# Fraïssé and Ramsey properties of $L_p$ -spaces

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Transitivities of isometry groups

Praïssé spaces

**③** Fraïssé properties of  $L_p$  spaces

KPT correspondence

Joint work with J. Lopez-Abad, M. Mbombo, S. Todorcevic Supported by Fapesp 2016/25574-8 and CNPq 30304/2015-7.

 $S_X$  = unit sphere of X.

Isom(X) = group of linear surjective isometries of X, with the Strong Operator Topology SOT.

 $\operatorname{Emb}(F, X) =$  set of linear isometric embeddings of F into X, with SOT.

Usually F is finite dimensional, so SOT can be replaced by the distance induced by the norm on  $\mathcal{L}(F, X)$ .

p a real number in the separable Banach range:  $1 \leq p < +\infty$ 

### Transitivities of isometry groups

- Praïssé spaces
- **③** Fraïssé properties of  $L_p$  spaces
- In KPT correspondence

- 1 If H=Hilbert, then Isom(H) is the unitary group  $\mathcal{U}(H)$ . It acts transitively on  $S_H$ , meaning there is a single and full orbit for the action Isom(H)  $\sim S_H$ .
- 2 For  $1 \le p < +\infty$ ,  $p \ne 2$ , every isometry on  $L_p = L_p(0,1)$  is of the form

 $T(f)(.) = h(.)f(\phi(.)),$ 

where  $\phi$  is a measurable transformation of [0, 1] onto itself, and h such that  $|h|^p = d(\lambda \circ \phi)/d\lambda$ ,  $\lambda$  the Lebesgue measure (Banach-Lamperti 1932-1958). So

3 Isom $(L_p)$  acts almost transitively on  $S_{L_p}$ , meaning that the action Isom $(L_p) \curvearrowright S_{L_p}$  admits dense orbits.  $\forall x, y \in S_{L_p}, \forall \epsilon > 0, \exists T \in \text{Isom}(L_p) : ||Tx - y|| \le \epsilon.$ 

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A norm is *transitive (resp. almost transitive)* if the associated isometry group acts transitively (resp. almost transitively) on the associated unit sphere.

If G = Isom(X) acts transitively on  $S_X$ , must X be isometric? isomorphic? to a Hilbert space.

- (a) if dim X < +∞: YES to both</li>
  (b) if dim X = +∞ and is separable: ???
- (c) if dim  $X = +\infty$  and is non-separable: NO to both

#### Proof

(a) Average a given inner product by using the Haar measure on G and observe that this new inner product turns all  $T \in G$  into unitaries and therefore, by transitivity, must induce the original norm.

$$[x,y] = \int_{T \in \operatorname{Isom}(X,\|.\|)} \langle Tx, Ty \rangle d\mu(T),$$

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(c) Use ultrapowers.....

It is an easy observation that if X is almost transitive then for any non-principal ultrafilter  $\mathcal{U}$ ,  $X_{\mathcal{U}}$  is transitive. Actually the subgroup  $\operatorname{Isom}(X)_{\mathcal{U}}$  of isometries T of the form

$$T((x_n)_{n\in\mathbb{N}})=(T_n(x_n))_{n\in\mathbb{N}}$$

where  $T_n \in \text{Isom}(X)$ , acts transitively on  $X_U$ .

So we get (and using Henson 1976).

Proposition

The space  $(L_p(0,1))_{\mathcal{U}} = L_p(\cup_{\mathfrak{c}}[0,1]^{\mathfrak{c}})$  is transitive.

Note that Cabello-Sanchez (1998) studies  $\prod_{n \in \mathbb{N}} L_{p_n}(0, 1)$  for  $p_n \to +\infty$  and obtains a transitive M-space.

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### On renormings of classical spaces

# Note that for $p \neq 2$ , $L_p$ is not transitive, and $\ell_p$ not almost transitive. Furthermore

Theorem (Dilworth - Randrianantoanina, 2014)

Let 1 . Then

 $\ell_p$  does not admit an equivalent almost transitive norm.

