Probabilistic Forests

An application to the rhythmic distinction between Brazilian and European Portuguese

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A VLMC is a stochastic chain $(X_0, X_1, ...)$ taking values on a finite alphabet A and characterized by two elements:

• The set of all contexts.

A context $X_{n-\ell}, \ldots, X_{n-1}$ is the finite portion of the past X_0, \ldots, X_{n-1} which is relevant to predict the next symbol X_n .

• A family of transition probabilities associated to each context.

Given a context, its associated transition probability gives the distribution of occurrence of the next symbol immediately after the context.

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- The set of all contexts is a *suffix code*. This means that no context is a suffix of another context.
- For this reason this set can be represented as a tree. This tree with the associated transition probabilities is called a *Probabilistic Tree*.

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- The written texts where transformed into sequences over the alphabet $\mathcal{A} = \{0, 1, 2, 3, 4\}$.
- The sequences where obtained using well defined rules taking into account the boundaries between prosodic words and the stressed syllabus.
- This analysis suggests a typical contexts tree for each language.

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The typical trees for BP and EP are:



This study showed significant patterns that had been conjectured by linguists.

• The preceding results show high variability.

• The Context Algorithm used to estimate the trees is very sensitive to spurious strings.

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- A set Γ of probabilistic trees.
- A probability distribution over this set $\{p(\tau)\}_{\tau\in\Gamma}$.

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How to define the set of trees Γ

- The set Γ depends on the problem and can be any set with a reasonable number of trees.
- For the linguistic problem, we choose the set of trees satisfying the algebraic restrictions given by the codification and with depth not bigger than 3.
- Given a sample sequence x₁, x₂,..., x_n (or a set of sample sequences) the probability of each tree in the set is estimated by the procedure followed in Eskin *et al.* (2002).

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How to estimate the probability of each tree

Following Eskin et al. (2002):

- First, propose a *prior* weight $\omega^0(\tau)$.
- Then, for each instant of time *i* update this weight by the formula:

$$\omega^{i}(\tau) = \begin{cases} \omega^{i-1}(\tau) \frac{1}{|\mathcal{A}|}, & \text{if } i < d(\Gamma) \\ \omega^{i-1}(\tau) \hat{\mathbb{P}}^{i}_{\tau}(x_{i} | c_{\tau}(x_{0}, \dots, x_{i-1})), & \text{if } n \ge d(\Gamma) \end{cases}$$

where $\hat{\mathbb{P}}_{\tau}^{i}(x_{i}|c_{\tau}(x_{0},...,x_{i-1}))$ is the *Maximum Likelihood Estimate* of the transition probabilities in τ with the sample until time *i*, and $d(\Gamma)$ is the maximal depth of the trees in Γ .

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A closed formula for $\omega^n(\tau)$

These weights $\omega^n(\tau)$ can be rewritten as:

$$\omega^{n}(\tau) = \omega^{n-1}(\tau)\hat{\mathbb{P}}_{\tau}^{n}(x_{n}|c_{\tau}(x_{0},\ldots,x_{n-1}))$$

$$=\omega^{0}(\tau)\frac{1}{|\mathcal{A}|^{d(\Gamma)}}\prod_{i=d(\Gamma)}^{n}\hat{\mathbb{P}}_{\tau}^{i}(x_{i}|c_{\tau}(x_{0},\ldots,x_{i-1}))$$

$$=\omega^{0}(\tau)\frac{1}{|\mathcal{A}|^{d(\Gamma)}}\prod_{s\in\tau}\prod_{a\in\mathcal{A}}\frac{(N_{n}(s,a)+1)!}{(N_{n}(s)+|\mathcal{A}|)!}$$

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Finally, we normalize the weights using the formula:

$$p^n(au) = rac{\omega^n(au)}{\sum_{ au' \in \Gamma} \omega^n(au')}$$

Therefore, $p^n(\tau)$ is the probability of tree τ estimated with the sample x_1, x_2, \ldots, x_n by the preceding procedure.

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n = 148887.

 $\omega^{0}(\tau) = (500n)^{-t(\tau)}$, where $t(\tau)$ is the number of terminal nodes of τ .

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Empirical results for EP

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 $p^n(\tau) = 0.99$ for the following tree:



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Empirical results for BP

n = 619282.

 $\omega^{0}(\tau) = (500n)^{-t(\tau)}$, where $t(\tau)$ is the number of terminal nodes of τ .

