

The extension problem for Lie algebroids on schemes



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X : a differentiable manifold, or complex manifold, or a **noetherian separated scheme** over an algebraically closed field \mathbb{k} of characteristic zero.

Lie algebroid: a vector bundle/coherent sheaf \mathcal{C} with a morphism of \mathcal{O}_X -modules $a: \mathcal{C} \rightarrow \Theta_X$ and a \mathbb{k} -linear Lie bracket on the sections of \mathcal{C} satisfying

$$[s, ft] = f[s, t] + a(s)(f) t$$

for all sections s, t of \mathcal{C} and f of \mathcal{O}_X .

- a is a morphism of sheaves of Lie \mathbb{k} -algebras
- $\ker a$ is a bundle of Lie \mathcal{O}_X -algebras

Examples

- A sheaf of Lie algebras, with $a = 0$
- Θ_X , with $a = \text{id}$
- More generally, foliations, i.e., a is injective
- Poisson structures $\Omega_X^1 \xrightarrow{\pi} \Theta_X$,

Poisson-Nijenhuis bracket

$$\{\omega, \tau\} = \text{Lie}_{\pi(\omega)}\tau - \text{Lie}_{\pi(\tau)}\omega - d\pi(\omega, \tau)$$

Jacobi identity $\Leftrightarrow \llbracket \pi, \pi \rrbracket = 0$

Lie algebroid morphisms

$f: \mathcal{C} \rightarrow \mathcal{C}'$ a morphism of \mathcal{O}_X -modules & sheaves of Lie k -algebras

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{f} & \mathcal{C}' \\ & \searrow a & \downarrow a' \\ & & \Theta_X \end{array}$$

$\Rightarrow \ker f$ is a bundle of Lie algebras

Derived functors

\mathcal{A} an abelian category, $A \in \text{Ob}(\mathcal{A})$

$$\text{Hom}(-, A): \rightarrow \mathcal{A}\mathfrak{b}$$

is a (contravariant) left exact functor, i.e., if

$$0 \rightarrow B' \rightarrow B \rightarrow B'' \rightarrow 0 \quad (*)$$

is exact, then

$$0 \rightarrow \text{Hom}(B'', A) \rightarrow \text{Hom}(B, A) \rightarrow \text{Hom}(B', A)$$

is exact

Definition

$I \in \text{Ob}(\mathcal{A})$ is *injective* if $\text{Hom}(-, I)$ is exact, i.e., for every exact sequence $(*)$,

$$0 \rightarrow \text{Hom}(B'', I) \rightarrow \text{Hom}(B, I) \rightarrow \text{Hom}(B', I) \rightarrow 0$$

is exact

Definition

The category \mathfrak{A} has enough injectives if every object in \mathfrak{A} has an injective resolution

$$0 \rightarrow A \rightarrow I^0 \rightarrow I^1 \rightarrow I^2 \rightarrow \dots$$

\mathfrak{A} abelian category with enough injectives

$$F: \mathfrak{A} \rightarrow \mathfrak{B} \quad \text{left exact functor}$$

Derived functors $R^i F: \mathfrak{A} \rightarrow \mathfrak{B}$

$$R^i F(A) = H^i(F(I^\bullet))$$

Example: Sheaf cohomology. X topological space, $\mathfrak{A} = \mathfrak{Sh}_X$,
 $\mathfrak{B} = \mathfrak{Ab}$, $F = \Gamma$ (global sections functor)

$$R^i \Gamma(\mathcal{F}) = H^i(X, \mathcal{F})$$

The category $\text{Rep}(\mathcal{L})$

From now on, X will be a scheme (with the previous hypotheses)

Given a Lie algebroid \mathcal{L} there is a notion of **enveloping algebra** $\mathfrak{U}(\mathcal{L})$

