

# SOME RESULTS ON THE ARITHMETIC BEHAVIOR OF TRANSCENDENTAL FUNCTIONS

**Diego Marques**

University of Brasilia

October 25, 2018

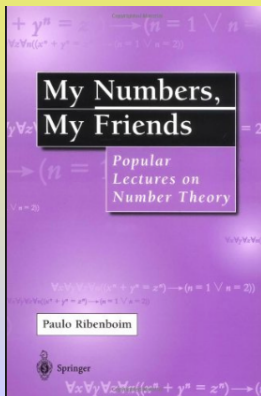
## My first transcendental steps

In 2005, in an undergraduate course of Abstract Algebra, the professor (G.Gurgel) defined transcendental numbers and asked about the algebraic independence of  $e$  and  $\pi$ .

# My first transcendental steps

In 2005, in an undergraduate course of Abstract Algebra, the professor (G.Gurgel) defined transcendental numbers and asked about the algebraic independence of  $e$  and  $\pi$ .

After I found the famous Ribenboim's book (**Chapter 10**: What kind of number is  $\sqrt{2}^{\sqrt{2}}$ ):





# Kurt Mahler (Germany, 1903-Australia, 1988)

- Mahler's works focus in transcendental number theory, Diophantine approximation, Diophantine equations, etc
- In 1976, Mahler wrote a book entitled "Lectures on Transcendental Numbers".

# Kurt Mahler (Germany, 1903-Australia, 1988)

- Mahler's works focus in transcendental number theory, Diophantine approximation, Diophantine equations, etc
- In 1976, Mahler wrote a book entitled "Lectures on Transcendental Numbers". In its chapter 3, he left three problems, called A,B, and C.

# Kurt Mahler (Germany, 1903-Australia, 1988)

- Mahler's works focus in transcendental number theory, Diophantine approximation, Diophantine equations, etc
- In 1976, Mahler wrote a book entitled "Lectures on Transcendental Numbers". In its chapter 3, he left three problems, called A,B, and C.
- The goal of this lecture is to talk about these problems...





# The first examples of transcendental numbers

In 1844, Liouville proved that

## Theorem (Liouville)

*If  $\alpha$  is an algebraic number of degree  $n > 1$ , then there exists a constant  $A > 0$ , such that*

$$\left| \alpha - \frac{p}{q} \right| > \frac{A}{q^n},$$

*for all  $p/q \in \mathbb{Q}$*

# The first examples of transcendental numbers

In 1844, Liouville proved that

## Theorem (Liouville)

*If  $\alpha$  is an algebraic number of degree  $n > 1$ , then there exists a constant  $A > 0$ , such that*

$$\left| \alpha - \frac{p}{q} \right| > \frac{A}{q^n},$$

*for all  $p/q \in \mathbb{Q}$*

The number

$$\sum_{n=1}^{\infty} 10^{-n!} = 0.1100010000000000000000001000000 \dots$$

is transcendental.

# Transcendence of some constants

- 1874, Charles Hermite: transcendence of  $e$ .
- 1882, Ferdinand Lindemann: transcendence of  $\pi$ .
- 1934, Aleksandr Gelfond: transcendence of

$$e^\pi = 23.1406926327792690057290863679 \dots$$

- 1996, Yuri Nesterenko: transcendence of

$$\pi + e^\pi = 26.282285286369062244 \dots$$

# Hermite-Lindemann Theorem

## Theorem (Hermite-Lindemann)

*If  $\alpha \in \overline{\mathbb{Q}}$  is nonzero, then  $e^\alpha$  is transcendental.*

**Consequences:** For all  $\alpha \in \overline{\mathbb{Q}}$ , nonzero,  $\cos \alpha$ ,  $\sin \alpha$ ,  $\log \alpha$  ( $\alpha \neq 1$ ) are transcendental (Euler formula:  $e^{i\alpha} = \cos \alpha + i \sin \alpha$ )

# The Gelfond-Schneider Theorem

At the 1900 International Congress of Mathematicians in Paris, as the seventh in his famous list of 23 problems, Hilbert gave a big push to transcendental number theory with his question of the arithmetic nature of the power  $\alpha^\beta$  of two algebraic numbers  $\alpha$  and  $\beta$ . In 1934, Gelfond and Schneider, independently, completely solved the problem