 $p^n(\tau) = 0.8$ for the following tree:



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Theorem

Let τ be a probabilistic tree. Then for almost all sequences x_1, x_2, \ldots generated by τ , we have that

 $p^n(\tau) \rightarrow 1$ when $n \rightarrow \infty$.

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$$\boldsymbol{\rho}^{\boldsymbol{n}}(\tau) = \frac{\omega^{\boldsymbol{n}}(\tau)}{\sum_{\tau' \in \boldsymbol{\Gamma}} \omega^{\boldsymbol{n}}(\tau')}$$

$$=\left(\sum_{\tau'\in\Gamma}\frac{\omega^n(\tau')}{\omega^n(\tau)}\right)^{-1}$$

$$=\left(1+\sum_{\tau'\in\Gamma\setminus\{\tau\}}\frac{\omega^n(\tau')}{\omega^n(\tau)}\right)^{-1}$$

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$\omega^{n}(\tau) = \omega^{0}(\tau) \frac{1}{|\mathcal{A}|^{d(\Gamma)}} \prod_{s \in \tau} \prod_{a \in \mathcal{A}} \frac{(N_{n}(s, a) + 1)!}{(N_{n}(s) + |\mathcal{A}|)!}$ $= \omega^{0}(\tau) \frac{1}{|\mathcal{A}|^{d(\Gamma)}} \prod_{s \in \tau} \hat{\mathbb{P}}_{KT,s}(x_{1}^{n})$

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$$\begin{split} \omega^{n}(\tau) &= \omega^{0}(\tau) \frac{1}{|\mathcal{A}|^{d(\Gamma)}} \prod_{s \in \tau} \prod_{a \in \mathcal{A}} \frac{(N_{n}(s, a) + 1)!}{(N_{n}(s) + |\mathcal{A}|)!} \\ &= \omega^{0}(\tau) \frac{1}{|\mathcal{A}|^{d(\Gamma)}} \prod_{s \in \tau} \hat{\mathbb{P}}_{\mathcal{KT},s}(x_{1}^{n}) \end{split}$$

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$$\frac{\omega^{n}(\tau')}{\omega^{n}(\tau)} = \frac{\omega^{0}(\tau')}{\omega^{0}(\tau)} \frac{\prod_{s'\in\tau'} \hat{\mathbb{P}}_{\mathsf{KT},s'}(x_{1}^{n})}{\prod_{s\in\tau} \hat{\mathbb{P}}_{\mathsf{KT},s}(x_{1}^{n})}$$

$$=\frac{\omega^{0}(\tau')}{\omega^{0}(\tau)}\prod_{s\in\tau,s\prec\tau'}\frac{\prod_{s'\in\tau',s'>s}\hat{\mathbb{P}}_{KT,s'}(x_{1}^{n})}{\hat{\mathbb{P}}_{KT,s}(x_{1}^{n})}$$

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$$\prod_{s'\in\tau',s'\prec\tau} \frac{\hat{\mathbb{P}}_{KT,s'}(x_1^n)}{\prod_{s\in\tau,s>s'}\hat{\mathbb{P}}_{KT,s}(x_1^n)}$$

$$\begin{split} \frac{\omega^{n}(\tau')}{\omega^{n}(\tau)} &= \frac{\omega^{0}(\tau')}{\omega^{0}(\tau)} \frac{\prod_{s' \in \tau'} \hat{\mathbb{P}}_{KT,s'}(x_{1}^{n})}{\prod_{s \in \tau} \hat{\mathbb{P}}_{KT,s}(x_{1}^{n})} \\ &= \frac{\omega^{0}(\tau')}{\omega^{0}(\tau)} \prod_{s \in \tau, s \prec \tau'} \frac{\prod_{s' \in \tau', s' > s} \hat{\mathbb{P}}_{KT,s'}(x_{1}^{n})}{\hat{\mathbb{P}}_{KT,s}(x_{1}^{n})} \\ &\prod_{s' \in \tau', s' \prec \tau} \frac{\hat{\mathbb{P}}_{KT,s'}(x_{1}^{n})}{\prod_{s \in \tau, s > s'} \hat{\mathbb{P}}_{KT,s}(x_{1}^{n})} \end{split}$$

