Applications of harmonic analysis to discrete geometry

Fabrício Caluza Machado



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Chapters

- 1. Introduction
- 2. Harmonic Analysis
- 3. Packing problems
- 4. k-point semidefinite programming bounds for equiangular lines
- 5. The Fourier transform of a polytope
- 6. Coefficients of the solid angle and Ehrhart quasi-polynomials
- 7. The null set of a polytope and the Pompeiu property for polytopes



Harmonic Analysis

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Harmonic Analysis



Analysis of function spaces under the action of some group.

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- We say an invariant subspace $W \subseteq V$ is *irreducible* if W has no proper subspace invariant to each L(g) (in the sense that $L(g)f \in W$ for all $f \in W$ and $g \in G$).
- Determine how V can be decomposed as a "direct sum" of irreducible subspaces is useful to determine the linear operators T: V → V that commutes with the action:

$$L(g)T = TL(g)$$

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Example \mathbb{R}/\mathbb{Z} :

Let $X = \mathbb{R}/\mathbb{Z}$ and $G = \mathbb{R}/\mathbb{Z}$. For $m \in \mathbb{Z}$, the exponential functions

 $\phi_m(x) = e^{2\pi i m x}$

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Fourier series

$$f(x) \sim \sum_{m \in \mathbb{Z}} \hat{f}_m \phi_m(x), \quad \hat{f}_m = \int_0^1 f(x) e^{-2\pi i \langle m, x \rangle} \,\mathrm{d}x$$

2. Harmonic Analysis

2.1 Basics of representation theory

2.2 Invariant positive kernels

2.3 Harmonic analysis on the sphere

2.4 Fourier Analysis

2.5 Lattice sums

2 4. k-point semidefinite programming bounds for equiangular lines

k-point semidefinite programming bounds for equiangular lines



 Joint work with D. de Laat, F.M. de Oliveira Filho, and F. Vallentin.



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k-point semidefinite programming bounds for equiangular lines



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- Derive bounds for the maximum number of equiangular lines in a given dimension and with a given angle.
- We use semidefinite programming and constraints based on k-tuple of points. (k = 2,...,6)
- Domain: S^{d-1} , group: O(d).



- The problem is a special case of a spherical code problem, which in turn can be classified as a geometrical packing problem.
- Let $D \subseteq [-1, 1)$ be the set of allowable inner products.



$$A(n,D) := \max \left\{ |C| : C \subseteq S^{n-1}, \quad x \cdot y \in D \\ \text{for all distinct } x, y \in C \right\}$$

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• Chapter 3 shows how this problem relates with other geometrical packing problems and the similarities between the methods used to deal with them.



3. Packing problems

3.1 Modeling packing problems with graphs

3.2 Semidefinite programming bounds for the independence number of a finite graph

3.3 The Cohn-Elkies bound for the density of translative packings of convex bodies

Summary of main contributions

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- Produced a new sequence $\Delta_k(G)^*$ of semidefinite programming bounds for A(n,D) when D is finite. When k = 2 and 3, this bound reduces to known bounds in the literature.
- We computed the bound for k = 4, 5, and 6 and found improved bounds for the maximum number of equiangular lines in Euclidean space with a fixed common angle.
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Results $D = \{-1/7, 1/7\}$



Fabrício C. Machado Applications of harmonic analysis to discrete geometry



4. k-point semidefinite programming bounds for equiangular lines

- **4.1 Introduction**
- 4.2 Derivation of the hierarchy
- 4.3 Symmetry reduction
- 4.4 Parameterizing invariant kernels on the sphere by positive semidefinite matrices
- 4.5 Semidefinite programming formulations
- 4.6 Two-distance sets and equiangular lines

3 6. Coefficients of the solid angle and Ehrhart quasi-polynomials

Coefficients of the solid angle and Ehrhart quasi-polynomials



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• Determine a local formula for the codimension two quasi-coefficient of the Ehrhart and solid angle sum quasi-polynomials.



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- Determine a local formula for the codimension two quasi-coefficient of the Ehrhart and solid angle sum quasi-polynomials.
- Domain: $\mathbb{R}^d/\mathbb{Z}^d$, group: $\mathbb{R}^d/\mathbb{Z}^d$.



For a given rational polytope $P \subset \mathbb{R}^d$ and t > 0, let $tP := \{tx : x \in P\}$.

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The Ehrhart's function $L_P(t)$ $L_P(t):=|tP\cap \mathbb{Z}^d|, \quad \text{for } t\in \mathbb{Z}$


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Ehrhart's theorem

If P is a rational d-dimensional polytope, then $L_P(t)$ is a quasi-polynomial in t of degree d. The period of its quasi-coefficients divides the denominator m of P.

$$L_P(t) = e_d(t)t^d + e_{d-1}(t)t^{d-1} + \dots + e_0(t),$$

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 for all $0 \le k \le d$ and $t \in \mathbb{R}$.

