Transitivity and Ramsey properties of L_{ρ} -spaces

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Valentin Ferenczi Universidade de São Paulo Lp spaces

- 1. Transitivities of isometry groups
- 2. Fraïssé theory and the KPT correspondence
- 3. Fraïssé Banach spaces
- 4. The Approximate Ramsey Property for ℓ_p^{n} 's

Joint work with J. Lopez-Abad, B. Mbombo, S. Todorcevic Supported by Fapesp 2016/25574-8 and CNPq 30304/2015-7.

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Notation

X, Y denote an infinite dimensional Banach spaces, E, F, G, H finite dimensional ones.

 S_X = unit sphere of X.

GL(X)=the group of linear automorphisms of X

Isom(X) = group of linear surjective isometries of X.

 $\operatorname{Emb}(F, X)$ = set of linear isometric embeddings of F into X.

p a real number in the separable Banach range: $1 \le p < +\infty$

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 L_{ρ} denotes the Lebesgue space $L_{\rho}([0, 1])$.

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Three topologies are relevant on GL(X):

- the norm topology $||T|| = \sup_{x \in S_X} ||Tx||$.
- the SOT (strong operator topology) i.e. pointwise convergence on X,
- the WOT (weak operator topology) i.e. weak pointwise convergence on X,

Fact

GL(X), $\|.\|$ is a topological group

It is not so for GL(X) with SOT in general, but things get better if one looks at bounded subgroups (in particular Isom(X)).

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Fact

Isom(X) is a topological group for SOT.

But Isom(X), $\|.\|$ is not separable in general, for X separable.

Fact

If X is separable then (Isom(X), SOT) is separable. Actually it is a Polish group, i.e. SOT is separable completely metrizable

- ► Regarding WOT it coincides with SOT on *Isom*(X) as soon as X has PCP (e.g. reflexive) - see Megrelishvilii 2000 - so for L_p, 1
- On Isom(L₁) WOT and SOT are different (Antunes 2019)
- Things are more involved in C(K)-spaces, but those will not be studied here.

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Summing up:

Isom(X) will always be equipped with the Strong Operator Topology SOT.

Regarding $\operatorname{Emb}(F, X)$, for *F* finite dimensional, we shall usually equip $\operatorname{Emb}(F, X)$ with the distance induced by the norm on $\mathcal{L}(F, X)$. But note that here SOT and the norm topology are equivalent.

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- 1 If *H*=Hilbert, then Isom(H) is the unitary group U(H). It acts transitively on S_H , meaning there is a single and full orbit for the action $Isom(H) \sim S_H$.
- 2 For $1 \le p < +\infty$, $p \ne 2$, every isometry on $L_p = L_p(0, 1)$ is of the form

 $T(f)(.) = h(.)f(\phi(.)),$

where ϕ is a measurable transformation of [0, 1] onto itself, and *h* such that $|h|^p = d(\lambda \circ \phi)/d\lambda$, λ the Lebesgue measure (Banach-Lamperti 1932-1958). So

- 3 Isom(L_p) acts almost transitively on S_{L_p} , meaning that the action Isom(L_p) $\sim S_{L_p}$ admits dense orbits.
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- 5 Every isometry on c_0 and ℓ_p , $p \neq 2$ acts as a "signed permutation", i.e. a combination of signs and permutation of the coordinates on the canonical basis.
- 6 By the Banach-Stone theorem (1932), every isometry of C(K) is of the form

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where *h* is continuous unimodular on *K* and ϕ a homeomorphism of *K*.

7 It follows that $\text{Isom}(\ell_p)$ (resp. $\text{Isom}(c_0)$, resp. Isom(C(K))) do not act almost transitively on the sphere. This somehow tells us that their isometry group is too rigid. Actually in the category of \mathcal{L}_p -spaces the relevant space is L_p for $p < \infty$ and the Gurarij space for $p = \infty$.

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Mazur rotation problem

If G = Isom(X) acts transitively on S_X , must X be isomorphic? isometric? to a Hilbert space.

(a) if dim $X < +\infty$: YES to both

(b) if dim $X = +\infty$ and is separable: ???

