# On envelopes and $L_p$ -spaces

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# Envelopes

- Praïssé Banach spaces
- **③** New and old about  $L_p$  spaces

Work in progress with J. Lopez-Abad, and joint work with J. Lopez-Abad, B. Mbombo, and S. Todorcevic.

We call envelope map a map e which assigns to every subset A of a Banach space X its envelope, i.e. a closed subspace e(A) of Xsatisfying the following properties:

- $If B \subseteq A, then e(B) \subseteq e(A).$
- $e(\overline{\operatorname{span}}(A)) = e(A).$
- e(e(A)) = e(A).

Examples of envelope maps:

- $A \mapsto \overline{\operatorname{span}}(A)$
- (X Banach lattice)  $A \mapsto lat(A)$
- (X reflexive strictly convex with strictly convex dual)
   A → Env<sub>min</sub>(A), the smallest 1-complemented subspace of X containing A (see Calvert 76)

We shall be interested in what we call the Korovkin envelope associated to a bounded semigroup G of operators on X.

#### Definition

The (Korovkin) envelope  $\operatorname{Env}_G(A)$  of a subset A of X is the set of  $x \in X$  such that whenever a net  $(T_{\alpha})_{\alpha} \in G$  converges pointwise on A to Id<sub>A</sub>, then  $(T_{\alpha}(x))_{\alpha}$  converges to x.

Korovkin and others were mostly interested in G = the semigroup of contractions, in which case the word "shadow" was used, and in the semigroup of positive contractions, when X is a lattice.

A Korovkin set A is a set such that  $\operatorname{shadow}(A) = X$ . The set  $\{1, t, t^2\}$  is a Korovkin set for C(0, 1) (Wulbert 68, improving on Korovkin 60). The set  $\{1, t\}$  is a Korovkin set for  $L_p$  (Bernau 74 for 1 , Berens-Lorentz 74 for <math>p = 1).

In 75 Calvert proved that: if X is a reflexive space with LUR norm and dual norm, then  $\operatorname{shadow}(A) = \operatorname{Env}_{min}(A)$ . (actually enough to assume the dual norm is strictly convex).

In particular, if A is a subset of  $L_p$ , 1 then

$$\operatorname{shadow}(A) = \operatorname{Env}_{\min}(A) \ (= \operatorname{lat}(A) \ \text{if} \ 1 \in A.)$$

(also true if p = 1).

We wish to concentrate on the case G = Isom(X) (the isometry group of X), and w.l.o.g. we replace the subset A by a closed subspace Y. So we define  $\text{Env}(Y) := \text{Env}_{\text{Isom}(X)}(Y)$ .

#### Equivalent definition

The envelope Env(Y) of a subspace Y of X is the largest  $Z \subseteq X$  such that whenever a net  $(T_{\alpha})_{\alpha}$  of isometries converges pointwise on Y, then  $(T_{\alpha})_{\alpha}$  converges pointwise on Z.

Note that  $\operatorname{shadow}(Y) \subseteq \operatorname{Env}(Y)$ . Some easy examples:

- If X only admits trivial isometries (all isometries are multiple of the identity), then Env(Y) = X for all Y ≠ {0}.
- If H is a Hilbert space then Env(Y) = Y for any  $Y \subseteq X$ .

The set of subspaces of X which are envelopes (i.e., Y = Env(Y)) is some kind of "skeleton" of the isometric structure of X.

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### Proposition

The following hold:

- (a) all envelopes in a separable reflexive space X are 1-complemented,
- (b) the Hilbert space is the only separable reflexive space for which all subspaces are envelopes,

(c) If  $X = L_p, 1 \le p < +\infty$  then for all Y,  $Env(Y) = Env_{min}(Y)$ 

(d) the  $L_p$ 's, 1 are the only reflexive r.i. spaces on [0,1] for which all 1-complemented subspaces are envelopes.

(a) uses LUR renormings (Lancien 93) and duality. One proof of (c)(d) uses Peller's description (1980) of the WOT closure of  $Isom(L_p)$  through the existence of "dilations" (as well as the same topic replacing  $L_p$  by a general r.i. space on [0, 1]).

