

On the application of Gröbner basis theory to the study of certain evaluation codes

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Celebrating Paulo Ribenboim's ninetieth birthday



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Facts from Gröbner bases theory

Let \mathcal{M} be the set of monomials of $k[X_1, \dots, X_n] =: k[\mathbf{X}]$ and endow \mathcal{M} with a monomial order \prec . Given $f \in k[\mathbf{X}] \setminus \{0\}$ the **leading monomial** of f ($\text{lm}(f)$) is the greatest monomial appearing in f . Let $I \subset k[\mathbf{X}]$ be an ideal, we say that $\{g_1, \dots, g_s\} \subset I$ is a **Gröbner basis** for I (w.r.t. \prec) if the leading monomial of any nonzero polynomial in I is multiple of $\text{lm}(g_i)$ for some $i = 1, \dots, s$. One can prove that such a set is a basis for I in the usual sense $I = (g_1, \dots, g_s)$ and that any ideal has a Gröbner basis.

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If I is homogeneous then $\{M + I \mid M \in \Delta(I), \deg(M) = d\}$ is a basis for $k[\mathbf{X}]_d/I(d)$.

We also have that $M \in \Delta(I)$ if and only if M is not a multiple of $\text{lm}(g_i)$ for all $i = 1, \dots, s$.

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Gröbner bases and coding theory

A (linear error correcting) code of length N defined over \mathbb{F}_q is an \mathbb{F}_q -vector subspace $C \subset \mathbb{F}_q^N$. Given an N -tuple $\alpha = (\alpha_1, \dots, \alpha_N) \in \mathbb{F}_q^N$ the weight of α is $w(\alpha) = \#\{i \mid \alpha_i \neq 0\}$ and the minimum distance of C is $d_{\min}(C) := \min\{w(\alpha) \mid \alpha \in C \setminus \{\mathbf{0}\}\}$.

One may use a set $X = \{P_1, \dots, P_N\} \subset \mathbb{A}^m(\mathbb{F}_q)$ to construct a code in the following way. Let $I_X \subset \mathbb{F}_q[X_0, \dots, X_m] = \mathbb{F}_q[\mathbf{X}]$ be the ideal of X and let $\varphi: \mathbb{F}_q[\mathbf{X}]/I_X \rightarrow \mathbb{F}_q^N$ be given by $\varphi(f + I_X) = (f(P_1), \dots, f(P_N))$. It is not difficult to show that φ is an isomorphism of \mathbb{F}_q -vector spaces. Thus, for any subspace $L \subset \mathbb{F}_q[\mathbf{X}]/I_X$ we have a code $C_L := \varphi(L)$.

Let d be a nonnegative integer and let

$L_d := \{f + I_X \mid f = 0 \text{ or } \deg(f) \leq d\}$. In this case we say that C_{L_d} is “of Reed-Muller type” and has order d . From Buchberger’s result we know that the classes of the monomials in $\Delta(I_X)$ form a basis for $\mathbb{F}_q[\mathbf{X}]/I_X$ (in particular $\#\Delta(I_X) = N$) and one may prove that the set $\Delta(I_X)_d := \{M + I_X \mid M \in \Delta(I_X), \deg(M) \leq d\}$ is a basis for L_d , so that $\dim(C_{L_d}) = \#\Delta(I_X)_d$.

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Gröbner basis methods and the parameters of C_L

As for the minimum distance $d_{\min}(C_L)$, we would like to estimate the number of zero entries in $\varphi(f + I_X) = (f(P_1), \dots, f(P_N))$. Let $I_{X,f} := I_X + (f)$, we want to estimate $N - \#(V(I_{X,f}))$. From $I_X \subset I_{X,f}$ we get $\Delta(I_{X,f}) \subset \Delta(I_X)$, in particular $\Delta(I_{X,f})$ is finite which implies $\#(V(I_{X,f})) \leq \#(\Delta(I_{X,f}))$ and we get $N - \#(V(I_{X,f})) \geq N - \#(\Delta(I_{X,f}))$.