(c) if dim $X = +\infty$ and is non-separable: NO to both

Proof

(a) Average a given inner product by using the Haar measure on G and observe that this new inner product turns all $T \in G$ into unitaries and therefore, by transitivity, must induce a multiple of the original norm.

$$[x,y] = \int_{T \in G} \langle Tx, Ty \rangle d\mu(T),$$

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(c) Use ultrapowers.....

Ultrapowers

A normed space is *transitive (resp. almost transitive)* if the associated isometry group acts transitively (resp. almost transitively) on the associated unit sphere.

It is an easy observation that if X is almost transitive then for any non-principal ultrafilter U, X_U is transitive. Actually the subgroup $Isom(X)_U$ of isometries T of the form

 $T((x_n)_{n\in\mathbb{N}})=(T_n(x_n))_{n\in\mathbb{N}}$

where $T_n \in \text{Isom}(X)$, acts transitively on X_U .

Proposition *The space* $(L_p(0,1))_U$ *is transitive.*

Note that in these lines Cabello-Sanchez (1998) studies $\prod_{n \in \mathbb{N}} L_{p_n}(0, 1)$ for $p_n \to +\infty$ and obtains a transitive M-space.

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On renormings of classical spaces

For $p \neq 2$, L_p is not transitive, and ℓ_p not almost transitive. Furthermore

Theorem (Dilworth - Randrianantoanina, 2014) Let 1 . Then $<math>\ell_p$ does not admit an equivalent almost transitive norm.

Question

Let $1 \le p < +\infty, p \ne 2$. Show that the space $L_p([0, 1])$ does not admit an equivalent transitive norm.

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Let X be a Banach space.

- X is called ultrahomogeneous when for every finite dimensional subspace *E* of *X* and every isometric embedding φ : *E* → *X* there is a linear isometry *g* ∈ Isom(*X*) such that *g* ↾ *E* = φ; this means the canonical action Isom(*X*) ∼ Emb(*E*, *X*) is transitive.
- ▶ *X* is called approximately ultrahomogeneous (AuH) when for every finite dimensional subspace *E* of *X*, every isometric embedding $\phi : E \to X$ and every $\varepsilon > 0$ there is a linear isometry $g \in \text{Isom}(X)$ such that $||g| \upharpoonright E - \phi || < \varepsilon$; this means the canonical action $\text{Isom}(X) \frown \text{Emb}(E, X)$ is almost transitive (dense orbits).

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Note that ultrahomogeneous \Rightarrow transitive, and (AuH) \Rightarrow almost transitive

Fact

Any Hilbert space is ultrahomogeneous.

Theorem

Are (AuH), but not ultrahomogeneous:

- The Gurarij space, defined by Gurarij in 1966 (Kubis-Solecki 2013).
- ▶ $L_p[0,1]$ for $p \neq 2, 4, 6, 8, ...$ (Lusky 1978).

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One original definition of the Gurarij: a separable Banach space \mathbb{G} universal for f.d. spaces such that any linear isometry between f.d. subspaces extends to a $1 + \epsilon$ -linear isometry on \mathbb{G} . By Lusky 1976, it is isometrically unique.

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- the Gurarij is the unique separable, universal, (AuH) space (Lusky 1976 + Kubis-Solecki 2013).
- ▶ Lusky's result abour *L_p*'s is based on the *equimeasurability theorem* by Plotkin / Rudin, 1976. His proof gives (AuH).
- L_p is not (AuH) for p = 4, 6, 8, ...:
 B. Randrianantoanina (1999) proved that for those p's there are two isometric subspaces of L_p (due to Rosenthal), with an unconditional basis, complemented/ uncomplemented.

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It uses

Proposition (Plotkin and Rudin (1976))

For $p \notin 2\mathbb{N}$, suppose that $(f_1, \ldots, f_n) \in L_p(\Omega_0, \Sigma_0, \mu_0)$ and $(g_1, \ldots, g_n) \in L_p(\Omega_1, \Sigma_1, \mu_1)$ and

$$\|1 + \sum_{j=1}^{n} a_j f_j\|_{\mu_0} = \|1 + \sum_{j=1}^{n} a_j g_j\|_{\mu_1}$$
 for every a_1, \ldots, a_n .

