

# Course on (algebraic aspects of) Convolutional Codes

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CIMPA RESEARCH SCHOOL

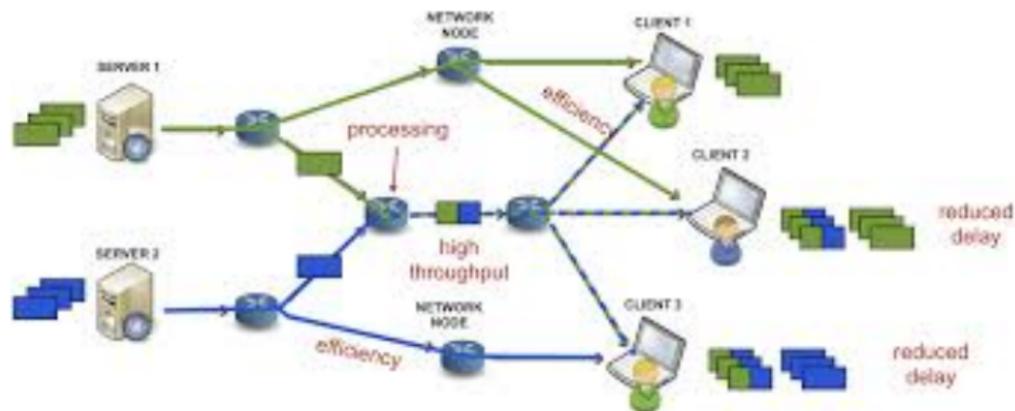
July 6, 2017

My most heartfelt thanks to the organizers

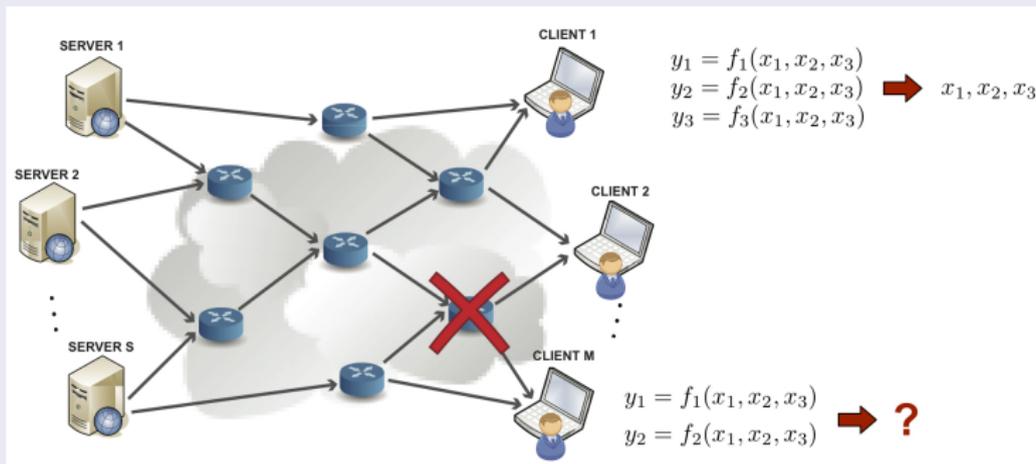
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ALGEBRAIC METHODS IN CODING THEORY

- 1 Error-correcting codes: From block codes to convolutional codes
  - Basics: Polynomial encoders
- 2 Distance properties of convolutional codes
  - Maximum Distance Profile (MDP) and Maximum Distance Separable (MDS)
  - Construction of MDP and MDS: Superregular matrices
- 3 Decoding of Convolutional codes
  - Viterbi algorithm
  - Decoding of convolutional codes over the erasure channel
- 4 Network coding with convolutional codes
- 5 Avenues for further research
  - Motivated by applications: Video streaming and storage systems
  - More theoretical: Multidimensional convolutional codes and convolutional codes over  $\mathbb{Z}_p^r$