From now on X is always infinite dimensional. Let us recall Mazur rotation problem. Assume X is separable and the linear isometry group Isom(X) acts transitively on  $S_X$ . Must X be isomorphic, or even isometric, to a Hilbert space?

There are non-separable counterexamples:  $(L_p)_U$ , for  $1 \le p < +\infty$ , or  $\mathbb{G}_U$  (here  $\mathbb{G}$  denotes the Gurarij space).

#### Problem

Find a property (P) of the Hilbert stronger than transitivity and for which there are non-separable non-Hilbertian examples. Investigate whether the Hilbert is the only separable space with (P).

One example of such a property (P) is "ultrahomogeneity", a multidimensional form of transitivity.

A space X is ultrahomogeneous if any partial isometry between finite dimensional subspaces of X extends to a (surjective) isometry of X.

## Proposition

Are ultrahomogeneous

- $\mathbb{G}_{\mathcal{U}}$ , Aviles-Cabello-Castillo-González-Moreno (2013)
- (*L<sub>p</sub>*)<sub>U</sub> for 1 ≤ *p* < +∞, *p* ≠ 4, 6, 8, ...,
   *F.-LopezAbad-Mbombo-Todorcevic* (2019)

Lusky (78) had proved that those  $L_p$  are "almost" ultrahomogeneous (AUH): "for any partial isometry t between finite dimensional subspaces E and F of X and  $\varepsilon > 0$ , there exists an isometry T on X such that  $||T|_E - t|| \le \epsilon$ ". This used Plotkin-Rudin 76. This is not quite enough to deduce the Proposition. The following assertions are equivalent and we called Fraissé an infinite dimensional space satisfying them.

- (1)  $\forall \varepsilon > 0$  and  $k \in \mathbb{N}$ ,  $\exists \delta > 0$  such that for any  $(1 + \delta)$ -isometric map t between subspaces E, F of X of dimension k, there exists  $T \in \text{Isom}(X)$  such that  $||T|_E t|| < \varepsilon$ ,
- (2) the subgroup  $\text{Isom}(X)_{\mathcal{U}} = \{(T_n)_n, T_n \in \text{Isom}(X) \forall n\}$  of  $\text{Isom}(X_{\mathcal{U}})$  acts ultrahomogeneously on  $X_{\mathcal{U}}$ .

This is formally stronger than AUH. The Gurarij space  $\mathbb G$  is Fraïssé from Kubis-Solecki (2013) but also:

#### Theorem

(F. - Lopez-Abad - Mbombo- Todorcevic 2019) The spaces  $L_p$ ,  $p \neq 4, 6, \ldots$  are Fraïssé.

#### Conjecture

The Gurarij space and the  $L_p$  spaces for appropriate p are the only separable Fraïssé or even AUH spaces.

A countable structure A is Fraïssé when it is ultrahomogeneous (with respect to the class Age(A) of its finite substructures). The KPT-correspondence (Kechris-Pestov-Todorcevic 05) states that the extreme amenability of (Aut(A), ptwise) is equivalent to a Ramsey Property of embeddings between elements of Age(A) (a topological group is extremely amenable if any continuous action on a compact space admits a fixed point).

Our definition of Fraïssé Banach space was originally aimed at proving a KPT-correspondence to recover the extreme amenability of  $\mathcal{U}(H)$  (Gromov-Milman 83) and  $\operatorname{Isom}(L_p)$  (Giordano-Pestov 07) through Ramsey methods instead of concentration of measure. The group  $\operatorname{Isom}(\mathbb{G})$  is also extremely amenable (Bartosova -Lopez-Abad - Lupini - Mbombo 17). Note that Fraïssé people usually "start" with  $\operatorname{Age}(A)$  and construct A from it. In the  $L_p$ -situation this is somewhat reversed. Among properties of Fraïssé spaces we have:

- if X, Y are separable Fraïssé and are finitely representable into each other, then they are isometric (X ≡ Y)
- if Y separable is finitely representable into a Fraïssé space X, then Y isometrically embeds into it

Therefore:

- every Fraïssé space contains an isometric copy of  $\ell_2$  (from Dvoretsky) an unusual way of proving that  $\ell_2$  embeds isometrically into  $L_p \dots$
- $\bullet$  separable Fraïssé spaces either have finite cotype or are isometric to  $\mathbb G$

# Fraïssé/AUH spaces and envelopes

The envelope Env(Y) admits an equivalent definition in separable AUH spaces.