From Buchberger's result we can assume that f is a linear combination of monomials in $\Delta(I_X)$ so that $\text{Im}(f) \in \Delta(I_X)$. One may prove that $N - \#(\Delta(I_{X,f})) \geq \#(\{M' \in \Delta(I_X) \mid \text{Im}(f) \mid M'\})$, so the idea now is to determine for each monomial $M \in \Delta(I_X)$ the cardinality of the set $\{M' \in \Delta(I_X) \mid M \mid M'\}$, and from this determine a lower bound for $d_{\min}(C_L)$. Moreover, it is true that if $\{g_1, \dots, g_s\}$ is a Gröbner basis for I_X and $\{f, g_1, \dots, g_s\}$ is a Gröbner basis for $I_{X,f}$ then this bound is the true value of the minimum distance.

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Let A_1, \dots, A_m be nonempty subsets of \mathbb{F}_q such that $2 \leq |A_1| \leq \dots \leq |A_m|$, and set $X := A_1 \times \dots \times A_m$. In $\mathbb{F}_q[X_1, \dots, X_m]$ let $f_i := \prod_{c \in A_i} (X_i - c)$ for all $i \in \{1, \dots, m\}$ and let $I := (f_1, \dots, f_m)$, then $X = V(I)$. One may show that I is the ideal of the set X , and clearly $|X| = |A_1| \cdot \dots \cdot |A_m|$. Let $L_d := \{p + I \mid p = 0 \text{ or } \deg(p) \leq d\}$. Then $\varphi(L_d) =: C(L_d)$ is the *affine cartesian code* of order d . We have that $\{f_1, \dots, f_m\}$ is a Gröbner basis for I w.r.t. the graded lexicographic order. Let $d_i := |A_i|$ for $i = 1, \dots, m$.

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Let A_1, \dots, A_m be nonempty subsets of \mathbb{F}_q such that $2 \leq |A_1| \leq \dots \leq |A_m|$, and set $X := A_1 \times \dots \times A_m$. In $\mathbb{F}_q[X_1, \dots, X_m]$ let $f_i := \prod_{c \in A_i} (X_i - c)$ for all $i \in \{1, \dots, m\}$ and let $I := (f_1, \dots, f_m)$, then $X = V(I)$. One may show that I is the ideal of the set X , and clearly $|X| = |A_1| \cdot \dots \cdot |A_m|$. Let $L_d := \{p + I \mid p = 0 \text{ or } \deg(p) \leq d\}$. Then $\varphi(L_d) =: C(L_d)$ is the *affine cartesian code* of order d . We have that $\{f_1, \dots, f_m\}$ is a Gröbner basis for I w.r.t. the graded lexicographic order. Let $d_i := |A_i|$ for $i = 1, \dots, m$.

