Character-Theoretic Tools for Studying Linear Codes over Rings and Modules

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#### 10. Extension Problem for general weights

- Report on work of Dyshko for Lee weight (2017)
- Generalized to weights over modules
- Fourier transforms and linear independence of characters
- Recursive argument involving posets

#### Dyshko's work

- Sergii Dyshko has proved EP for the Lee weight over any Z/NZ (2017)
- Part of his proof was a general criterion for any weight over Z/NZ to have EP.
- Dyshko's ideas can be generalized to module alphabets.

#### Set up: the alphabet

- ► *R* finite ring, *A* finite left *R*-module
- Assume A is pseudo-injective and has cyclic socle.
- Pseudo-injective: for any submodule B ⊆ A and injective homomorphism f : B → A, f extends to isomorphism A → A.
- Cyclic socle: implies that A injects into R and that is a cyclic right R-module, with generating character χ.

#### Set up: the weight

- Let w be a weight on A;  $w : A \to \mathbb{C}$ , w(0) = 0.
- Symmetry groups

$$G_{\mathsf{lt}} = \{ u \in \mathcal{U}(R) : w(ua) = w(a), a \in A \},$$
  
$$G_{\mathsf{rt}} = \{ \phi \in \mathsf{GL}_R(A) : w(a\phi) = w(a), a \in A \}.$$

#### The problem

- Determine conditions on *w* that imply *w* has EP.
- EP: for C ⊆ A<sup>n</sup> and linear w-isometry f : C → A<sup>n</sup>,
   f extends to a G<sub>rt</sub>-monomial transformation of A<sup>n</sup>.

#### Some matrices

• For any submodule  $B \subseteq A$ , define a matrix  $Q^B = (Q^B_{\phi,u})$ :

$$Q^B_{\phi,u} = \sum_{b\in B} w(b\phi)\chi(ub),$$

where  $\phi \in \operatorname{Stab}(B) \setminus \operatorname{GL}_R(A) / G_{\mathsf{rt}}$  and  $u \in \operatorname{Stab}(\chi|_B) \setminus \mathcal{U}(R) / G_{\mathsf{lt}}$ .

Here, Stab(B) = {φ ∈ GL<sub>R</sub>(A) : bφ = b, b ∈ B} is the *point-wise* stabilizer of B.

#### Main result

# • Condition: for each nonzero submodule $B \subseteq A$ : the matrix $Q^B$ has zero left nullspace. (1)

Theorem If (1) is satisfied, then w has EP.

#### Isometry condition

- Let  $C \subseteq A^n$  be the image of  $\Lambda : M \to A^n$ ,
  - $\Lambda = (\lambda_1, \ldots, \lambda_n)$ , with information module  $M \cong C$ .
- Set  $N = \Lambda f : M \to A^n$ ,  $N = (\nu_1, \ldots, \nu_n)$ .
- f being a w-isometry means

$$\sum_{i=1}^n w(x\lambda_i) = \sum_{j=1}^n w(x\nu_j), \quad x \in M.$$

- Goal: show that the numbers of λ<sub>i</sub> and ν<sub>j</sub> in a given G<sub>rt</sub>-orbit are equal.
- Method: take Fourier transform and set up linear equations in these numbers.

#### Fourier transform calculation

- Each  $\lambda_i, \nu_j \in \operatorname{Hom}_R(M, A)$ .
- For arbitrary σ ∈ Hom<sub>R</sub>(M, A), what is the Fourier transform of f<sub>σ</sub> : M → C, x ↦ w(xσ)?
- For  $\pi \in \widehat{M}$ ,

$$\widehat{f}_{\sigma}(\pi) = \sum_{x \in M} \pi(x) f_{\sigma}(x) = \sum_{x \in M} \pi(x) w(x\sigma).$$

• Write in terms of sum over values  $x\sigma \in \operatorname{im} \sigma$ .

#### Re-write sum

• For 
$$\pi \in \widehat{M}$$
,

$$\hat{f}_{\sigma}(\pi) = \sum_{a \in \operatorname{im} \sigma} \sum_{x: x \sigma = a} \pi(x) w(a) = \sum_{a \in \operatorname{im} \sigma} w(a) \sum_{x: x \sigma = a} \pi(x).$$

- Because σ is a homomorphism, ∑<sub>x:xσ=a</sub> π(x) is a sum over a coset of ker σ.
- Let x<sub>a</sub> ∈ M be one element with x<sub>a</sub>σ = a. Then every x ∈ M with xσ = a has the form x = x<sub>a</sub> + k with k ∈ ker σ.