### Proposition

Assume X is separable AUH and Y is a subspace of X. Then Env(Y) is the largest subspace Z containing Y such that

- every isometric embedding  $t: Y \to X$  extends uniquely to an isometric embedding  $\tilde{t}: Z \to X$
- the map  $t \mapsto \tilde{t}$  is SOT-SOT continuous

Furthermore  $\tilde{t}(\operatorname{Env}(Y)) = \operatorname{Env}(t(Y))$ .

#### Corollary

If X is separable AUH, Y, Z subspaces of X then

$$Y \equiv Z \Rightarrow \operatorname{Env}(Y) \equiv \operatorname{Env}(Z).$$

# Examples of envelopes in $L_p$

#### Proposition

The following subspaces Y of  $L_p$  have envelope isometric to  $L_p$ .

• 
$$(1 \le p < +\infty, p \ne 4, 6, ...)$$
  
 $Y = \ell_2$  and, unless  $p = 2, Y = \ell_2^n, n \ge 2$ 

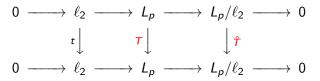
• 
$$(1 \le p \le q \le 2) Y = L_q$$

• (
$$1 \leq p < q \leq 2$$
)  $Y = \ell_q$ 

Define a subspace Y of X to be full if Env(Y) = X. As a consequence of the above:

- $L_p$  ( $p \neq 4, 6, ...$ ) contains a full copy of  $\ell_2$  (we have no "explicit" description of such)
- this induces a topological embedding of U(l<sub>2</sub>) as a subgroup of Isom(L<sub>p</sub>)

There is a unique exact sequence (up to isometric equivalence) associated to full embeddings of  $\ell_2$  into  $L_p$ . Indeed if



are exact sequences associated to full copies of  $\ell_2$ , and t an isometry between these two copies, then we get an extension T inducing an isometry  $\hat{T}$  making the above diagram commute.

Therefore there is a isometrically unique quotient  $L_p/\ell_2$  of  $L_p$  by any choice of full copy of  $\ell_2$ .

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#### Question

Identify the space  $L_p/\ell_2$ ?

- if p > 1 then ℓ<sub>2</sub> is complemented so L<sub>p</sub>/ℓ<sub>2</sub> ≃ L<sub>p</sub>: L<sub>p</sub>/ℓ<sub>2</sub> is a certain renorming of L<sub>p</sub>
- if p = 1 then  $\ell_2$  is uncomplemented and  $L_1/\ell_2 \simeq$ ?
- Same question for  $L_p/L_q$  or  $L_p/\ell_q$ ,  $1 \le p < q < 2$ .

# What about possible other Fraïssé spaces?

- If X is separable and Fraïssé then
  - X contains an isometric copy of l<sub>2</sub>. Must it contain a full isometric copy of l<sub>2</sub>?
  - if yes, then  $\operatorname{Isom}(X)$  contains a subgroup isomorphic to  $\mathcal{U}(\ell_2)$
  - if p(X) := sup{p : X has type p}, then X contains an isometric copy of L<sub>p(X)</sub> (through Maurey-Pisier); similarly for q(X) if < +∞</li>
  - (in case X is 1-complemented in its bidual) if Y is a K-complemented subspace of X then all isometric copies of Y inside X are K-complemented

Also

# Proposition

If X separable Fraïssé admits a  $C_{\infty}$ -bump function then  $X \simeq \ell_2$ .

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