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- $e_0(t) = 1$ (for P integer polytope and $t \in \mathbb{Z}$).
- $e_k(t)$ has information from the k-dimensional faces of P.

Solid angle sum $A_P(t)$



Solid angle

$$\omega_P(x) := \lim_{\epsilon \to 0^+} \frac{\operatorname{vol}(S^{d-1}(x,\epsilon) \cap P)}{\operatorname{vol}(S^{d-1}(x,\epsilon))}$$



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- $a_{d-1}(t) = a_{d-3}(t) = \cdots = 0$ (for P integer polytope and $t \in \mathbb{Z}$).

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The Fourier transform of a polytope

• For any compact set $\Omega \subset \mathbb{R}^d$, its *Fourier transform* is the function

$$\hat{\mathbb{1}}_{\Omega}(\xi) = \int_{\Omega} e^{-2\pi i \langle x, \xi \rangle} \, \mathrm{d}x.$$

This function is analytic in \mathbb{C}^d and $\sup_{\xi \in \mathbb{R}^d} |\hat{\mathbb{1}}_{\Omega}(\xi)| = \operatorname{vol}(\Omega)$.

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- We consider $\hat{\mathbb{1}}_P$ for polytopes since we have special tools to evaluate it (the divergence theorem and Brion's theorem).
- One application of $\hat{\mathbb{1}}_P$ is in the determination of $L_P(t)$ and $A_P(t)$.



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 $L_P(t) = |tP \cap \mathbb{Z}^d| = \sum \mathbb{1}_{tP}(n)$ $n \in \mathbb{Z}^d$

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In view of Poisson summation, "it is tempting to consider"

$$\sum_{\xi \in \mathbb{Z}^d} \hat{\mathbb{1}}_{tP}(\xi) = \operatorname{vol}(P)t^d + \sum_{\xi \in \mathbb{Z}^d \setminus \{0\}} t^d \hat{\mathbb{1}}_P(t\xi)$$

and use the last series to estimate $L_P(t) - \operatorname{vol}(P)t^d$ for large t.

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• Using this method and an expression for $\hat{\mathbb{1}}_{P}(\xi)$ in terms of the facets of P, we produce formulas for $a_{d-1}(t)$ and $a_{d-2}(t)$ in terms of "local" information along the faces, valid for any rational polytope P and real t > 0.

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Theorem (M., Robins 2019^+)

Let $P \subset \mathbb{R}^d$ be a rational polytope. Then the codimension two quasi-coefficient of the solid angle sum $A_P(t)$ has the following closed form for any positive real t:

$$a_{d-2}(t) = \sum_{\substack{G \subset P, \\ \dim G = d-2}} \operatorname{vol}^{*}(G) \\ \left[\frac{c_{G}}{2k} \left(\frac{\|v_{F_{2}}\|}{\|v_{F_{1}}\|} \overline{B}_{2}(\langle v_{F_{1}}, \bar{x}_{G} \rangle t) + \frac{\|v_{F_{1}}\|}{\|v_{F_{2}}\|} \overline{B}_{2}(\langle v_{F_{2}}, \bar{x}_{G} \rangle t) \right) \\ + \left(\omega_{P}(G) - \frac{1}{4} \right) \mathbb{1}_{\Lambda_{G}^{*}}(t\bar{x}_{G}) - s(h, k; (x_{1} + hx_{2})t, -kx_{2}t) \right].$$

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 $\omega_P(G), \Lambda_G^*, c_G, v_{F_1}, v_{F_2}, h, k, \bar{x}_G, x_1, x_2$ are all "local geometric information" of the codimension two face G of P.

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Theorem (M., Robins 2019⁺)

Let $P \subset \mathbb{R}^d$ be an integer polytope. Then for integer dilations, the codimension two coefficient of the of the solid angle sum $A_P(t)$ has the following local formula:

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Therefore, if P is an integer polytope in \mathbb{R}^d with d = 3 or 4, its solid angle sum for integer dilations is:

$$A_P(t) = \operatorname{vol}(P)t^d + a_{d-2}t^{d-2}.$$

Obtaining the Ehrhart quasi-coefficients

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Lemma (M., Robins 2019^+)

Let $P \subset \mathbb{R}^d$ be a *d*-dimensional rational polytope and $a \in int(P)$ be a rational vector. Then for any positive real t,

$$L_P(t) = \lim_{s \to \infty} A_{P+s^{-1}(P-a)}(t).$$

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7. The null set of a polytope and the Pompeiu property for polytopes