#### Question

Let  $1 \le p < +\infty, p \ne 2$ . Show that the space  $L_p([0, 1])$  does not admit an equivalent transitive norm.

By the way, F. and Rosendal (2017) show that a transitive norm on a separable space must be strictly convex. So maybe the case p = 1 is not out of hand.

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### Definition

Let X be a Banach space.

X is called ultrahomogeneous when for every finite dimensional subspace E of X and every two isometric embeddings i<sub>1</sub>, i<sub>2</sub> : E → X there is a linear isometry g ∈ Isom(X) such that g ∘ i<sub>1</sub> = i<sub>2</sub>;

 X is called approximately ultrahomogeneous (AuH) when for every finite dimensional subspace E of X, every two isometric embedding i<sub>1</sub>, i<sub>2</sub> : E → X and every ε > 0 there is a linear isometry g ∈ Isom(X) such that ||g ∘ i<sub>1</sub> − i<sub>2</sub>|| < ε;</li>

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Note that ultrahomogeneous  $\Rightarrow$  transitive, and (AuH)  $\Rightarrow$  almost transitive

#### Fact

Any Hilbert space is ultrahomogeneous.

#### Theorem

Are (AuH):

- The Gurarij space (Kubis-Solecki 2013)
- $L_p[0,1]$  for  $p \neq 4, 6, 8, \dots$  (Lusky 1978)

But none of them are ultrahomogeneous.

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- the Gurarij is the unique separable, universal, (AuH) space (Lusky 1976 + Kubis-Solecki 2013).
- Lusky's result is based on the *equimeasurability theorem* by Rudin / Plotkin, 1976. His proof gives (AuH).
- L<sub>p</sub> is not (AuH) for p = 4, 6, 8, ...:
   B. Randrianantoanina (1999) proved that for those p's there are two isometric subspaces of L<sub>p</sub> (due to Rosenthal), with an unconditional basis, complemented/ uncomplemented.

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## Fraïssé theory in one slide

- Given a (hereditary) class *F* of finite (or sometimes finitely generated) structures, Fraïssé theory (Fraïssé 1954) investigates the existence of a countable structure *A*, universal for *F* and ultrahomogeneous (any *t* isomorphism between finite substructures extends to a global automorphism of *A*)
- Fraïssé theory shows that this is equivalent to certain amalgamation properties of  $\mathcal{F}$ .
- Then *A* is unique up to isomorphism and called the Fraïssé limit of *F*.

#### Example

if  $\mathcal{F} = \{$  finite sets $\}$ , then  $\mathcal{A} = \mathbb{N}$ 

In this case isomorphisms of the structure are just bijections.

#### Example

if 
$$\mathcal{F} = \{$$
finite ordered sets $\}$ , then  $\mathcal{A} = (\mathbb{Q}, <)$ .

Isomorphisms are order preserving bijections.

Many works exist about extension of this theory to the metric setting (i.e. with epsilons), but they are often at the same time too general and too restrictive for us. We focus on the Banach space setting.

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Given two Banach spaces E and X, and  $\delta \ge 0$ , let  $\operatorname{Emb}_{\delta}(E, X)$  be the collection of all linear  $\delta$ - embeddings  $T : E \to X$ , i.e. such that  $||T||, ||T^{-1}|| \le 1 + \delta$ , equipped with the distance induced by the norm.