Then (f_1, \ldots, f_n) and (g_1, \ldots, g_n) are equidistributed Equidistributed here means that for any Borel $B \in \mathbb{R}^n$,

$$\mu_0((f_1,\ldots,f_n)^{-1}(B)) = \mu_1((g_1,\ldots,g_n)^{-1}(B)).$$

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Let us cite Lusky:

"We show that a certain homogeneity property holds for $L_p(0,1)$; $p \neq 4, 6, 8, ...$, which is similar to a corresponding property of the Gurarij space..."

We aim to give a more complete meaning to this similarity.

- 1. Transitivities of isometry groups
- 2. Fraïssé theory and the KPT correspondence
- 3. Fraïssé Banach spaces
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Fraïssé theory (abusively) summarized

- Given a (hereditary) class *F* of finite (or sometimes finitely generated) structures, Fraïssé theory (Fraïssé 1954) investigates the existence of a countable structure *A*, universal for *F* and ultrahomogeneous (any *t* isomorphism between finite substructures extends to a global automorphism of *A*)
- ► Fraïssé theory shows that this is equivalent to certain amalgamation properties of *F*.
- ► Then A is unique up to isomorphism and called the Fraïssé limit of F.

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Example if \mathcal{F} =the class of finite sets, then $\mathcal{A} = \mathbb{N}$ In this case isomorphisms of the structure are just bijections.

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if \mathcal{F} = the class of finite ordered sets, then $\mathcal{A} = (\mathbb{Q}, <)$.

Isomorphisms are order preserving bijections.

Fraïssé theory is related to Extreme Amenability through the KPT correspondence (Kechris-Pestov-Todorcevic 2005).

Definition

A topological group *G* is called extremely amenable (EA) when every continuous action $G \frown K$ on a compact *K* has a fixed point; that is, there is $p \in K$ such that $g \cdot p = p$ for all $g \in G$.

- The group Aut(Q, <) of strictly increasing bijections of Q (with the pointwise convergence topology) (Pestov,1998);
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Theorem (Kechris-Pestov-Todorcevic, 2005) Assume \mathcal{F} is "rigid". Then the group (Aut(\mathcal{A}), ptwise cv topology) is extremely amenable if and only

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For example Pestov's result that $Aut(\mathbb{Q}, <)$ is EA is a combination of " $(\mathbb{Q}, <) =$ Fraïssé limit of finite ordered sets" and of the classical finite Ramsey theorem on \mathbb{N} .

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but they are often at the same time too general and too restrictive for us - and in particular do not apply in a satisfactory way to the L_p 's. We focus on the Banach space setting.

Anticipating here, note that there is no hope that classes of finite dimensional spaces are rigid, so only an Approximate Ramsey Property can be hoped for (think of concentration of measure).

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We consider the canonical action $Isom(X) \curvearrowright Emb_{\delta}(E, X)$

Definition (F., Lopez-Abad, Mbombo, Todorcevic) *X* is Fraïssé if and only if for every $k \in \mathbb{N}$ and every $\varepsilon > 0$ there is $\delta > 0$ such that for every $E \subset X$ of dimension *k*, the action Isom(*X*) $\frown \text{Emb}_{\delta}(E, X)$ is " ε -transitive" (i.e. every δ -isometric embedding of *E* into *X* is in the ε -expansion of any given orbit).

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each $Age_k(X)$ is compact in the Banach-Mazur pseudo-distance.

 $Age_k(X) = set of k - dim. subspaces of X.$

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each $Age_k(X)$ is compact in the Banach-Mazur pseudo-distance.

 $\operatorname{Age}_k(X) = \operatorname{set} \operatorname{of} k \operatorname{-dim} \operatorname{subspaces} \operatorname{of} X.$

Examples of Fraïssé spaces

- Hilbert spaces are Fraïssé ($\varepsilon = \delta$, exercise);
- the Gurarij space is Fraïssé (actually $\varepsilon = 2\delta$);
- ▶ L_p is not Fraïssé for p = 4, 6, 8, ... since not AUH.

Since ε depends only on δ and not on *n*, we say that the Hilbert and the Gurarij are "stable" Fraïssé".

On the other hand,

Theorem

(F.,Lopez-Abad, Mbombo, Todorcevic) The spaces $L_p[0,1]$ for $p \neq 4,6,8,\ldots$ are Fraïssé.