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• The null set of Ω is $N(\Omega) = \{\xi \in \mathbb{C}^d : \hat{\mathbb{1}}_{\Omega}(\xi) = 0\}.$

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The null set of a polytope and the Pompeiu property for polytopes

- Joint work with S. Robins.
- Let Ω ⊂ ℝ^d be a compact set. The Fourier transform of Ω is the function Î_Ω: ℂ^d → ℂ:

$$\hat{\mathbb{1}}_{\Omega}(\xi) = \int_{\Omega} e^{-2\pi i \langle x,\xi \rangle} \,\mathrm{d}x.$$

- The null set of Ω is $N(\Omega) = \{\xi \in \mathbb{C}^d : \hat{\mathbb{1}}_{\Omega}(\xi) = 0\}.$
- Using an explicit form for the Fourier transform of a polytope (Brion's theorem), we give a simple proof that polytopes have the Pompeiu property.

Pompeiu property



Let $\Omega \subset \mathbb{R}^d$ be a bounded set with nonempty interior. Ω has the Pompeiu property if, for $f \in \mathcal{C}(\mathbb{R}^d)$, $\int_{\sigma(\Omega)} f(x) \, \mathrm{d}x = 0.$

over all rigid motions $\sigma \in M(d)$ implies that $f \equiv 0$.

Pompeiu property

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$$\int_{\sigma(\Omega)} f(x) \, \mathrm{d}x = 0.$$

over all rigid motions $\sigma \in M(d)$ implies that $f \equiv 0$.

The group M(d) of rigid motions in \mathbb{R}^d is the group generated by all translations and rotations.

Pompeiu property

1. 3. **4**. **5**. 7. Let $\Omega \subset \mathbb{R}^d$ be a bounded set with nonempty interior. Ω has the Pompeiu property if, for $f \in \mathcal{C}(\mathbb{R}^d)$, $\int_{\sigma(\Omega)} f(x) \, \mathrm{d}x = 0.$ over all rigid motions $\sigma \in M(d)$ implies that $f \equiv 0$.

Equivalently, $\boldsymbol{\Omega}$ has the Pompeiu property if the values

$$\int_{\sigma(\Omega)} f(x) \, \mathrm{d}x$$

over all rigid motions $\sigma \in M(d)$ uniquely determine $f \in \mathcal{C}(\mathbb{R}^d).$

d = 1





$$\int_{c}^{c+L} \sin\left(\frac{2\pi}{L}x\right) \mathrm{d}x = 0$$



d = 1



An interval does not have the Pompeiu property:

$$\int_{c}^{c+L} \sin\left(\frac{2\pi}{L}x\right) \mathrm{d}x = 0$$



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$d \ge 2$

1. 3. 4. 5. 7.

A ball does not have the Pompeiu property. If R is the radius of the ball and a is such that $J_{d/2}(aR)=0,$ then

$$\int_{\|x-c\| \le R} \sin(ax_1) \,\mathrm{d}x = 0.$$



$d \ge 2$

1.

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7.

A ball does not have the Pompeiu property. If R is the radius of the ball and a is such that $J_{d/2}(aR) = 0$, then $\int_{\|x-c\| \le R} \sin(ax_1) \, \mathrm{d}x = 0.$ $\int_{\|x\| \le R} e^{2\pi i \langle \xi, x \rangle} \, \mathrm{d}x = \left(\frac{R}{\|\xi\|}\right)^{d/2} J_{d/2}(2\pi R \|\xi\|).$



Theorem (M., Robins)

Let $P \subset \mathbb{R}^d$ be a *d*-dimensional polytope, $H \subset \mathbb{R}^d$ be a 2-dimensional real subspace that is not orthogonal to any edge from P, and fix an orthonormal basis $\{e, f\} \subset \mathbb{R}^d$ for H. Then

$$\left\{\alpha(\cos t)e + \alpha(\sin t)f \in \mathbb{C}^d : t \in [-\pi,\pi]\right\} \not\subset N(P)$$

for any $\alpha \in \mathbb{C} \setminus \{0\}$.

Example



Let $P \subset \mathbb{R}^2$ be an hexagon,



Example



In blue is $N(P)\cap \mathbb{R}^2$



Example



In blue is $N(P) \cap \mathbb{R}^2$ and in red is a circle.



Example



In blue is $N(P) \cap \mathbb{R}^2$ and in red is a circle.



Example



In blue is $N(P) \cap \mathbb{R}^2$ and in red is a circle.