We consider the canonical action  $\operatorname{Isom}(X) \curvearrowright \operatorname{Emb}_{\delta}(E, X)$ 

#### Definition (F., Lopez-Abad, Mbombo, Todorcevic)

X is weak Fraïssé if and only if for every  $E \subset X$  of finite dimension, and every  $\varepsilon > 0$  there is  $\delta > 0$  such that whenever  $i_1, i_2$ are  $\delta$ -embeddings of E into X, there is a linear isometry g on Xsuch that  $||g \circ i_1 - i_2|| \le \varepsilon$ . X is Fraïssé if and only it is weak Fraïssé and  $\delta$  depends only on  $\varepsilon$ and the dimension of E. Given two Banach spaces E and X, and  $\delta \ge 0$ , let  $\operatorname{Emb}_{\delta}(E, X)$  be the collection of all linear  $\delta$ - embeddings  $T : E \to X$ , i.e. such that  $||T||, ||T^{-1}|| \le 1 + \delta$ , equipped with the distance induced by the norm.

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Note that Fraïssé  $\Rightarrow$  weak Fraïssé  $\Rightarrow$  (AuH)

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### • Hilbert spaces are Fraïssé ( $\varepsilon = \delta$ , exercise);

• the Gurarij space is Fraïssé (actually  $\varepsilon = 2\delta$ );

•  $L_p$  is not Fraïssé for  $p = 4, 6, 8, \ldots$  since not AUH.

On the other hand,

#### Theorem

(F.,Lopez-Abad, Mbombo, Todorcevic) The spaces  $L_p[0,1]$  for  $p \neq 4, 6, 8, \ldots$  are Fraïssé.

How can we get convinced that this is the relevant definition?
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# Theorem (*F.,Lopez-Abad, Mbombo, Todorcevic*) The spaces $L_p[0,1]$ for $p \neq 4, 6, 8, ...$ are Fraïssé.

How can we get convinced that this is the relevant definition?

## Proposition

Assume that Y are Fraissé, and that X is separable. Then are equivalent:

- (1) X is finitely representable in Y
- (2) every finite dimensional subspace of X embeds isometrically into Y
- (3) X embeds isometrically in Y

In particular (by Dvoretsky)  $\ell_2$  is the minimal separable Fraïssé space; and the Gurarij is the maximal one.

- Age(X)=the set of finite dimensional subspaces of X, and
- for  $\mathcal{F}, \mathcal{G}$  classes of finite dimensional spaces,  $\mathcal{F} \equiv \mathcal{G}$  mean that any element of  $\mathcal{F}$  has an isometric copy in  $\mathcal{G}$  and vice-versa.

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#### Proposition

Assume X and Y are separable Fraïssé. Then are equivalent (1) X is finitely representable in Y and vice-versa, (2)  $Age(X) \equiv Age(Y)$ , (3) X and Y are isometric

So separable Fraïssé spaces are uniquely determined, among Fraïssé spaces, by their age modulo  $\equiv$ .

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We also obtained internal characterizations of classes of finite dimensional spaces which are  $\equiv$  to the age of some Fraïssé ("amalgamation properties"). For such a class  $\mathcal{F}$  we write  $X = \text{Fraïssé lim } \mathcal{F}$  to mean "X separable and  $Age(X) \equiv \mathcal{F}$ "

#### Proposition

The following are equivalent.

1) X is Fraïssé.

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In particular, it follows that if X is Fraïssé, then its ultrapowers are Fraïssé and ultrahomogeneous.

#### Corollary

The non-separable  $L_p$ -space  $(L_p(0,1))_U$  is ultrahomogeneous.

A similar fact was observed for the Gurarij, by Aviles, Cabello, Castillo, Gonzalez, Moreno, 2013.

#### Question

Is there a non-Hilbertian separable ultrahomogeneous space? an ultrahomogeneous renorming of  $L_p(0,1)$ ?

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- Transitivities of isometry groups
- Praïssé spaces
- Fraïssé properties of  $L_p$  spaces
- In KPT correspondence

Note the result by G. Schechtman 1979 (as observed by D. Alspach 1983)

#### Theorem (Schechtman)

For any  $1 \le p < \infty$  any  $\varepsilon > 0$ , there exists  $\delta = \delta_p(\epsilon) > 0$  such that

 $\operatorname{Emb}_{\delta}(\ell_p^n, L_p(\mu)) \subset (\operatorname{Emb}(\ell_p^n, L_p(\mu)))_{\varepsilon}.$ 

for every  $n \in \mathbb{N}$ , and finite measure  $\mu$ .