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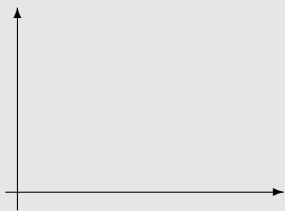
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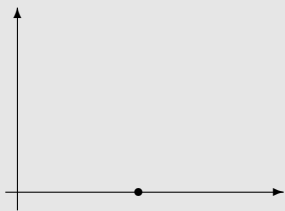
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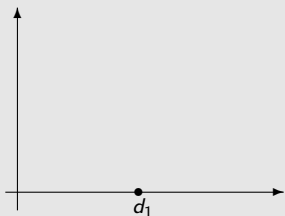
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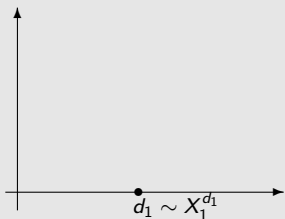
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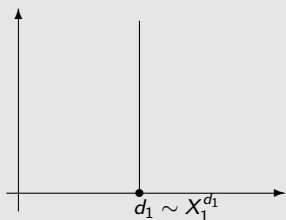
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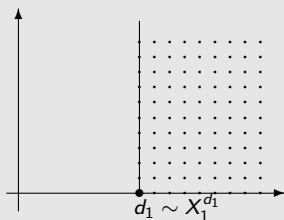
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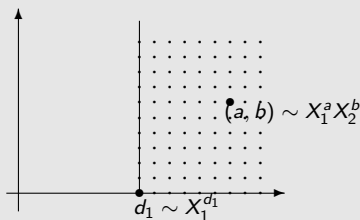
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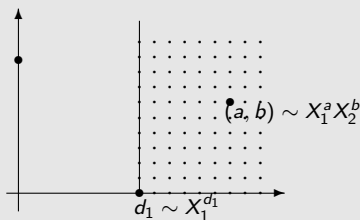
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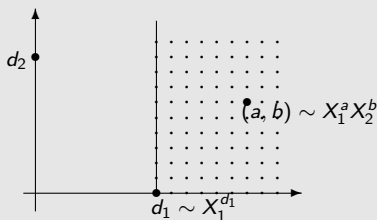
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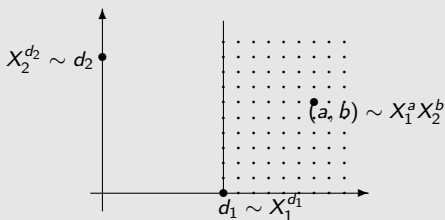
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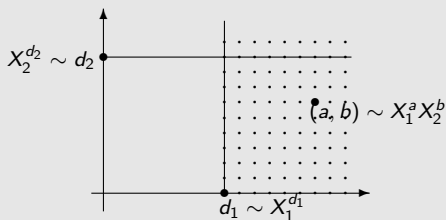
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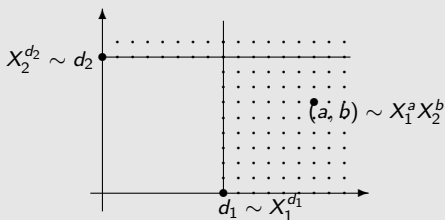
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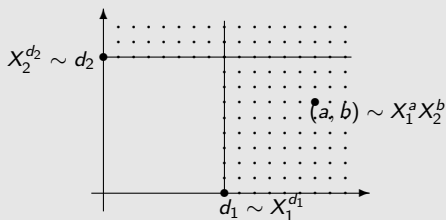
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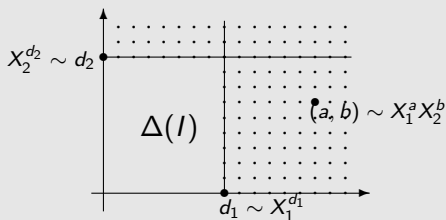
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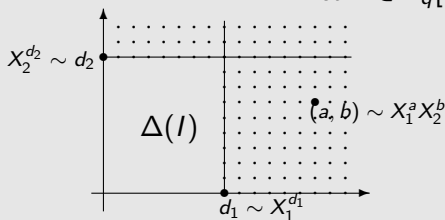
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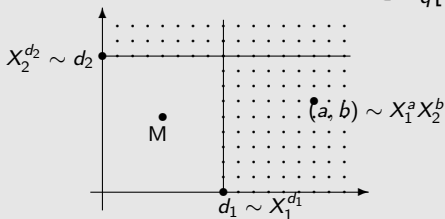
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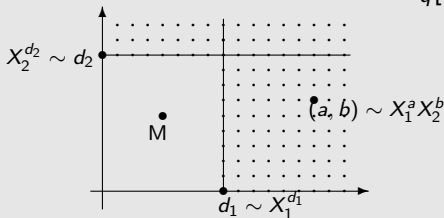
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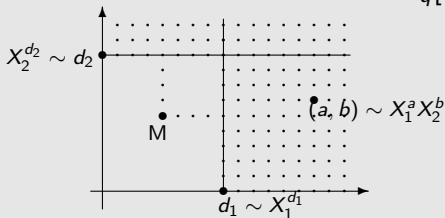
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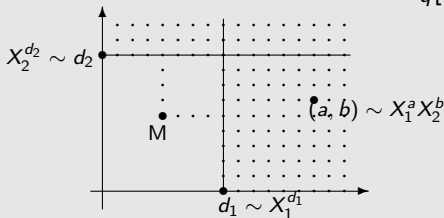
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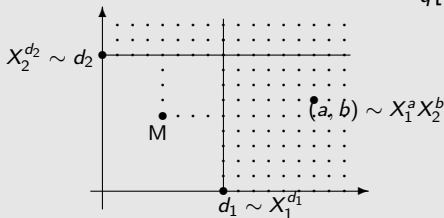
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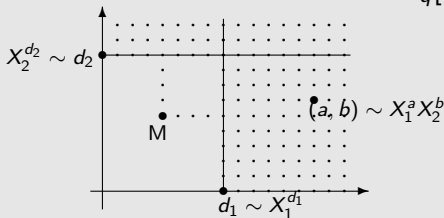
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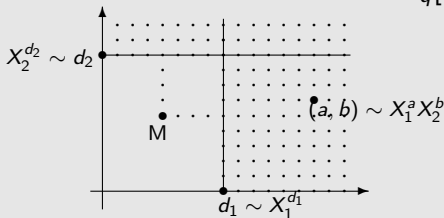
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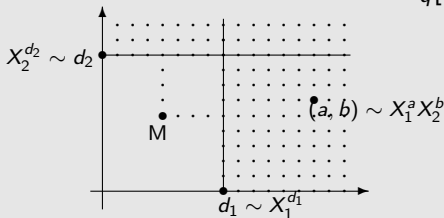
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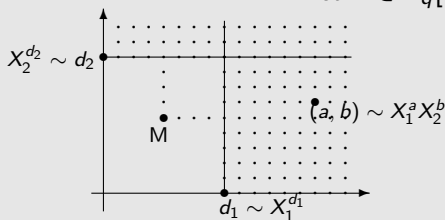
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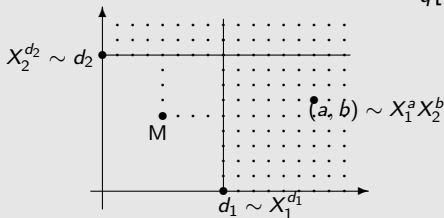
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Writing $d = \sum_{i=1}^k (d_i - 1) + \ell$
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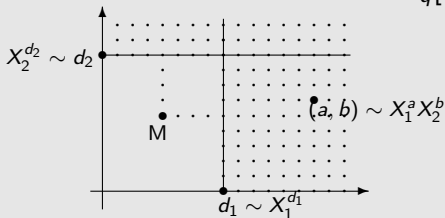