How can we get convinced that this is the relevant definition?

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Proposition

Assume Y is Fraissé, and that X is separable. Then are equivalent:

- (1) X is finitely representable in Y
- (2) every finite dimensional subspace of *X* embeds isometrically into Y
- (3) X embeds isometrically in Y

In particular (by Dvoretsky) ℓ_2 is the minimal separable Fraïssé space; and the Gurarij is the maximal one.

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Properties of Fraïssé spaces

Let

- Age(X)=the set of finite dimensional subspaces of X, and
- For *F*, *G* classes of finite dimensional spaces, *F* ≡ *G* mean that any element of *F* has an isometric copy in *G* and conversely.

Proposition

Assume X and Y are separable Fraïssé. Then are equivalent

- (1) X is finitely representable in Y and vice-versa,
- $(2) Age(X) \equiv Age(Y),$
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So separable Fraissé spaces are uniquely determined by their age modulo \equiv .

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We also obtained internal characterizations of classes of finite dimensional spaces which are \equiv to the age of some Fraïssé ("amalgamation properties"). For such a class \mathcal{F} we write X=Fraïssé lim \mathcal{F} to mean "X separable and $Age(X) \equiv \mathcal{F}$ ".

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The following are equivalent.

- 1) X is weak Fraïssé.
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Furthermore, the following are equivalent:

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In particular, it follows that if X is Fraïssé, then its ultrapowers are Fraïssé and ultrahomogeneous.

Corollary

The non-separable L_p -space $(L_p(0, 1))_{\mathcal{U}}$ is ultrahomogeneous. A similar fact was observed for the Gurarij, by Aviles, Cabello, Castillo, Gonzalez, Moreno, 2013. This is related to the theory of "strong Gurarij" spaces (Kubis). Note: they must be non-separable.

Question

Is there a non-Hilbertian separable ultrahomogeneous space? an ultrahomogeneous renorming of $L_p(0,1)$?

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Theorem (Dor - Schechtman)

For any $1 \le p < \infty$ any $\varepsilon > 0$, there exists $\delta = \delta_p(\varepsilon) > 0$ such that

 $\operatorname{Emb}_{\delta}(\ell_{\rho}^{n}, L_{\rho}(\mu)) \subset (\operatorname{Emb}(\ell_{\rho}^{n}, L_{\rho}(\mu)))_{\varepsilon}.$

for every $n \in \mathbb{N}$, and finite measure μ .

So the Fraïssé property in L_p is satisfied in a strong sense for subspaces isometric to an ℓ_p^n .

Note however that Schechtman's result holds for p = 4, 6, 8, ..., so things have to be more complicated for other subspaces and $p \neq 4, 6, 8, ...$

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X is Fraïssé

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Then (f_1, \ldots, f_n) and (g_1, \ldots, g_n) are equidistributed (i.e. $\mu_0((f_1(\omega), \ldots, f_n(\omega)) \in B) = \mu_1((g_1(\omega), \ldots, g_n(\omega)) \in B)$ for every $B \subset \mathbb{R}^n$ Borel)

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Also recall that we sketched the proof by Lusky that

Corollary

Those L_p 's are (AuH).

To prove that those L_p 's are Fraïssé, the main step is to prove a "continuous" version of Plotkin-Rudin, in the sense that if

$$(1+\delta)^{-1}\|1+\sum_{j=1}^{n}a_{j}g_{j}\|_{\mu_{1}} \leq \|1+\sum_{j=1}^{n}a_{j}f_{j}\|_{\mu_{0}} \leq (1+\delta)\|1+\sum_{j=1}^{n}a_{j}g_{j}\|_{\mu_{1}}$$

then (f_1, \ldots, f_n) and (g_1, \ldots, g_n) are " ε -equimeasurable" in some sense.

more precisely, we measure proximity of associated measures on \mathbb{R}^n in the Lévy-Prokhorov metric.

$$\textit{d}_{\mathcal{LP}}(\mu,\nu) := \inf \left\{ \varepsilon > \mathsf{0} \mid \mu(\textit{A}) \leq \nu(\textit{A}_{\varepsilon}) + \varepsilon \text{ and } \nu(\textit{A}) \leq \mu(\textit{A}_{\varepsilon}) + \varepsilon \; \forall \textit{A} \right\}.$$

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It is also possible and useful to develop a Fraïssé theory with respect to certain classes of finite dimensional subspaces, which are not \equiv to the Age of any *X*, because they are not hereditary.