So the Fraïssé property in  $L_p$  is satisfied in a strong sense for subspaces isometric to an  $\ell_p^n$ .

Note however that this holds for p = 4, 6, 8, ..., so things have to be more complicated for other subspaces and  $p \neq 4, 6, 8, ...$ 

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We use:

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## TFAE for X:

- X is Fraïssé
- Isom(X) → Emb<sub>δ</sub>(E, X) is ε-transitive for some δ depending on ε and E each B<sub>k</sub>(X) is compact in the Banach-Mazur distance, where B<sub>k</sub>(X) = class of k-dim. spaces isom. embeddable in X.

- It is known that  $\mathcal{B}_k(L_p)$  is closed. (actually  $\mathcal{B}_k(X)$  is closed  $\Leftrightarrow \mathcal{B}_k(X) = \mathcal{B}_k(X_U)$ ).
- So we only need to show that  $\operatorname{Isom}(X) \curvearrowright \operatorname{Emb}_{\delta}(E, X)$  is  $\varepsilon$ -transitive for some  $\delta$  depending on  $\varepsilon$  and E.

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## Proposition (Plotkhin and Rudin (1976))

For  $p \notin 2\mathbb{N}$ , suppose that  $(f_1, \ldots, f_n) \in L_p(\Omega_0, \Sigma_0, \mu_0)$  and  $(g_1, \ldots, g_n) \in L_p(\Omega_1, \Sigma_1, \mu_1)$  and

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Equidistributed here means that for any Borel  $B \in \mathbb{R}^n$ ,

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This was used by Lusky (1978) to prove

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Those  $L_p$ 's are (AuH).

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It is also possible and useful to develop the theory with respect to certain classes of finite dimensional subspaces, which are not  $\equiv$  to Age(X), because they are not hereditary.

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- Transitivities of isometry groups
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- **③** Fraïssé properties of  $L_p$  spaces
- Kechris-Pestov-Todorcevic correspondence

#### Definition

A topological group G is called extremely amenable (EA) when every continuous action  $G \curvearrowright K$  on a compact K has a fixed point; that is, there is  $p \in K$  such that  $g \cdot p = p$  for all  $g \in G$ .

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- The group Aut(Q, <) of strictly increasing bijections of Q (with the pointwise convergence topology) (Pestov,1998);
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For finite structures, when  $\mathcal{A}$  is the Fraïssé limit of  $\mathcal{F}$ , then holds the Kechris-Pestov-Todorcevic correspondence.

## Theorem (Kechris-Pestov-Todorcevic)

The group  $(Aut(A), ptwise \ cv \ topology)$  is extremely amenable if and only if  $\mathcal{F}$  satisfies the Ramsey property.

For example Pestov's result that  $Aut(\mathbb{Q}, <)$  is EA is a combination of " $(\mathbb{Q}, <) =$  Fraïssé limit of finite ordered sets" and of the classical finite Ramsey theorem on  $\mathbb{N}$ .

Instead of stating the Ramsey property for countable strucutres, let us see how it looks like for isometry groups on Banach spaces. For finite structures, when  $\mathcal{A}$  is the Fraïssé limit of  $\mathcal{F}$ , then holds the Kechris-Pestov-Todorcevic correspondence.

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## The Approximate Ramsey Property

There is relatively well known form of the KPT correspondence, i.e. combinatorial characterization of the extreme amenability of an isometry group in terms of a Ramsey property of the Age, for metric structures.

This applies without difficulty to (Isom(X), SOT) for a Fraïssé Banach space X.

