb{P}^3$  into the sphere  $S^9$ .

The conjecture is also true if the normal holonomy acts irreducibly and the codimension, in the Euclidean space, is the maximal one  $\frac{1}{2}n(n+1)$  (O.-Riaño; J. Math. Soc. Japan, 2015). We obtain the Veronese embedding of  $\mathbb{P}^n$  into  $\mathbb{R}^{\frac{1}{2}(n+2)(n+1)-1}$ .

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A central result, related to normal holonomy, is the so-called **Rank Rigidity theorem** for submanifolds.

Decompose the normal bundle of  $M$  as

$$\nu M = \nu_0 M \oplus \nu_0^\perp M$$

where  $\nu_0 M$  is the maximal parallel and flat subbundle of  $\nu M$ .

Observe that the normal holonomy group acts on  $\nu_0^\perp M$  as an  $s$ -representation.

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Let us only state the version for homogeneous submanifolds of the the rank rigidity theorem .

**Theorem** (O.; JDG,1994). *Let  $M^n$ ,  $n \geq 2$ , be a full and irreducible homogeneous Euclidean submanifold. If  $\text{rank}(M) \geq 2$ , then  $M$  is an orbit of an (irreducible)  $s$ -representation.*

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**Corollary.** Let  $M^n = K.v$ ,  $n \geq 2$ , be a full irreducible Euclidean homogeneous submanifold. Then any parallel normal field is  $K$ -invariant.

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The following corollaries are the key fact for relating normal holonomy with tangent holonomy. More precisely, for the geometric proofs of the Berger holonomy theorem (O.; Ann. of Math., 2005) and the Simons holonomy (systems) theorem (*Submanifolds and Holonomy*, CRC/Chapman and Hall 2016).

**Corollary.** Let  $M^n = K.v$ ,  $n \geq 2$ , be a full irreducible Euclidean homogeneous submanifold. Then any parallel normal field is  $K$ -invariant.

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On the other hand, there are some applications of Simons holonomy theorem to submanifold geometry. In particular, as a consequence of this result, Berndt-O. proved that the slice representation of the isotropy of a **non-flat** totally geodesic submanifold of a symmetric space, of rank at least 2, is non-trivial.

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In this case the triple  $[\nabla, \theta, G]$  is called a skew-torsion holonomy system.

Such a  $\mathcal{G}$ -valued 1-form arises, usually, as the difference tensor  $\nabla - \hat{\nabla}$  between the Levi-Civita connection and a metric connection  $\hat{\nabla}$  with the same geodesics., i.e. connections with skew-torsion.

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In this case the triple  $[\mathbb{V}, \theta, \mathcal{G}]$  is called a **skew-torsion holonomy system**.

Such a  $\mathcal{G}$ -valued 1-form arises, usually, as the difference tensor  $\nabla - \hat{\nabla}$  between the Levi-Civita connection and a metric connection  $\hat{\nabla}$  with the same geodesics., i.e. **connections with skew-torsion**.

We will refer to this later.

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*An irreducible non-transitive skew-torsion holonomy system must be symmetric.*

*Unlike the case of holonomy systems, **no transitive groups can occur**, with the exception of the full orthogonal group. This is related to the fact that the only rank one symmetric space of group type is  $S^3$*

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Our approach is geometric, based on submanifold geometry, and does not use any classification result.

Our motivation for finding such a result came from *naturally reductive* homogenous spaces.

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The above theorem explains, in a geometric way, the following result (a question posed by *J. Wolf*, for the strongly isotropy irreducible spaces, and by *M. Wang* and *W. Ziller*).

**Corollary** (Wolf; Wang-Ziller). *Let  $M^n = G/H$  be a compact, simply connected, irreducible homogeneous Riemannian manifold such that  $M$  is neither isometric to the sphere  $S^n$ , nor to a (simple) compact Lie group with a bi-invariant metric. Assume that  $M$  is isotropy irreducible with respect to the pair  $(G, H)$  (effective action). Then  $G^\circ = \text{Iso}(M)^\circ$ .*

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# The Onischchik index of a symmetric space

Submanifolds,  
Holonomy, and  
Homogeneous  
Geometry

Carlos Olmos

The classification of Lie triple systems, or, equivalently, totally geodesic submanifolds in Riemannian symmetric spaces of higher rank is a very complicated and essentially unsolved problem.

Though a Lie triple system is an elementary algebraic object, explicit calculations with them can be tremendously complicated.

*Sebastian Klein* obtained between 2008-10, in a series of papers, the classification of totally geodesic submanifolds in irreducible Riemannian symmetric spaces of rank 2.

The classification for rank  $\geq 3$  is an open problem.

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# The Onischchik index of a symmetric space

The classification of Lie triple systems, or, equivalently, totally geodesic submanifolds in Riemannian symmetric spaces of higher rank is a very complicated and essentially unsolved problem.

Though a Lie triple system is an elementary algebraic object, **explicit calculations** with them can be tremendously complicated.

*Sebastian Klein* obtained between 2008-10, in a series of papers, the classification of totally geodesic submanifolds in irreducible Riemannian symmetric spaces of rank 2.

The classification for rank  $\geq 3$  is an open problem.

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A rather well-known result states that an irreducible Riemannian symmetric space which admits a totally geodesic hypersurface must be a space of constant curvature. As far as we know, the first proof of this fact was given by N. Iwahori in 1965.

In 1980, *A. L. Onishchik* introduced the *index*  $i(M)$  of a Riemannian symmetric space  $M$  as the minimal codimension of a totally geodesic submanifold of  $M$ . Onishchik gave an alternative proof for Iwahori's result and also classified the irreducible Riemannian symmetric spaces with index 2.