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Codes defined over projective varieties

One may also use a set $X = \{P_1, \dots, P_N\} \subset \mathbb{P}^\ell(\mathbb{F}_q)$ to construct a code in the following way.

As before let $I_X \subset \mathbb{F}_q[X_0, \dots, X_n] = \mathbb{F}_q[\mathbf{X}]$ be the ideal of X (as a set of points). In this case we have that $\mathbb{F}_q[\mathbf{X}]/I_X$ is an infinite dimensional \mathbb{F}_q -vector space.

Looking at $\mathbb{F}_q[\mathbf{X}]/I_X$ as a graded algebra $\mathbb{F}_q[\mathbf{X}]/I_X = \bigoplus_{d=0}^{\infty} \mathbb{F}_q[\mathbf{X}]_d/I_X(d)$ we pick a d , write the points of X in “standard form” and consider the evaluation morphism $\psi : \mathbb{F}_q[\mathbf{X}]_d/I_X(d) \rightarrow \mathbb{F}_q^N$ where $\psi(f + I_X) = (f(P_1), \dots, f(P_N))$. Now can choose an \mathbb{F}_q -vector subspace $L \subset \mathbb{F}_q[\mathbf{X}]_d/I_X(d)$ and define C_L to be the projective code associated to L . Observe that ψ is injective so that $\dim_{\mathbb{F}_q} C_L = \dim_{\mathbb{F}_q} L$. It is not true that ψ is an isomorphism for all $d \geq 0$ but one may prove that if $d \geq N - 1$ then ψ is an isomorphism.

On the literature usually one takes $L = \mathbb{F}_q[\mathbf{X}]_d/I_X(d)$. For example:

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As before let $I_X \subset \mathbb{F}_q[X_0, \dots, X_n] = \mathbb{F}_q[\mathbf{X}]$ be the ideal of X (as a set of points). In this case we have that $\mathbb{F}_q[\mathbf{X}]/I_X$ is an infinite dimensional \mathbb{F}_q -vector space.

Looking at $\mathbb{F}_q[\mathbf{X}]/I_X$ as a graded algebra $\mathbb{F}_q[\mathbf{X}]/I_X = \bigoplus_{d=0}^{\infty} \mathbb{F}_q[\mathbf{X}]_d/I_X(d)$ we pick a d , write the points of X in “standard form” and consider the evaluation morphism $\psi : \mathbb{F}_q[\mathbf{X}]_d/I_X(d) \rightarrow \mathbb{F}_q^N$ where $\psi(f + I_X) = (f(P_1), \dots, f(P_N))$. Now can choose an \mathbb{F}_q -vector subspace $L \subset \mathbb{F}_q[\mathbf{X}]_d/I_X(d)$ and define C_L to be the projective code associated to L . Observe that ψ is injective so that $\dim_{\mathbb{F}_q} C_L = \dim_{\mathbb{F}_q} L$. It is not true that ψ is an isomorphism for all $d \geq 0$ but one may prove that if $d \geq N - 1$ then ψ is an isomorphism.