# Day 4: Convolutional codes for Network coding



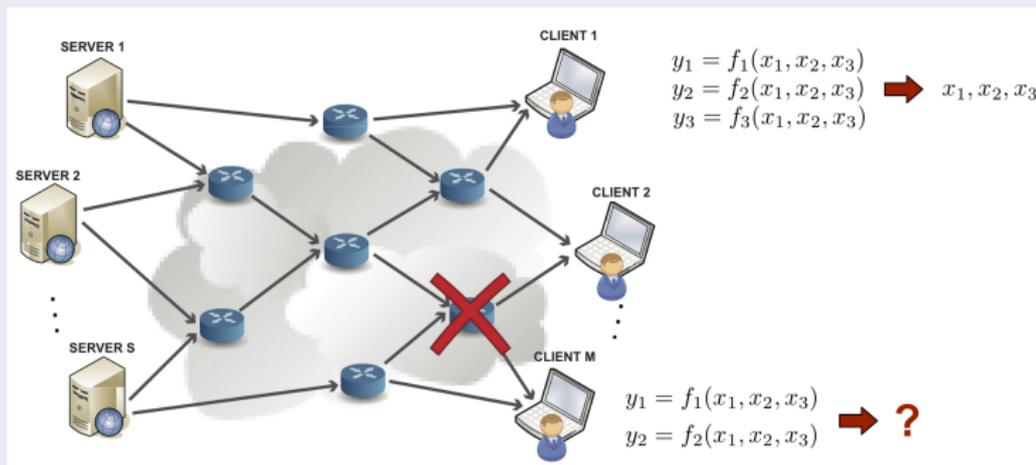
# Network Coding



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How is the best way to disseminate information over a network?

# Network Coding



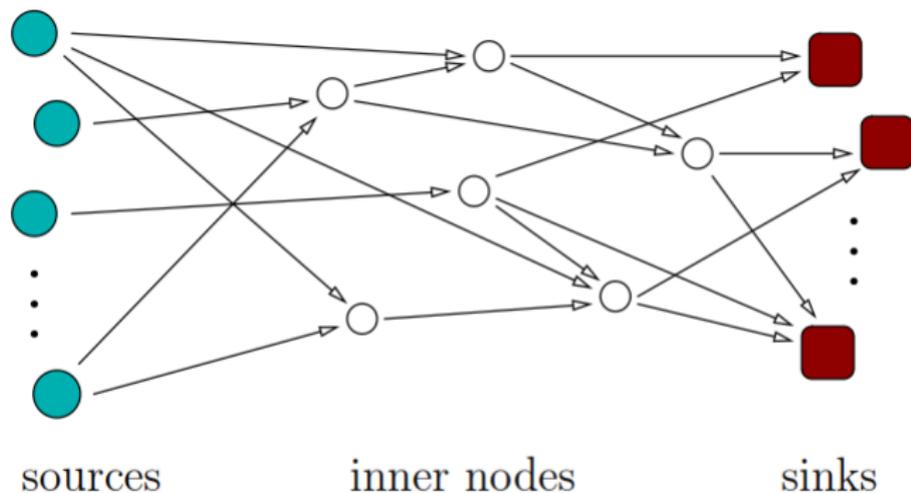
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## Linear random network coding

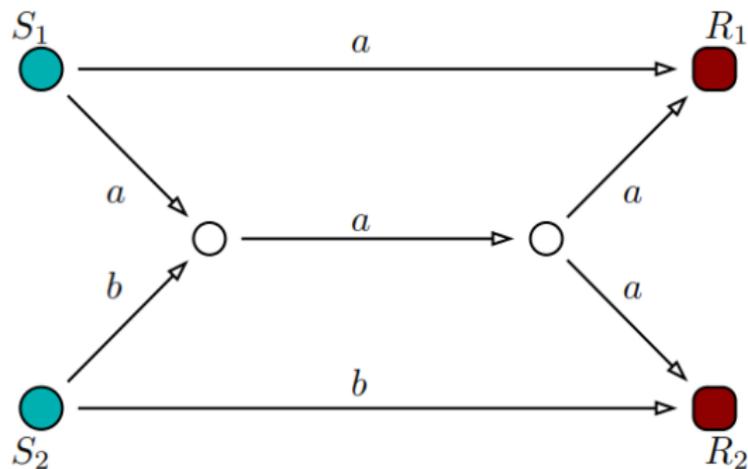
It has been proven that network coding is enough to achieve the upper bound in multicast problems with one or more sources. It optimizes the throughput.

# Linear Network Coding



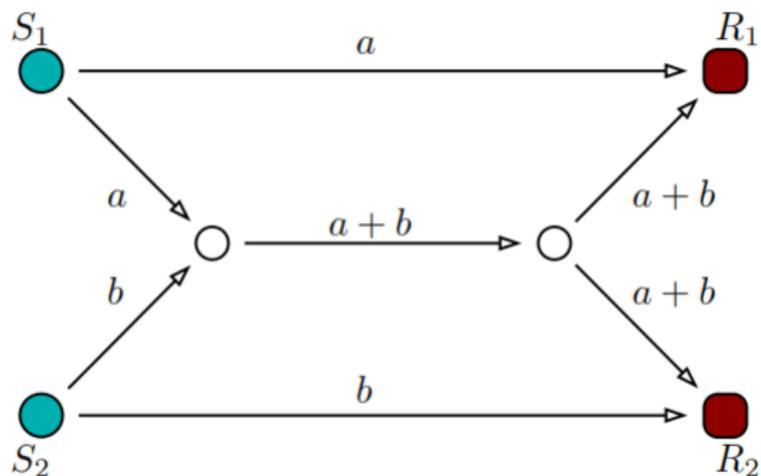
# Linear Network Coding

Example (The Butterfly Network):