### Simplify character sum

$$\sum_{x:x\sigma=a} \pi(x) = \sum_{k \in \ker \sigma} \pi(x_a + k)$$
  
=  $\pi(x_a) \sum_{k \in \ker \sigma} \pi(k)$   
=  $\begin{cases} |\ker \sigma| \pi(x_a), & \pi \in (\widehat{M} : \ker \sigma), \\ 0, & \pi \notin (\widehat{M} : \ker \sigma). \end{cases}$ 

Image: Image:

#### Summary of calculation

• For 
$$\pi \in \widehat{M}$$
,

$$\hat{f}_{\sigma}(\pi) = \begin{cases} |\ker \sigma| \sum_{a \in \operatorname{im} \sigma} w(a) \pi(x_a), & \pi \in (\widehat{M} : \ker \sigma), \\ 0, & \pi \notin (\widehat{M} : \ker \sigma). \end{cases}$$

- When π ∈ (M̂ : ker σ), the value of π(x<sub>a</sub>) depends only on a: π descends to well-defined character on M/ ker σ ≃ im σ.
- When  $\pi \in (\widehat{M} : \ker \sigma)$ , write  $(\mathcal{F}_{\operatorname{im} \sigma} w)(\pi) = \sum_{a \in \operatorname{im} \sigma} w(a) \pi(x_a) = \sum_{x \in M / \ker \sigma} w(x\sigma) \pi(x)$ .

#### Dual maps

- For  $\sigma \in \operatorname{Hom}_R(M, A)$ ,  $\sigma : M \to A$ , there is the dual map  $\hat{\sigma} : \widehat{A} \to \widehat{M}$  with image im  $\hat{\sigma}$ .
- ▶ Remember that is a cyclic right *R*-module, so im ô is also cyclic.
- im  $\hat{\sigma} = (\widehat{M} : \ker \sigma)$ .
- If  $\psi \in \widehat{A}$ ,  $x \in \ker \sigma$ ,  $\widehat{\sigma}(\psi)(x) = \psi(x\sigma) = \psi(0) = 1$ .
- If π ∈ (M̂ : ker σ), π descends to well-defined character on M/ker σ ≅ im σ ⊆ A. Any lift π̂ of π under → (im σ) has ô(π̂) = π.

#### Fourier transform of isometry condition

- Let the indicator function of a subset S ⊆ M be δ<sub>S</sub>: value 1 on S, value 0 elsewhere.
- Isometry condition:  $\sum_{i=1}^{n} w(x\lambda_i) = \sum_{j=1}^{n} w(x\nu_j)$ .
- Fourier transform: an equation of functions on  $\widehat{M}$ :

$$\sum_{i=1}^{n} |\ker \lambda_{i}| (\mathcal{F}_{\operatorname{im} \lambda_{i}} w) \delta_{\operatorname{im} \hat{\lambda}_{i}} = \sum_{j=1}^{n} |\ker \nu_{j}| (\mathcal{F}_{\operatorname{im} \nu_{j}} w) \delta_{\operatorname{im} \hat{\nu}_{j}}.$$

#### Picking a maximal submodule

- The character module  $\widehat{M}$  is partially ordered by set inclusion.
- Among the submodules im  $\hat{\lambda}_i$ , im  $\hat{\nu}_j \subseteq \widehat{M}$ , choose one that is maximal under set inclusion. Refer to it as im  $\hat{\sigma}$ , with  $\sigma \in \text{Hom}_R(M, A)$ .
- Recall that im ô is a cyclic right *R*-module. Denote by U(im ô) the set of all generators of im ô. We restrict the Fourier transform equation to U(im ô) ⊆ M̂.

#### Exploiting the Fourier transform

- If π ∈ U(im ô), evaluating the Fourier transform equation at π yields nonzero terms only when π ∈ im λ̂<sub>i</sub> or π ∈ im ν̂<sub>j</sub>.
- Because  $\pi$  generates im  $\hat{\sigma}$ , this means im  $\hat{\sigma} \subseteq \text{im } \hat{\lambda}_i$ or im  $\hat{\sigma} \subseteq \text{im } \hat{\nu}_j$ .
- But im  $\hat{\sigma}$  was chosen to be maximal, so im  $\hat{\sigma} = \operatorname{im} \hat{\lambda}_i$  or im  $\hat{\sigma} = \operatorname{im} \hat{\nu}_j$ .