On the literature usually one takes $L = \mathbb{F}_q[\mathbf{X}]_d/I_X(d)$. For example:

- G. Lachaud, Projective Reed-Muller codes (1986)
- A. Sørensen, Projective Reed-Muller codes (1991)
- C. Rentería, H. Tapia-Recillas, Reed-Muller codes: An ideal theory approach (1997)
- M. González-Sarabia et al., Reed-Muller-Type Codes Over the Segre Variety, (2002)
- A. Tochimani et al., Direct products in projective Segre codes (2016)

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Let $f \in \mathbb{F}_q[X_0, \dots, X_n]$ be a homogeneous polynomial of degree d . As before we may assume that f is a linear combination of monomials in $\Delta(I_X)_d$, and the weight of $\psi(f + I_X)$ is $\omega := N - \#(V(I_X + (f)))$. Let $V(I_X + (f)) = \{Q_1, \dots, Q_t\} =: Y$. For e “big enough” we have $N = \#(\Delta(I_X)_e)$ and $t = \#(\Delta(I_Y)_e)$, so $\omega = \#(\Delta(I_X)_e) - \#(\Delta(I_Y)_e)$. From $I_X \subset I_X + (f) \subset I_Y$ we get $\Delta(I_Y) \subset \Delta(I_X + (f)) \subset \Delta(I_X)$ so that $\omega = \#(\Delta(I_X)_e \setminus \Delta(I_Y)_e) \geq \#(\Delta(I_X)_e \setminus \Delta(I_X + (f))_e) \geq \#(\{M' \in \Delta(I_X) \mid \deg M' = e, \text{Im}(f) \mid M'\})$.

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In 2017, together with V.G.L. Neumann and H. López we have studied “projective nested cartesian codes”.

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We proved that the set

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$$S = \left\{ (x_0 : \cdots : x_\ell) \in \mathbb{P}^\ell(\mathbb{F}_q) \mid \text{rank} \begin{pmatrix} x_0 & \cdots & x_{n-1} & x_{n+1} & \cdots & x_{\ell-1} \\ x_1 & \cdots & x_n & x_{n+2} & \cdots & x_\ell \end{pmatrix} = 1 \right\}.$$

where $\ell = n + m + 1$ with $1 \leq m \leq n$. We proved that the set $\mathcal{G} = \{X_i X_j - X_{i+1} X_{j-1} \mid 0 \leq i \leq \ell - 2, i \neq n, i + 1 < j \leq \ell, j \neq n + 1\}$ is a Gröbner basis, w.r.t. the graded lexicographic order such that $X_\ell < \cdots < X_0$, for the ideal I that it defines in $\mathbb{F}_q[X_0, \dots, X_\ell]$ but this ideal is not the ideal I_S of the points of S . We found a graded \mathbb{F}_q -subalgebra $B \subset \mathbb{F}_q[Y, Z, V, W]$ such that $\mathbb{F}_q[X_0, \dots, X_\ell]/I \cong B$ and then an ideal $J \subset B$ such that for all $d \geq 1$ we have $\mathbb{F}_q[X_0, \dots, X_\ell]_d/I_S(d) \cong B_d/J(d)$. Then we determined a basis for $B_d/J(d)$ formed by classes of monomials in B_d . From here on we proceeded in a manner very similar to the process described above. We determined the dimension, a lower bound for the minimum distance and the exact value of it in the case where $m = n$.

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Let $e_0 \geq e_1 \geq e_2 \geq \dots \geq e_n \geq 1$ be integers, and let $\ell = (e_0 + 1) + (e_1 + 1) + \dots + (e_n + 1) - 1 = \sum_{i=0}^n e_i + n$. Let S be the set of zeros in $\mathbb{P}(\mathbb{F}_q)^\ell$ of the homogeneous ideal generated by the minors of

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