## Theorem

*If  $\alpha \in \overline{\mathbb{Q}} \setminus \{0, 1\}$ , and  $\beta \in \overline{\mathbb{Q}} \setminus \mathbb{Q}$ , then  $\alpha^\beta$  is transcendental.*

**Consequences:** The numbers  $2^{\sqrt{2}}$ ,  $2^i$  and  $e^\pi$  are transcendental.  
( $e^\pi = (-1)^{-i}$ )

# Baker's Theorem

## Theorem (Baker (Fields Medal - 1970))

Let  $\alpha_1, \dots, \alpha_n$  be nonzero algebraic numbers and let  $\beta_1, \dots, \beta_n$  be algebraic numbers, then

$$\Lambda = \beta_1 \log \alpha_1 + \dots + \beta_n \log \alpha_n \neq 0$$

is a transcendental number.

**Consequences:** The numbers  $\log 2 + \sqrt{3} \log 3$  and  $\pi + \log 2$  are transcendental. ( $-i \log(-1) = \pi$ )

# Algebraic and transcendental functions

A function  $f : \Omega \rightarrow \mathbb{C}$  is called **algebraic** (over  $\mathbb{C}$ ), if there exists  $P(x, y) \in \mathbb{C}[x, y]$ , nonzero, such that

$$P(z, f(z)) = 0, \text{ for all } z \in \Omega.$$

On the contrary  $f$  is said to be **transcendental**.

# Algebraic and transcendental functions

A function  $f : \Omega \rightarrow \mathbb{C}$  is called **algebraic** (over  $\mathbb{C}$ ), if there exists  $P(x, y) \in \mathbb{C}[x, y]$ , nonzero, such that

$$P(z, f(z)) = 0, \text{ for all } z \in \Omega.$$

On the contrary  $f$  is said to be **transcendental**.

**Example:** The functions  $e^z$ ,  $\cos z$ ,  $\sin z$ ,  $\log z$  are transcendental.



# Algebraic and transcendental functions

A function  $f : \Omega \rightarrow \mathbb{C}$  is called **algebraic** (over  $\mathbb{C}$ ), if there exists  $P(x, y) \in \mathbb{C}[x, y]$ , nonzero, such that

$$P(z, f(z)) = 0, \text{ for all } z \in \Omega.$$

On the contrary  $f$  is said to be **transcendental**.

**Example:** The functions  $e^z$ ,  $\cos z$ ,  $\sin z$ ,  $\log z$  are transcendental.

An entire function  $f$  is transcendental if and only if it is not a polynomial.

# A brief history

- In 1886, Strauss tried to prove that an analytic transcendental function cannot be rational at all rational points in its domain.

# A brief history

- In 1886, Strauss tried to prove that an analytic transcendental function cannot be rational at all rational points in its domain.
- In 1886, Weierstrass supplied him with a counter-example and also stated that there are transcendental entire functions which assume algebraic values at all algebraic points.

# A brief history

- In 1886, Strauss tried to prove that an analytic transcendental function cannot be rational at all rational points in its domain.
- In 1886, Weierstrass supplied him with a counter-example and also stated that there are transcendental entire functions which assume algebraic values at all algebraic points.
- In 1896, Stäckel proved that for each countable subset  $\Sigma \subseteq \mathbb{C}$  and each dense subset  $T \subseteq \mathbb{C}$ , there exists a transcendental entire function  $f$  such that  $f(\Sigma) \subseteq T$

# A brief history

- In 1886, Strauss tried to prove that an analytic transcendental function cannot be rational at all rational points in its domain.
- In 1886, Weierstrass supplied him with a counter-example and also stated that there are transcendental entire functions which assume algebraic values at all algebraic points.
- In 1896, Stäckel proved that for each countable subset  $\Sigma \subseteq \mathbb{C}$  and each dense subset  $T \subseteq \mathbb{C}$ , there exists a transcendental entire function  $f$  such that  $f(\Sigma) \subseteq T$  (Weierstrass assertion:  $\Sigma = T = \overline{\mathbb{Q}}$ ).