For $L_p(0, 1)$ we can use the family of ℓ_p^n 's and the perturbation result of Dor -Schechtmann to give meaning to

Theorem For any $1 \le p < +\infty$,

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By considering lattice embeddings and appropriate notions of δ -lattice embeddings, we may develop a Fraïssé theory in the lattice setting, defining Fraïssé Banach lattices, i.e. some unique universal object for classes of finite dimensional lattices with an approximate lattice ultrahomogeneity property.

For example for $1 \le p < +\infty$, $L_p(0, 1)$ is a Fraïssé Banach lattice.

This means exactly that it is the Fraïssé lattice limit of its finite sublattices the ℓ_p^n 's.

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The point here is that isometric embeddings between ℓ_p^n 's respect the lattice structure if $p < +\infty$, but not if $p = +\infty$.

As Fraïssé limit of the ℓ_{∞}^{n} 's with isometric lattice embeddings we obtain a new object that we call the lattice Gurarij.

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The "lattice Gurarij"

Our construction is strongy inspired by some work of Cabello-Sanchez (using $\prod_{p \in \mathbb{N}} L_p(0, 1)$ as ambient space).

Theorem (F. Cabello-Sanchez, 1998)

There exists a renorming of C(0, 1) as an M-space with almost transitive norm.

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There exists a renorming of C(0, 1) as an *M*-space $\mathbb{G}_{lattice}$ which is the Fraïssé limit of the ℓ_{∞}^{n} 's with isometric lattice embeddings.

In particular, for any $\epsilon > 0$, for any lattice isometry t between two finite dimensional sublattices of $G_{lattice}$, there is a lattice isometry T on $\mathbb{G}_{lattice}$ such that

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- 1. Transitivities of isometry groups
- 2. Fraïssé theory and the KPT correspondence
- 3. Fraïssé Banach spaces
- 4. The Approximate Ramsey Property for ℓ_p^{n} 's

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The Approximate Ramsey Property

There is relatively well known form of the KPT correspondence, i.e. combinatorial characterization of the extreme amenability of an isometry group in terms of a Ramsey property of the Age, for metric structures.

This applies without difficulty to (Isom(X), SOT) for a Fraïssé Banach space *X*.

Definition

A collection \mathcal{F} of finite dimensional normed spaces has the Approximate Ramsey Property (ARP) when for every $F, G \in \mathcal{F}$ and $r \in \mathbb{N}, \varepsilon > 0$ there exists $H \in \mathcal{F}$ such that every coloring cof $\operatorname{Emb}(F, H)$ into r colors admits an embedding $\varrho \in \operatorname{Emb}(G, H)$ which is ε -monochromatic for c.

Here ε -monochromatic means that for some color *i*, $\rho \circ \operatorname{Emb}(F, G) \subset c^{-1}(i)_{\varepsilon} := \{\tau \in \operatorname{Emb}(F, H) : d(c^{-1}(i), \tau) < \varepsilon\}.$

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The Approximate Ramsey Property

There is relatively well known form of the KPT correspondence, i.e. combinatorial characterization of the extreme amenability of an isometry group in terms of a Ramsey property of the Age, for metric structures.

This applies without difficulty to (Isom(X), SOT) for a Fraïssé Banach space X.

Definition

A collection \mathcal{F} of finite dimensional normed spaces has the Approximate Ramsey Property (ARP) when for every $F, G \in \mathcal{F}$ and $r \in \mathbb{N}, \varepsilon > 0$ there exists $H \in \mathcal{F}$ such that every coloring cof $\operatorname{Emb}(F, H)$ into r colors admits an embedding $\varrho \in \operatorname{Emb}(G, H)$ which is ε -monochromatic for c.

Here ε -monochromatic means that for some color *i*, $\varrho \circ \operatorname{Emb}(F, G) \subset c^{-1}(i)_{\varepsilon} := \{\tau \in \operatorname{Emb}(F, H) : d(c^{-1}(i), \tau) < \varepsilon\}.$ Theorem (KPT correspondence for Banach spaces) For X (AuH) the following are equivalent:

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- ► Isom(X) is extremely amenable.
- Age(X) has the approximate Ramsey property.