► Thus 
$$(\widehat{M} : \ker \sigma) = (\widehat{M} : \ker \lambda_i)$$
 or  
 $(\widehat{M} : \ker \sigma) = (\widehat{M} : \ker \nu_j); \ker \sigma = \ker \lambda_i$  or  
 $\ker \sigma = \ker \nu_j.$ 

#### When are kernels equal?

- Let A be pseudo-injective. For σ, τ ∈ Hom<sub>R</sub>(M, A), ker σ = ker τ if and only if σ = τφ for some φ ∈ GL<sub>R</sub>(A).
- If  $\sigma = \tau \phi$ , then  $x\sigma = 0$  iff  $x\tau = 0$ , as  $\phi$  is invertible.
- If ker σ = ker τ, σ, τ descend to well-defined injective maps σ
   , τ
   : M/ ker τ → A. Set
   B = im τ
   ⊆ A. Then τ
   <sup>-1</sup>σ
   : B → A is injective.
- By pseudo-injectivity, τ
  <sup>-1</sup>σ
   extends to φ ∈ GL<sub>R</sub>(A);

   then σ
   = τφ and σ = τφ.

#### Summary of exploitation

• Evaluating the Fourier transform equation at  $\pi \in \mathcal{U}(\operatorname{im} \hat{\sigma})$  yields

$$\sum_{\lambda_i \in \sigma \operatorname{GL}_R(A)} (\mathcal{F}_{\operatorname{im} \lambda_i} w)(\pi) = \sum_{\nu_j \in \sigma \operatorname{GL}_R(A)} (\mathcal{F}_{\operatorname{im} \nu_i} w)(\pi).$$

• The factors of  $|\ker \lambda_i| = |\ker \sigma| = |\ker \nu_j|$  cancel.

#### Next steps

- Write equations in terms of G<sub>rt</sub>-orbits, not just GL<sub>R</sub>(A)-orbits.
- Vary π ∈ U(im ô): get different equations for different G<sub>lt</sub>-orbits.
- How does the Fourier transform equation depend on these orbits?

#### Dependency on orbits

• Remember that for each  $\pi \in \mathcal{U}(\operatorname{im} \hat{\sigma})$ , we have

$$\sum_{\lambda_i \in \sigma \operatorname{GL}_R(A)} (\mathcal{F}_{\operatorname{im} \lambda_i} w)(\pi) = \sum_{\nu_j \in \sigma \operatorname{GL}_R(A)} (\mathcal{F}_{\operatorname{im} \nu_i} w)(\pi).$$

- The right GL<sub>R</sub>(A)-orbit of σ is a disjoint unit of G<sub>rt</sub>-orbits, parametrized by elements of Stab(σ)\ GL<sub>R</sub>(A)/G<sub>rt</sub>.
- The generators U(im 
   *α̂*) equal the right U-orbit of *π*, which is a disjoint union of G<sub>lt</sub>-orbits, parametrized by elements of Stab(π)\U/G<sub>lt</sub>.

# What does $(\mathcal{F}_{\operatorname{im}\lambda_i}w)(\pi^u)$ depend on?

▶ Fix  $\sigma$  and  $\pi \in \mathcal{U}(\operatorname{im} \hat{\sigma})$ . Let  $\xi \in \operatorname{Stab}(\sigma)$ ,  $\phi \in \operatorname{GL}_R(A)$ ,  $\psi \in G_{\mathsf{rt}}$ ,  $s \in \operatorname{Stab}(\pi)$ ,  $u \in \mathcal{U}$ , and  $v \in G_{\mathsf{lt}}$ . Then (with y = vx),

$$(\mathcal{F}_{\operatorname{im} \sigma \xi \phi \psi} w)(\pi^{suv}) = \sum_{x \in M/\ker \sigma} w(x \sigma \xi \phi \psi) \pi^{suv}(x)$$
$$= \sum_{x \in M/\ker \sigma} w(x \sigma \phi) \pi^{u}(vx)$$
$$= \sum_{y \in M/\ker \sigma} w(v^{-1}y \sigma \phi) \pi^{u}(y)$$
$$= (\mathcal{F}_{\operatorname{im} \sigma \phi} w)(\pi^{u}).$$

