

Max-2-CSP in expected polynomial time

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By CSP, we mean Constraint Satisfaction Problems (cf. [A. Montanari AofA'08](#)).

- n **variables** x_1, x_2, \dots, x_n belonging to finite domains, i.e., $x_i \in \xi_i$.
- A set of **constraints** (clauses) over these variables : where by constraint we mean relations between the variables defining authorized combinations.
- **Decision problem** : does it exist a solution (affectation of the variables) satisfying all the constraints?
- **Optimization problem** : **maximize** the number of satisfiable clauses by some affectation(s).

Domains : $(x, y) \in \{0, 1\}^2, (z, t) \in \{0, 1, 2, 3\}^2$

Constraints :

$$\left\{ \begin{array}{l} (x, y) \in \{(1, 0), (0, 1)\} \\ x \neq z \\ y + z = 0 \pmod{2} \\ t \geq y \end{array} \right.$$

Our 2-CSP settings

- All the domains are of **size 2** : the variables can take 2 values.
- Each clause (constraint) concerns **exactly 2 variables**.

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Formal description

- An instance is given by (G, S) where $G = (V, E)$ is the **underlying graph** and S is a **score** function.
- W. l. o. g. the vertices can take two **colors** **Red** or **Blue**.
- For each edge $e = (x, y)$ and for each vertex v of the graph, we have their resp. associated scores :

$$s_e = s_{xy} : \{R, B\}^2 \rightarrow \mathbb{R} \quad \text{AND} \quad s_v : \{R, B\} \rightarrow \mathbb{R}.$$

Goals :

Decision problem : Find a solution (or a coloring) of the vertices which is a function Φ satisfying all the constraints.

Optimization problem : Find $\max_{\Phi \in \{\text{all colorings}\}} s(\Phi)$:

$$\Phi : V \rightarrow \{R, B\}, s(\Phi) = \sum_{v \in V} s_v(\Phi(v)) + \sum_{xy \in E} s_{xy}(\Phi(x), \Phi(y)) \in \mathbb{R}.$$

Under these assumptions and settings

- 1 the problem is **sufficiently general** : The settings encompass **MAXCUT, MAXDICUT, MAXIS, MAX2SAT, ...**
- 2 **Main facts** : best known algorithms need $c^{\#edges - \#vertices}$ global iterations (with $c > 1$) with the **worst cases**, for instance MAXCUT in $O(2^{19/100 \#edges})$ **SCOTT – SORKIN 2007**
- 3 **What about average-case analysis?**

The instances are randomly generated using a graph $G(n, M)$ as support.

Main steps :

- Use some reductions (same as in SCOTT – SORKIN).
- Running time of the algorithm :

Check under what conditions this problem has EXPECTED POLYNOMIAL RUNNING TIME.

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MAX-2-CSP: the reduction of vertices of degree 1 (Type I)

Let y be a vertex of degree 1 (with x as unique neighbor). The initial problem is reduced from $G = (V, E)$ to $V' = V \setminus \{y\}$ and $E' = E \setminus \{(x, y)\}$. The new score function S' is given by the restriction of s to V' and E' **except** that for $(c_1, c_2) \in \{R, B\}^2$ we have

$$s'_x(c_1) = s_x(c_1) + \max_{c_2} \{s_{xy}(c_1, c_2) + s_y(c_2)\}.$$

Termed in other words,

$$\begin{aligned} s'_x(R) &= s_x(R) + \max(s_{xy}(R, R) + s_y(R) + s_{xy}(R, B) + s_y(B)) \\ s'_x(B) &= s_x(B) + \max(s_{xy}(B, B) + s_y(B) + s_{xy}(B, R) + s_y(R)). \end{aligned}$$

Optimal Coloration over S' \rightarrow Optimal Coloration over S

in time : $T_{S'} = T_S + O(1)$

Let y be a vertex with neighbors x and z . We reduce the graph by deleting y and replacing it by an edge xz . The new problem is then over $V' = V \setminus \{y\}$ and $E' = (E \setminus \{(x, y), (y, z)\}) \cup \{(x, z)\}$, and the new score function S' is the restriction of S over V' and E' **except** that for $(c_1, c_2, c_3) \in \{R, B\}^3$ we have

$$s'_{xz}(c_1, c_2) = \max_{c_3} \{s_{xy}(c_1, c_3) + s_{yz}(c_3, c_2) + s_y(c_3)\}$$

Idem ... **Optimal Coloration over S' \rightarrow Optimal Coloration over S**

in time : $T_{S'} = T_S + O(1)$

MAX-2-CSP: the reduction of vertices of degree ≥ 3 (Type III)

Let y be a vertex of degree $\deg(y) > 2$. We define two reductions corresponding to the two assignments of the color of y :
color $y = \text{Red}$ or color $y = \text{Blue}$.

Then we define TWO new problems accordingly. Suppose that y is colored Red. For every neighbor x of y , a new score function is defined:

$$\begin{aligned} s_x^R(R) &= s_x(R) + s_{xy}(R, R) + s_y(R), \\ s_x^R(B) &= s_x(B) + s_{xy}(B, R) + s_y(R). \end{aligned}$$

THE ALGORITHM :

Do all the reductions of the vertices of degree 1 and 2;

Then for each vertex v of degree ≥ 3 , solve recursively the TWO instances : ' v in Blue' and ' v in Red'.

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MAX-2-CSP: expected running time computation (main steps)

- The expected running time of the algorithm is related to

$$\sum_{R=0}^M 2^R p_R(n, M) = \text{function of } n,$$

where

$p_R(n, M) \stackrel{\text{def}}{=} \text{proba that } G(n, M) \text{ produces a graph with EXACTLY } R \text{ reductions of type III.}$

- $p_R(n, M)$ is **close to** ($T \equiv \text{CAYLEY}$, cf. [B. SALVY AofA'08](#))

$$\frac{n!}{2\pi i \binom{n}{M}} \oint \underbrace{\frac{C_R}{(1-T)^{3R}}}_{\sim \text{giant component}} \underbrace{\frac{(T - T^2/2)^{n-M+R}}{(n-M+R)!}}_{\text{unrooted trees}} \underbrace{\frac{e^{-T-T^2/2}}{(1-T)^{1/2}}}_{\text{unicycles}} \frac{dz}{z^{n+1}}$$

After calculus implying ENUMERATION (cf. J. GAO AofA'08) ANALYTIC COMBINATORICS (cf. M. DRMOTA and B. SALVY AofA'08), we get

Th.

- If $M = n/2 + O(1) \log n^{1/3} n^{2/3}$ MAX-2-CSP generated with $G(n, M)$ can be solved in **EXPECTED POLYNOMIAL TIME**.
- If $M = n/2 + \omega(n) \log n^{1/3} n^{2/3}$, there are $\exp(\Omega(\omega(n)^3 \log n))$ global iterations! **AVERAGE EXPONENTIAL TIME**.
- The order $O(\log n^{1/3})$ is **optimal** for all algorithms requiring (on worst cases) $c^{\#\text{edges} - \#\text{vertices}}$ with $c > 1$.

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- This work **answers** a problem left open by Scott and Sorkin (2004).
- Similar results hold if the variables (vertices) can take any finite number of values (Red, Blue, Green, Yellow, etc ...).
- The algorithm works fine (polynomial time) until around the famous "critical $n/2$ edges" for any MAX-2-CSP and for MAX-2-SAT but is **WEAK** for this latter (recall that the threshold for 2-SAT is n).

What about these issues (algorithm + analysis)?

- Are dense instances of these MAX-2-CSP-like problems **really hard** even on **AVERAGE**?

★ THANK YOU ★