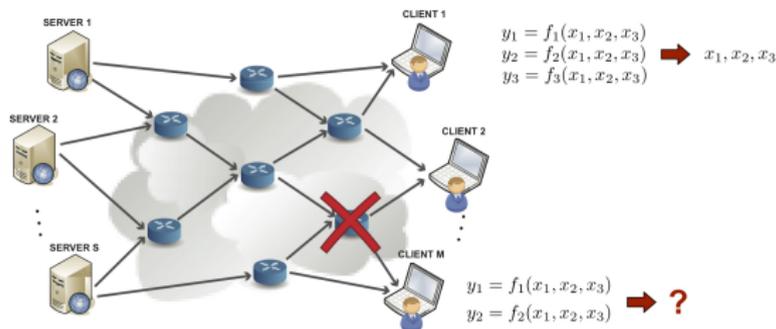


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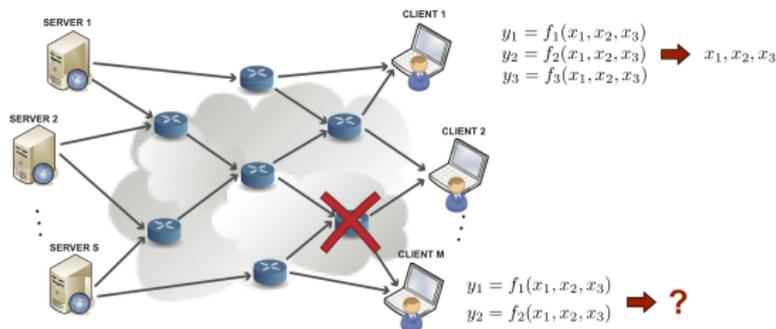


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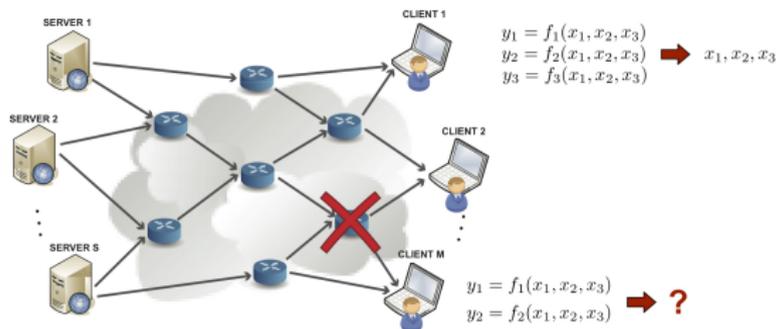
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- These packets propagate through the network. Each node creates a random -linear combination of the packets it has available and transmits this random combination.
- Finally, the receiver collects such randomly generated packets and tries to infer the set of packets injected into the network

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- A subspace code is simply a subset of  $\mathcal{P}_q(n)$ , a constant dimension code (CDC) is a subset of  $\mathcal{G}_q(k, n)$ . If the distance between any two elements of a CDC is greater than or equal to 2 we say that the code has minimum distance 2

## Rank metric codes are used in Network Coding

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- **Rank metric code:** a block code over  $\mathbb{F}_{q^m}$ , where each codeword  $v$  is associated with a matrix  $\phi(v)$ ; row  $i$  of  $\phi(v)$  is the expansion of  $v_i$  w.r.t. a fixed basis for  $\mathbb{F}_{q^m}$  over  $\mathbb{F}_q$ .

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- Since  $\mathbb{F}_q^{m \times n} \cong \mathbb{F}_{q^m}^n$ , any rank-metric code over the extension field can also be considered as a matrix code over the base field.
- Rank metric codes are matrix codes  $\mathcal{C} \subset \mathbb{F}_q^{m \times n}$ , armed with the rank distance

$$d_{\text{rank}}(X, Y) = \text{rank}(X - Y), \text{ where } X, Y \in \mathbb{F}_q^{n \times m}.$$

## Rank metric codes are used in Network Coding

- For linear  $(n, k)$  rank metric codes over  $\mathbb{F}_{q^m}$  with  $m \geq n$  the following analog of the Singleton bound holds,

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- We will assume  $n \leq m$  and study MRD codes  $\mathcal{C} \subset \mathbb{F}_q^{m \times n}$  that are  $\mathbb{F}_{q^m}$ -linear. These codes have a generator matrix  $G \in \mathbb{F}_{q^m}^{k \times n}$  and a respective parity check matrix  $H \in \mathbb{F}_{q^m}^{(n-k) \times n}$ .