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$$\log \frac{\omega''(\tau')}{\omega^{n}(\tau)} = \log \frac{\omega^{0}(\tau')}{\omega^{0}(\tau)} + \\ + \sum_{s \in \tau, s \prec \tau'} \left[\sum_{s' \in \tau', s' > s} \log \hat{\mathbb{P}}_{KT, s'}(x_{1}^{n}) - \log \hat{\mathbb{P}}_{KT, s}(x_{1}^{n}) \right] \\ + \sum_{s' \in \tau', s' \prec \tau} \left[\log \hat{\mathbb{P}}_{KT, s'}(x_{1}^{n}) - \sum_{s \in \tau, s > s' \prec \tau} \log \hat{\mathbb{P}}_{KT, s}(x_{1}^{n}) \right]$$

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Consistency Theorem Idea of the proof

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$$\log \frac{\omega''(\tau')}{\omega^{n}(\tau)} = \log \frac{\omega^{0}(\tau')}{\omega^{0}(\tau)} + \\ + \sum_{s \in \tau, s \prec \tau'} \left[\sum_{s' \in \tau', s' > s} \log \hat{\mathbb{P}}_{KT, s'}(x_{1}^{n}) - \log \hat{\mathbb{P}}_{KT, s}(x_{1}^{n}) \right] \\ + \sum_{s' \in \tau', s' \prec \tau} \left[\log \hat{\mathbb{P}}_{KT, s'}(x_{1}^{n}) - \sum_{s \in \tau, s > s' \prec \tau} \log \hat{\mathbb{P}}_{KT, s}(x_{1}^{n}) \right]$$

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$$\begin{split} \log \frac{\omega^{n}(\tau')}{\omega^{n}(\tau)} &= \log \frac{\omega^{0}(\tau')}{\omega^{0}(\tau)} + \\ &+ \sum_{s \in \tau, s \prec \tau'} \left[\sum_{s' \in \tau', s' > s} \log \hat{\mathbb{P}}_{\kappa \tau, s'}(x_{1}^{n}) - \log \hat{\mathbb{P}}_{\kappa \tau, s}(x_{1}^{n}) \right] \\ &+ \sum_{s' \in \tau', s' \prec \tau} \left[\log \hat{\mathbb{P}}_{\kappa \tau, s'}(x_{1}^{n}) - \sum_{s \in \tau, s > s' \prec \tau} \log \hat{\mathbb{P}}_{\kappa \tau, s}(x_{1}^{n}) \right] \end{split}$$

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Following the ideas of Csiszár and Talata (2005) we proved that

Lemma

Let $s \in \tau$ such that s is a proper suffix of some context in τ' . Then there exists a constant c < 0 such that

$$\sum_{s' \in \tau', s' > s} \log \hat{\mathbb{P}}_{\mathcal{KT}, s'}(x_1^n) - \log \hat{\mathbb{P}}_{\mathcal{KT}, s}(x_1^n) < c \log n,$$

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eventually almost surely as $n \to \infty$.

Lemma

Let $s' \in \tau'$ such that s' is a proper suffix of some context in τ . Then there exists a constant c < 0 such that

$$\log \hat{\mathbb{P}}_{\mathcal{KT},s'}(x_1^n) - \sum_{s \in au, s > s'} \log \hat{\mathbb{P}}_{\mathcal{KT},s}(x_1^n) < cn,$$

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eventually almost surely as $n \to \infty$.

Then there exist constants $C_1 \leq 0$ and $C_2 \leq 0$, not vanishing simultaneously, such that

$$\log \frac{\omega^n(\tau')}{\omega^n(\tau)} < \log \frac{\omega^0(\tau')}{\omega^0(\tau)} + C_1 \log n + C_2 n$$

With $\omega^0(\tau) = (cn)^{-t(\tau)}$, where $t(\tau)$ is the number of terminal nodes of τ we have that

$$\log \frac{\omega^n(\tau')}{\omega^n(\tau)} \to -\infty \,,$$

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when $n \to \infty$.

Then there exist constants $C_1 \leq 0$ and $C_2 \leq 0$, not vanishing simultaneously, such that

$$\log \frac{\omega^n(\tau')}{\omega^n(\tau)} < \log \frac{\omega^0(\tau')}{\omega^0(\tau)} + C_1 \log n + C_2 n$$

With $\omega^0(\tau) = (cn)^{-t(\tau)}$, where $t(\tau)$ is the number of terminal nodes of τ we have that

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when $n \to \infty$.

"Only one tree represents the forest"