# A brief history: Mahler's question B

- In 1902, Stäckel produced a transcendental function  $f(z)$ , analytic in a neighbourhood of the origin, and with the property that both  $f(z)$  and its inverse function assume, in this neighbourhood, algebraic values at all algebraic points (his proof depends on the implicit function theorem)

# A brief history: Mahler's question B

- In 1902, Stäckel produced a transcendental function  $f(z)$ , analytic in a neighbourhood of the origin, and with the property that both  $f(z)$  and its inverse function assume, in this neighbourhood, algebraic values at all algebraic points (his proof depends on the implicit function theorem)
- Based on this result, in his 1976 book, Mahler suggested the following question

# A brief history: Mahler's question B

- In 1902, Stäckel produced a transcendental function  $f(z)$ , analytic in a neighbourhood of the origin, and with the property that both  $f(z)$  and its inverse function assume, in this neighbourhood, algebraic values at all algebraic points (his proof depends on the implicit function theorem)
- Based on this result, in his 1976 book, Mahler suggested the following question

## Problem B

*Does there exist a transcendental entire function*

$$f(z) = \sum_{n=0}^{\infty} f_n z^n,$$

*where  $f_n \in \mathbb{Q}$  and such that both  $f(z)$  and its inverse function are algebraic at all algebraic points?*



# The complete answer

In 2017, we solved completely this Mahler question by proving that

# The complete answer

In 2017, we solved completely this Mahler question by proving that

## **Theorem** (M., Moreira)

*There are uncountable many transcendental entire functions*

$$f(z) = \sum_{n=0}^{\infty} a_n z^n,$$

*with rational coefficients  $a_n$  and such that the  $f(\overline{\mathbb{Q}}) \subseteq \overline{\mathbb{Q}}$  and  $f^{-1}(\overline{\mathbb{Q}}) \subseteq \overline{\mathbb{Q}}$ .*

# A generalization

We also proved that

# A generalization

We also proved that

## Theorem (M., Moreira)

*Let  $X$  and  $Y$  be countable subsets of  $\mathbb{C}$  that are dense and closed for complex conjugation. Suppose that either both  $X \cap \mathbb{R}$  and  $Y \cap \mathbb{R}$  are dense in  $\mathbb{R}$  or both intersections are the empty set and that if  $0 \in X$ , then  $Y \cap \mathbb{Q} \neq \emptyset$ . Then, there are uncountably many transcendental entire functions*

$$f(z) = \sum_{n=0}^{\infty} a_n z^n,$$

*with rational coefficients  $a_n$  and such that  $f(X) = Y$ ,  $f^{-1}(Y) = X$  and  $f'(\alpha) \neq 0$ , for all  $\alpha \in X$ .*

# Exceptional sets

## Definition

Let  $f$  be an entire function. The **exceptional set** of  $f$  is defined as

$$S_f = \{\alpha \in \overline{\mathbb{Q}} : f(\alpha) \in \overline{\mathbb{Q}}\}$$

# Exceptional sets

## Definition

Let  $f$  be an entire function. The **exceptional set** of  $f$  is defined as

$$S_f = \{\alpha \in \overline{\mathbb{Q}} : f(\alpha) \in \overline{\mathbb{Q}}\}$$

In 1886, Weierstrass proposed the following questions

Weierstrass questions:

(W1) Is there a transcendental entire function  $f$  such that  $S_f = \overline{\mathbb{Q}}$ ?

(W2) What are the possible  $S_f$ , for  $f$  entire and transcendental?

In 1895, Paul Stäckel showed that the answer for (W1) is Yes.

# Mahler and the question (W2)

A set  $A \subseteq \overline{\mathbb{Q}}$  is **closed related to**  $\overline{\mathbb{Q}}$ , if for each  $\alpha \in A$ , the all its (algebraic) conjugates also become to  $A$ .

# Mahler and the question (W2)

A set  $A \subseteq \overline{\mathbb{Q}}$  is **closed related to**  $\overline{\mathbb{Q}}$ , if for each  $\alpha \in A$ , the all its (algebraic) conjugates also become to  $A$ .