7. The null set of a polytope and the Pompeiu property for polytopes

7.1 Introduction

7.2 Preliminaries

7.3 Proof of Theorem 7.1.2

1. 5.

For each facet F of P, let n_F be the outer unit normal vector along F and $d_F x$ denote the surface integral along F. Applying the divergence theorem to the vector field $\xi e^{-2\pi i \langle x, \xi \rangle}$, we get:



If ξ is not orthogonal to F, we may interate the process along the lower dimensional faces of P.

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1. 5.

Brion's theorem

If $P \subset \mathbb{R}^d$ is a *d*-dimensional polytope and for each $v \in V(P)$, $K_{v,1}, \ldots, K_{v,M_v}$ are simplicial cones with disjoint interiors such that $\operatorname{tcone}(P, v) = \bigcup_{j=1}^{M_v} K_{v,j}$ and for each $1 \leq j \leq M_v$, $w_{j,1}^v, \ldots, w_{j,d}^v$ are the generators of $K_{v,j}$. Then

$$\hat{\mathbb{1}}_P(\xi) = \sum_{v \in V(P)} \sum_{j=1}^{M_v} \frac{e^{-2\pi i \langle v, \xi \rangle}}{(2\pi i)^d} \frac{\det(w_{j,1}^v, \dots, w_{j,d}^v)}{\langle w_{j,1}^v, \xi \rangle \dots \langle w_{j,d}^v, \xi \rangle}.$$



5. The Fourier transform of a polytope

5.1 Combinatorial Stokes Formula

5.2 The integral and exponential sum valuations

Fabrício Caluza Machado www.ime.usp.br/~fabcm fabcm1@gmail.com Mathematics and Statistics Institute, University of São Paulo, Brazil

Fourier transforms of polytopes (Diaz, Le, and Robins 2016^+)

$$L_P(t) = \sum_{n \in \mathbb{Z}^d} \mathbb{1}_{tP}(n) = \sum_{\xi \in \mathbb{Z}^d} \hat{\mathbb{1}}_{tP}(\xi) = t^d \sum_{\xi \in \mathbb{Z}^d} \int_P e^{-2\pi i \langle t\xi, x \rangle} \, \mathrm{d}x$$

Fabrício C. Machado

Fourier transforms of polytopes (Diaz, Le, and Robins 2016^+)

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$$\begin{split} \phi_{d,\epsilon}(x) &:= \epsilon^{-d/2} e^{-\pi \|x\|^2/\epsilon} \\ \omega_P(n) &= \lim_{\epsilon \to 0^+} (\mathbbm{1}_P * \phi_{d,\epsilon})(n) \\ &= \lim_{\epsilon \to 0^+} \int_P \phi_{d,\epsilon}(y-n) \, \mathrm{d}y \end{split}$$


$$A_P(t) = \sum_{n \in \mathbb{Z}^d} \omega_{tP}(n) = \sum_{n \in \mathbb{Z}^d} \lim_{\epsilon \to 0^+} (\mathbb{1}_{tP} * \phi_{d,\epsilon})(n)$$

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$$= \lim_{\epsilon \to 0^+} \sum_{n \in \mathbb{Z}^d} (\mathbb{1}_{tP} * \phi_{d,\epsilon})(n) = \lim_{\epsilon \to 0^+} \sum_{\xi \in \mathbb{Z}^d} \hat{\mathbb{1}}_{tP}(\xi) \hat{\phi}_{d,\epsilon}(\xi)$$

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$$= \lim_{\epsilon \to 0^+} \sum_{\xi \in \mathbb{Z}^d} \hat{\phi}_{d,\epsilon}(\xi) \int_{tP} e^{-2\pi i \langle \xi, x \rangle} dx$$

$$A_P(t) = \sum_{n \in \mathbb{Z}^d} \omega_{tP}(n) = \sum_{n \in \mathbb{Z}^d} \lim_{\epsilon \to 0^+} (\mathbbm{1}_{tP} * \phi_{d,\epsilon})(n)$$