## Example

Let  $\mathbb{F}_2^2 = \mathbb{F}_2[\alpha]$  and

$$G = (1, \alpha).$$

Then the code generated by  $G$  is

$$C = \{(0, 0), (1, \alpha), (\alpha, \alpha^2), (\alpha^2, 1)\}$$

$$\cong \left( \begin{array}{cc} 0 & 0 \\ 0 & 0 \end{array} \right), \left( \begin{array}{cc} 1 & 0 \\ 0 & 1 \end{array} \right), \left( \begin{array}{cc} 0 & 1 \\ 1 & 1 \end{array} \right), \left( \begin{array}{cc} 1 & 1 \\ 1 & 0 \end{array} \right).$$

## Theorem (Gabidulin)

Let  $H \in \mathbb{F}_{q^m}^{(n-k) \times n}$  be a parity check matrix of the code  $C$ . Then  $C$  is MRD if and only if

$$\text{rank}(VH^T) = n - k$$

for all  $V \in \mathbb{F}_q^{(n-k) \times n}$  with  $\text{rank}(V) = n - k$ .

*Simplification:* Since  $\text{GL}_{n-k}(q)$  does not change the rank of  $VH^T$ , it suffices to check the rank property for all elements of the left orbit of  $H^T$  under  $\mathcal{G}_q(n-k, n)$  (i.e. only  $V$  in reduced row echelon form).

## Theorem

*A generator matrix  $G \in \mathbb{F}_{q^m}^{k \times n}$  gives rise to an MRD code if and only if any element of the orbit of  $G$  under  $\text{GL}_n(q)$  has only non-zero maximal minors.*

*Simplification:* Instead of all of  $\text{GL}_n(q)$  it suffices to study the orbit of the subgroup of

- the upper triangular matrices (since swapping columns does not change the minors, up to sign)
- with an all-1 diagonal (since multiplying columns of the generator matrix with  $\mathbb{F}_q^*$ -scalars does not change the non-zero property of the minors).

## Definition

Let  $g_1, \dots, g_n \in \mathbb{F}_{q^m}$  be linearly independent over  $\mathbb{F}_q$ . The code with generator matrix

$$G = \begin{pmatrix} g_1 & g_2 & \dots & g_n \\ g_1^q & g_2^q & \dots & g_n^q \\ g_1^{q^2} & g_2^{q^2} & \dots & g_n^{q^2} \\ \vdots & \vdots & & \vdots \\ g_1^{q^{k-1}} & g_2^{q^{k-1}} & \dots & g_n^{q^{k-1}} \end{pmatrix}$$

is called a *Gabidulin code* of length  $n$  and dimension  $k$ .

## Theorem

*Gabidulin codes are MRD codes.*

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- Ideal coding techniques for streaming communications must operate sequential encoding and decoding constrains, and as such they must inherently have a **convolutional structure**.
- We propose the use of convolutional codes to add complex dependencies to data streams in a quite simple way.
- Although the **use of convolutional codes** is widespread, its application to video streaming (or using the rank metric) is yet **unexplored**.

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- We want to obtain the  $v_t$ 's

## Distance notions

### Definition

The *sum rank distance* of  $\mathcal{C}$  is defined as

$$d_{SR}(\mathcal{C}) = \min_{0 \neq X(D) \in \mathcal{C}} \text{rank}(X(D)) := \min_{0 \neq X(D) \in \mathcal{C}} \sum_{i \geq 0} \text{rank}(X_i)$$

where

$$\text{rank}(X_i) := \sum_{j=0}^{K-1} \text{rank}(X_i^j).$$

And the *column sum rank distance* of  $\mathcal{C}$  is defined as

$$d_{SR}^j(\mathcal{C}) = \min_{X(D) \in \mathcal{C} \text{ and } X_0^0 \neq 0} \sum_{i=0}^j \text{rank}(X_i),$$

## Theorem [Mahmood, R., Badr, A., Khisti(2015)]

Let  $\mathcal{C}$  be a convolutional code with  $d_j^c(\mathcal{C}) = d$  and  $A = \text{diag}(A_0, A_1, \dots, A_j)$  the channel matrix. If  $\text{rank}(A) = n(j+1) - d + 1$ , then every message  $v_t$  is recoverable by time  $j$ . Conversely, if  $\text{rank}A = n(j+1) - d$  then there exists at least one codeword for which  $x_0$  cannot be recovered.

## Problem

How do we construct  $G(D)$  to achieve the maximum column sum distance??

Thanks for your attention