In 1965, Kurt Mahler proved that

## Theorem

*If  $A$  is closed related to  $\overline{\mathbb{Q}}$ , then there exists a transcendental entire function  $f \in \mathbb{Q}[[z]]$ , such that  $S_f = A$ .*

**Consequences:** Every subset of  $\mathbb{Q}$  (e.g., the prime numbers) and every normal extension of  $\mathbb{Q}$  (e.g.,  $\mathbb{Q}(\sqrt{2})$ ) are exceptional sets.



# Our result: Exceptional sets are not exceptional!

In 2009, it was proved that

**Theorem** (Huang-M.-Mereb, 2009)

*For any  $A \subseteq \overline{\mathbb{Q}}$ , there exist uncountable many transcendental entire functions  $f$ , such that*

$$S_f = A.$$

# Mahler's problem C

In his 1976 book, Mahler suggested the following question

## Problem C

*Does there exist for any choice of  $\rho \in (0, \infty]$  and  $S \subseteq \overline{\mathbb{Q}}$  (closed under complex conjugation) a transcendental function  $f \in \mathbb{Q}[[z]]$  for which  $S_f = S$  and with convergence radius  $\rho$ ?*

# Solution for $\rho = \infty$

The case  $\rho = \infty$  was solved in

Solution for  $\rho = \infty$ 

The case  $\rho = \infty$  was solved in

**Theorem** (M., Ramirez, 2016)

*For any  $A \subseteq \overline{\mathbb{Q}}$  (closed under complex multiplication with  $0 \in A$ ), there exist uncountable many transcendental entire functions  $f \in \mathbb{Q}[[z]]$ , such that*

$$S_f = A.$$

# The general case

## Theorem (M., Moreira, 2018)

*There exist for any choice of  $\rho \in (0, \infty]$  and  $S \subseteq \mathbb{Q}$  (closed under complex conjugation) a transcendental function  $f \in \mathbb{Q}[[z]]$  for which  $S_f = S$  and such that its convergence radius  $\rho$*

# Current research

In a recent paper, jointly with Gugu, we proved that

## Theorem (M., Moreira)

*For any  $A \subseteq \overline{\mathbb{Q}} \cap B(0,1)$  (closed under complex multiplication with  $0 \in A$ ), there exist uncountable many transcendental functions  $f \in \mathbb{Z}[[z]]$  analytic inside the unit ball, such that*

$$S_f = A.$$

# "The last Mahler's question": Problem A

Mahler still raised the following problem:

# "The last Mahler's question": Problem A

Mahler still raised the following problem:

## Problem A

*Is there a transcendental function  $f(z) = \sum_{k \geq 0} a_k z^k \in \mathbb{Z}[[z]]$  analytic in  $B(0 : 1)$  with bounded coefficients and such that  $f(\overline{\mathbb{Q}} \cap B(0 : 1)) \subseteq \overline{\mathbb{Q}}$ ?*



# "The last Mahler's question": Problem A

Mahler still raised the following problem:

## Problem A

*Is there a transcendental function  $f(z) = \sum_{k \geq 0} a_k z^k \in \mathbb{Z}[[z]]$  analytic in  $B(0 : 1)$  with bounded coefficients and such that  $f(\overline{\mathbb{Q}} \cap B(0 : 1)) \subseteq \overline{\mathbb{Q}}$ ?*

Mahler conjectured that the answer is No, and he showed the following evidence.

# A result of Mahler

## Theorem (Mahler, 1965)

*Let  $f \in \mathbb{Z}[[z]]$  be a strongly lacunary power series with bounded coefficients, then  $f(\overline{\mathbb{Q}} \cap B(0 : 1)) \not\subseteq \overline{\mathbb{Q}}$ .*

# A recent result

Let  $P(n)$  the largest prime factor of  $n$ . Very recently, with Gugu, we prove that

## Theorem (M., Moreira)

*There exists  $f(z) = \sum_{k \geq 0} a_k z^k \in \mathbb{Z}[[z]]$  analytic in  $B(0 : 1)$  with  $P(a_k) \leq 3$  and such that  $f(\overline{\mathbb{Q}} \cap B(0 : 1)) \subseteq \overline{\mathbb{Q}}$ .*