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$$\begin{aligned} A_P(t) &= \sum_{n \in \mathbb{Z}^d} \omega_{tP}(n) = \sum_{n \in \mathbb{Z}^d} \lim_{\epsilon \to 0^+} (\mathbbm{1}_{tP} * \phi_{d,\epsilon})(n) \\ &= \lim_{\epsilon \to 0^+} \sum_{n \in \mathbb{Z}^d} (\mathbbm{1}_{tP} * \phi_{d,\epsilon})(n) = \lim_{\epsilon \to 0^+} \sum_{\xi \in \mathbb{Z}^d} \mathbbm{1}_{tP}(\xi) \hat{\phi}_{d,\epsilon}(\xi) \\ &= t^d \lim_{\epsilon \to 0^+} \sum_{\xi \in \mathbb{Z}^d} \hat{\phi}_{d,\epsilon}(\xi) \int_P e^{-2\pi i \langle t\xi, x \rangle} \, \mathrm{d}x \\ &= t^d \mathrm{vol}(P) + t^{d-1} \lim_{\epsilon \to 0^+} \sum_{\xi \in \mathbb{Z}^d \setminus \{0\}} \hat{\phi}_{d,\epsilon}(\xi) \sum_{\substack{F \subseteq P \\ \mathrm{dim}(F) = d-1}} \frac{\langle \xi, N_P(F) \rangle}{-2\pi i \|\xi\|^2} \int_F e^{-2\pi i \langle t\xi, x \rangle} \, \mathrm{d}x \end{aligned}$$

Fabrício C. Machado Applications of harmonic analysis to discrete geometry

Theorem (Diaz, Le, and Robins 2016^+)

Let P be a d-dimensional rational polytope in \mathbb{R}^d , and t be a positive real number. Then we have $A_P(t) = \sum_{k=0}^d a_k(t)t^k$, where, for $0 \le k \le d$,

$$a_{d-k}(t) = \lim_{\epsilon \to 0^+} \sum_{T: \, l(T)=k} \sum_{\xi \in \mathbb{Z}^d \cap S(T)} \mathcal{R}_T(\xi) e^{-2\pi i \langle t\xi, x_{F_k} \rangle} \hat{\phi}_{d,\epsilon}(\xi),$$

where the first sum is over all chains $T = (P \to F_1 \to \cdots \to F_k)$ with F_j a facet of F_{j-1} for every j and S(T) is the set of vectors ξ orthogonal to $\lim(F_k)$ but not to $\lim(F_{k-1})$.

Lattice sums — Definition

Let Λ be a k-dimensional lattice in \mathbb{R}^d , w_1, \ldots, w_k be linearly independent vectors from

$$\Lambda^* := \{ y \in \operatorname{span}(\Lambda) : \langle x, \, y \rangle \in \mathbb{Z} \text{ for all } x \in \Lambda \}$$

and $W \in \mathbb{R}^{d \times k}$ be a matrix with them as columns. For a k-tuple $e = (e_1, \ldots, e_k)$ of positive integers, let $|e| := \sum_{j=1}^k e_j$. For all $x \in \mathbb{R}^d$, we want to evaluate:

$$L_{\Lambda}(W,e;x) := \lim_{\epsilon \to 0^+} \frac{1}{(2\pi i)^{|e|}} \sum_{\substack{\xi \in \Lambda: \\ \langle w_j, \xi \rangle \neq 0, \forall j}} \frac{e^{-2\pi i \langle x, \xi \rangle}}{\prod_{j=1}^k \langle w_j, \xi \rangle^{e_j}} e^{-\pi \epsilon \|\xi\|^2}.$$

Lattice sums — Theorem

Theorem (M., Robins 2019^+)

If $W \in \mathbb{R}^{d \times k}$ is a matrix with linearly independent columns $w_1, \ldots, w_k \in \Lambda^*$, $e = (e_1, \ldots, e_k)$ is a k-tuple of positive integers and $x \in \mathbb{R}^d$, then:

$$L_{\Lambda}(W,e;x) = \sum_{n \in \Lambda^* \cap P_{W,x}} \frac{(-1)^k \mathcal{B}_e(W^+(n-x)) \omega_{P_{W,x}}(n)}{e_1! \cdots e_k! \det(W^{\mathsf{T}}W)^{1/2} \det(\Lambda)}.$$

Theorem (M., Robins 2019^+)

If $W \in \mathbb{R}^{d \times k}$ is a matrix with linearly independent columns $w_1, \ldots, w_k \in \Lambda^*$, $e = (e_1, \ldots, e_k)$ is a k-tuple of positive integers and $x \in \mathbb{R}^d$, then:

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 $B_r(x)$ are the Bernoulli polynomials with support in [0, 1]. $B_1(x) = x - 1/2$, $B_2(x) = x^2 - x + 1/6$ for $x \in [0, 1]$.

$$\mathcal{B}_e(x) := B_{e_1}(x_1) \cdots B_{e_k}(x_k).$$

Theorem (M., Robins 2019⁺)

If $W \in \mathbb{R}^{d \times k}$ is a matrix with linearly independent columns $w_1, \ldots, w_k \in \Lambda^*$, $e = (e_1, \ldots, e_k)$ is a k-tuple of positive integers and $x \in \mathbb{R}^d$, then:

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