#### Definition

A collection  $\mathcal{F}$  of finite dimensional normed spaces has the Approximate Ramsey Property (ARP) when for every  $F, G \in \mathcal{F}$ and  $r \in \mathbb{N}, \varepsilon > 0$  there exists  $H \in \mathcal{F}$  such that every coloring c of  $\operatorname{Emb}(F, H)$  into r colors admits an embedding  $\varrho \in \operatorname{Emb}(G, H)$ which is  $\varepsilon$ -monochromatic for c.

Here  $\varepsilon$ -monochromatic means that for some color *i*,  $\rho \circ \operatorname{Emb}(F, G) \subset c^{-1}(i)_{\varepsilon} := \{\tau \in \operatorname{Emb}(F, H) : d(c^{-1}(i), \tau) < \varepsilon\}.$ 

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## Theorem (KPT correspondence for Banach spaces)

For X (AuH) the following are equivalent:

- Isom(X) is extremely amenable.
- Age(X) has the approximate Ramsey property.

# The Approximate Ramsey Property for $\ell_p^n$ 's

The KPT correspondence extends to the setting of  $\ell_p^n$ -subspaces of  $L_p$ . This means we can recover the extreme amenability of  $\operatorname{Isom}(L_p)$  through internal properties: i.e. through an approximate Ramsey property of isometric embeddings between  $\ell_p^n$ 's.

Theorem (Ramsey theorem for embeddings between  $\ell_p^{n's}$ )

Given  $1 \le p < \infty$ , integers d, m, r, and  $\epsilon > 0$  there exists  $n = n_p(d, m, r, \epsilon)$  such that whenever c is a coloring of  $\operatorname{Emb}(\ell_p^d, \ell_p^n)$  into r colors, there is some isometric embedding  $\gamma : \ell_p^m \to \ell_p^n$  which is  $\epsilon$ -monochromatic.

The case  $p = \infty$  is due to Bartosova - Lopez-Abad - Mbombo - Todorcevic (2017). We have a direct proof for  $p < \infty$ ,  $p \neq 2$ .

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## Comment and previous Ramsey results

- Odell-Rosenthal-Schlumprecht (1993) proved that that for every 1 ≤ p ≤ ∞, every m, r ∈ N and every ε > 0 there is n ∈ N such that for every coloring c of S<sub>ℓ<sup>n</sup><sub>p</sub></sub> into r there is Y ⊂ ℓ<sup>n</sup><sub>p</sub> isometric to ℓ<sup>m</sup><sub>p</sub> so that S<sub>Y</sub> is ε-monochromatic. Their proof uses tools from Banach space theory (like unconditionality) to find many symmetries;
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We recover the result of Giordano-Pestov through KPT correspondence, but also (through the Fraïssé Banach space notion) some non-separable versions of it.

#### Theorem

The topological group  $(\text{Isom}(L_p), SOT)$  is extremely amenable (Giordano-Pestov). The topological group  $(\text{Isom}((L_p)_{\mathcal{U}}), SOT)$  is also extremely amenable. Assume X reflexive and Fraïssé. Then X contains a copy of the Hilbert and furthermore every unitary on H extends uniquely and SOT-SOT continuously to an isometry on the envelope of H, some 1-complemented subspace of X containing H (which may be chosen to be the whole space if  $X = L_p$ ).

Note that a copy of H into  $L_p$  is usually obtained as the span of a sequence of independent Gaussians on [0, 1].

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# What are the separable Fraïssé spaces?

### Question

Find a separable Fraïssé (or even AUH) space different from the Gurarij or some  $L_p(0,1)$ .

#### Question

Are the Hilbert and the Gurarij the only stable separable Fraïssé spaces (Fraïssé property independent of the dimension)?

### Question

Are the  $L_p(0,1)$  spaces stable Fraissé for p non even?

### Also:

### Question

Show that  $L_p(0,1)$  does not admit an ultrahomogeneous renorming if  $p \neq 2$ .

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