Consider $X = L_p$ and E a finite dimensional subspace of X. Color $\phi \in \text{Emb}(E, X)$ blue if $\phi(E)$ is *K*-complemented in *X* and red otherwise.

Fact

If p = 4, 6, 8, ... then the collection of finite dimensional subspaces of L_p does not satisfy the ARP.

PROOF.

Pick *F* a space with a well and a badly complemented copy inside L_p . Pick *G* some ℓ_p^n (and therefore 1-complemented in L_p) large enough to contain these two kinds of copies of *F*. This proves that ϕ defines a bad coloring.

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The Approximate Ramsey Property for ℓ_p^{n} 's

The KPT correspondence extends to the setting of ℓ_p^n -subspaces of L_p . This means we can recover the extreme amenability of Isom(L_p) through internal properties: i.e. through an approximate Ramsey property of isometric embeddings between ℓ_p^n 's.

Theorem (Ramsey theorem for embeddings between ℓ_p^{n} 's)

Given $1 \le p < \infty$, integers *d*, *m*, *r*, and $\epsilon > 0$ there exists $n = n_p(d, m, r, \epsilon)$ such that whenever *c* is a coloring of $\operatorname{Emb}(\ell_p^d, \ell_p^n)$ into *r* colors, there is some isometric embedding $\gamma : \ell_p^m \to \ell_p^n$ which is ϵ -monochromatic.

The case $p = \infty$ is due to Bartosova - Lopez-Abad - Mbombo -Todorcevic (2017). We have a direct proof for $p < \infty$, $p \neq 2$.

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Comment and previous Ramsey results

- Odell-Rosenthal-Schlumprecht (1993) proved that that for every 1 ≤ p ≤ ∞, every m, r ∈ N and every ε > 0 there is n ∈ N such that for every coloring c of S_{ℓⁿ_p} into r there is Y ⊂ ℓⁿ_p isometric to ℓ^m_p so that S_Y is ε-monochromatic. Their proof uses tools from Banach space theory (like unconditionality) to find many symmetries;
- Note that Odell-Rosenthal-Schlumprecht is the case d = 1!
- ► Matoušek-Rödl (1995) proved the first result for 1 ≤ p < ∞ combinatorially (using spreads).</p>

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We can relate our Ramsey result to an equivalent form of Borsuk-Ulam called Lyusternik-Schnirelman theorem (1930):

Theorem (a form of Borsuk-Ulam)

If the unit sphere S^{n-1} of ℓ_2^n is covered by n open sets, then one of them contains a pair $\{-x, x\}$ of antipodal points.

By the fact that every finite open cover of a finite dimensional sphere is the ϵ -fattening of some smaller open cover, for some $\epsilon > 0$, our result for d = 1, m = 1 may be seen as a version of Lyusternik-Schnirelman theorem (for $n \ge n_2(1, 1, r, \epsilon)$), and the result for d, m arbitrary may be seen as a multidimensional Borsuk-Ulam theorem.

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We recover the result of Giordano-Pestov through KPT correspondence, but also (through the Fraïssé Banach space notion) some non-separable versions of it.

Theorem

The topological group ($Isom(L_p)$, SOT) is extremely amenable (Giordano-Pestov). The topological group ($Isom((L_p)_U)$, SOT) is also extremely amenable.

Since it is easy to prove the approximate Ramsey property for *lattice* isometric embeddings between ℓ_{∞}^{n} 's, we also deduce:

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Theorem

The group of lattice isometries on $\mathbb{G}_{lattice}$, with SOT, is extremely amenable.

Question

Find a separable Fraïssé (or even AUH) space different from the Gurarij or some $L_p(0, 1)$.

Question

Are the Hilbert and the Gurarij the only stable separable Fraïssé spaces (Fraïssé property independent of the dimension)?

Question

Are the $L_p(0, 1)$ spaces stable Fraïssé for p non even?

Also:

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Show that $L_p(0, 1)$ does not admit an ultrahomogeneous renorming if $p \neq 2$.

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THANK YOU - GRACIAS!

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