#### Re-write the Fourier transform equation

• Remember that for each  $\pi \in \mathcal{U}(\operatorname{im} \hat{\sigma})$ , we have

$$\sum_{\lambda_i \in \sigma \operatorname{GL}_R(A)} (\mathcal{F}_{\operatorname{im} \lambda_i} w)(\pi) = \sum_{\nu_j \in \sigma \operatorname{GL}_R(A)} (\mathcal{F}_{\operatorname{im} \nu_i} w)(\pi).$$

- Break up sum into pieces that depend on the G<sub>rt</sub>-orbits of σ.
- Get an equation for each generator of the form π<sup>u</sup>, as π<sup>u</sup> varies over different G<sub>lt</sub>-orbits of π.

#### Counting functions

▶ For each  $\tau \in \mathsf{Stab}(\sigma) \backslash \mathsf{GL}_{R}(\mathcal{A}) / \mathcal{G}_{\mathsf{rt}}$ , set

$$\beta(\tau) = |\{i : \lambda_i \in \sigma \tau G_{\mathsf{rt}}\}| - |\{j : \nu_j \in \sigma \tau G_{\mathsf{rt}}\}|.$$

At π<sup>u</sup>, u ∈ Stab(π)\U/G<sub>lt</sub>, Fourier transform equation becomes

$$\sum_{\tau} \beta(\tau) (\mathcal{F}_{\operatorname{im} \sigma \tau} w)(\pi^{u}) = 0.$$

View as matrix equation with rows given by \(\tau\) and columns given by u.

# Bringing in condition (1)

- Condition (1) had  $Q^B_{\phi,u} = \sum_{b \in B} w(b\phi)\chi(ub)$ .
- Recall:  $(\mathcal{F}_{\operatorname{im} \sigma \tau} w)(\pi^u) = \sum_{x \in M/\ker \sigma} w(x \sigma \tau) \pi^u(x).$
- Use  $(\widehat{M} : \ker \sigma) \cong (M/\ker \sigma)^{\widehat{}} \cong (\operatorname{im} \sigma)^{\widehat{}} :$   $\pi \in (\widehat{M} : \ker \sigma) \leftrightarrow \rho \in (\operatorname{im} \sigma)^{\widehat{}}$ , with  $\pi(x) = \rho(x\sigma)$ or  $\rho(b) = \pi(x_b)$  where  $x_b\sigma = b$ .
- Then  $(\mathcal{F}_{\operatorname{im}\sigma\tau}w)(\pi^u) = \sum_{b\in\operatorname{im}\sigma}w(b\tau)\rho(ub).$
- This is condition (1) for  $B = \operatorname{im} \sigma$ .
- Generating character χ for restricts to a generator for any B.

# Applying condition (1)

- By condition (1), we have  $\beta(\tau) = 0$  for all  $\tau$ . I.e.,  $|\{i : \lambda_i \in \sigma \tau G_{\mathsf{rt}}\}| = |\{j : \nu_j \in \sigma \tau G_{\mathsf{rt}}\}|$ , any  $\tau$ .
- Choose a matching: for any of these j, there is an i = P(j) and  $\phi_j \in G_{rt}$  such that  $\nu_j = \lambda_{P(j)}\phi_j$ .
- Then  $w(x\nu_j) = w(x\lambda_{P(j)}\phi_j) = w(x\lambda_{P(j)}), x \in M.$
- Subtract these terms from the isometry condition, and proceed recursively.
- From remaining im \$\hat{\lambda}\_i\$, im \$\hat{\nu}\_j\$, choose one that is maximal, etc. Repeat.

#### Dyshko's result on Lee weight

- Consider  $R = \mathbb{Z}/N\mathbb{Z}$  with the Lee weight.
- By some clever estimates, Dyshko shows that (a permutation of) the matrix Q<sup>B</sup> is diagonally dominant, hence invertible.
- ► Uses fact that for ab = c, Z/aZ → Z/cZ, x → bx, the restriction of the Lee weight of Z/cZ to b(Z/aZ) is b times the Lee weight of Z/aZ.
- I won't go into the details.

## Thank you

- Thank you for your kind attention during this series of lectures.
- Thanks again to the organizers for all their work and their hospitality.