Recently, jointly with *Jürgen Berndt*, we revisited the index problem with a geometric approach (JDG 2016, Bull. LMS 2017, Crelle 2018).

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Our starting point, for dealing with the index of a symmetric space, is the inequality in the following main result:

*Theorem.* Let  $M$  be an irreducible Riemannian symmetric space. Then

$$\operatorname{rk}(M) \leq i(M).$$

Moreover, the equality holds if and only if, up to duality,  $M = SL_{k+1}/SO_k$  or  $M = SO_{k,n}^o/SO_kSO_n$

We prove the inequality  $\operatorname{rank}(M) \leq i(M)$  by showing the following: if  $\Sigma$  is a totally geodesic submanifold of a symmetric space  $M$ , then there exists a maximal flat  $F$  of  $M$  that intersects  $\Sigma$  transversally.

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**Slice Lemma.** *Let  $\Sigma$  be a non-flat totally geodesic submanifold of an irreducible symmetric space  $M = G/K$  of rank at least 2 (which contains  $p = [e]$ ). Then the slice representation  $\rho : (K^\Sigma)^\circ \rightarrow SO(\nu_p \Sigma)$  is not trivial (or, equivalently, the restricted normal holonomy of  $\Sigma$  is not trivial).*

The above result is used to prove the following key result

**Proposition.** *Let  $\Sigma$  be a semisimple totally geodesic submanifold of a symmetric space  $M = G/K$ , with  $p = [e] \in \Sigma$ . Then  $\Sigma$  is reflective if and only if the full slice representation  $\tilde{\rho} : \tilde{G}_p^\Sigma \rightarrow O(\nu_p \Sigma)$  is not injective.*

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Reflective submanifolds have been classified by Leung in the 70'.

Our main results with Jürgen Berndt were:

- *to determine the index of many symmetric spaces, which includes all the symmetric spaces of group type (and its symmetric duals). In particular, we classified the symmetric spaces with index at most 6.*
- *to determine the maximal totally geodesic submanifolds, of symmetric spaces, that are **non-semisimple**: their associated Lie triple systems are the normal spaces to extrinsic symmetric isotropy orbits (and so, in particular, reflective).*

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A totally geodesic submanifold  $\Sigma$  of a symmetric space  $M$  is called *reflective* if its normal space, at a point, is the tangent space to a totally geodesic submanifold (or, equivalently  $\Sigma$  is a connected component of the fixed set of an involutive isometry of  $M$ ).

Reflective submanifolds have been classified by [Leung](#) in the 70'.

Our main results with Jürgen Berndt were:

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The *reflective index* of a symmetric space is the minimal codimension of reflective totally geodesic submanifolds. In the above mentioned papers, we determined the reflective index.

**Conjecture.** *The index of an irreducible symmetric space  $M$ , which is different from  $G_2/SO(4)$  (or its symmetric dual) coincides with its reflective index  $i_r(M)$ .*

Recently, with *J. Berndt* and *Juan Sebastián Rodríguez*, we determined the *maximal totally geodesic submanifolds with a non-zero normal vector which is fixed by the (glide) isotropy*. Moreover, we calculated the index, verifying the conjecture, for all symmetric spaces except the families:

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# The nullity of homogeneous spaces

*In the last part of the talk we would like to comment on recent results with [Antonio J. Di Scala](#) and [Francisco Vittono](#).*

Given a Riemannian manifold  $M$  with Riemannian curvature tensor  $R$  and a point  $p \in M$ , the *nullity subspace*  $\nu_p$  of  $M$  at  $p$  is defined as the subset of  $T_pM$  consisting of those vectors that annihilate  $R$ , i.e.,

$$\nu_p = \{v \in T_pM : R_{\cdot, \cdot} v \equiv 0\}.$$

The dimension of the nullity subspace is called the *index of nullity* at  $p$ . In an open and dense subset of  $M$ , where this index is locally constant, the nullity defines an integrable distribution with totally geodesic and flat leaves.

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Nothing was known about the nullity of homogeneous Riemannian manifolds  $M = G/H$ .

Our first result, and not difficult to obtain, is that if  $M = G/H$  is [compact](#), then the nullity distribution is parallel. So, if  $M$  is locally irreducible,  $M$  has a trivial nullity distribution.

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Let us explain our main result, obtained by geometric methods, about homogeneous Riemannian manifolds  $M = G/H$  with non-trivial nullity index.

This result implies that the *distribution of symmetry*  $\mathfrak{s}$  of  $M$  is non-trivial. Moreover, its flat part  $\mathfrak{s}_0$  must be non-trivial.

$$\mathfrak{s}_p = \{X_p : X \in \mathcal{K}(M) \text{ is a transvection at } p\}$$

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By making use of the Jacobson-Morozov theorem, we have:

**Corollary** (Di Scala-O.-Vittone). *Let  $M = G/H$  be a homogeneous Riemannian manifold which does not split off a local flat factor and such that  $G$  is semisimple. Then the nullity distribution of  $M$  is trivial.*

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By making use of the main theorem we were able to construct the first known examples of homogeneous spaces with non-trivial nullity (in dimension 4, the minimal possible dimension). Namely, we construct a 1-parameter family of non-homothetic examples.

## Questions:

*Are there examples which are not topologically trivial?*

*Are there examples  $M = G/H$ , with  $G$  non-solvable?*

*Are there Kähler examples?*

*Are there (non-trivial) examples in any dimension  $d \geq 5$ ?*

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**Many thanks for your attention!**