It is a sheaf of associative \mathcal{O}_X -algebras with a **\mathbb{k} -linear augmentation** $\mathfrak{U}(\mathcal{L}) \rightarrow \mathcal{O}_X$

$$\text{Rep}(\mathcal{L}) \simeq \mathfrak{U}(\mathcal{L})\text{-mod}$$

$\Rightarrow \text{Rep}(\mathcal{L})$ has enough injectives

A \mathbb{k} -algebra $\mathfrak{U}(L)$ with an algebra monomorphism $\iota: A \rightarrow \mathfrak{U}(L)$ and a \mathbb{k} -module morphism $j: L \rightarrow \mathfrak{U}(L)$, such that

$$\begin{aligned} [j(s), j(t)] - j([s, t]) &= 0, \quad s, t \in L, \\ [j(s), \iota(f)] - \iota(a(s)(f)) &= 0, \quad s \in L, f \in A \quad (*) \end{aligned}$$

Construction: standard enveloping algebra $U(A \rtimes L)$ of the semi-direct product \mathbb{k} -Lie algebra $A \rtimes L$

$$\mathfrak{U}(L) = U(A \rtimes L)/V, \quad V = \langle f(g, s) - (fg, fs) \rangle$$

- $\mathfrak{U}(L)$ is an A -module via the morphism ι
- due to (*) the left and right A -module structures are different
- morphism $\varepsilon: \mathfrak{U}(L) \rightarrow \mathfrak{U}(L)/I = A$ (the augmentation morphism) where I is the ideal generated by $j(L)$. Note that ε is a morphism of $\mathfrak{U}(L)$ -modules but not of A -modules, as $\varepsilon(fs) = a(s)(f)$ when $f \in A, s \in L$.

Given a representation (ρ, \mathcal{M}) of \mathcal{M} define

$$\mathcal{M}^{\mathcal{C}}(U) = \{m \in \mathcal{M}(U) \mid \rho(\mathcal{C})(m) = 0\}$$

and a left exact functor

$$\begin{aligned} I^{\mathcal{C}} : \text{Rep}(\mathcal{C}) &\rightarrow \mathbb{k}\text{-mod} \\ \mathcal{M} &\mapsto \Gamma(X, \mathcal{M}^{\mathcal{C}}) \end{aligned}$$

Definition (B 2016¹)

$$\mathbb{H}^{\bullet}(\mathcal{C}; \mathcal{M}) \simeq R^{\bullet}I^{\mathcal{C}}(\mathcal{M})$$

(¹) J. of Algebra **483** (2017) 245–261

Grothendieck's thm about composition of derived functors

$$\mathfrak{A} \xrightarrow{F} \mathfrak{B} \xrightarrow{G} \mathfrak{C}$$

\mathfrak{A} , \mathfrak{B} , \mathfrak{C} , abelian categories

\mathfrak{A} , \mathfrak{B} with enough injectives

F and G left exact, F sends injectives to G -acyclics (i.e., $R^i G(F(I)) = 0$ for $i > 0$ when I is injective)

Theorem

For every object A in \mathfrak{A} there is a spectral sequence abutting to $R^\bullet(G \circ F)(A)$ whose second page is

$$E_2^{pq} = R^p F(R^q G(A))$$

$$\begin{array}{ccc} \text{Rep}(\mathcal{C}) & \xrightarrow{(-)^{\mathcal{C}}} & \mathbb{k}_X\text{-mod} \\ & \searrow I^{\mathcal{C}} & \downarrow \Gamma \\ & & \mathbb{k}\text{-mod} \end{array}$$

Grothendieck's theorem on the derived functors of a composition of functors implies:

Theorem (Local to global spectral sequence)

There is a spectral sequence, converging to $\mathbb{H}^{\bullet}(\mathcal{C}; \mathcal{M})$, whose second term is

$$E_2^{pq} = H^p(X, \mathcal{H}^q(\mathcal{C}; \mathcal{M}))$$

Extension of Lie algebroids

$$0 \rightarrow \mathcal{K} \rightarrow \mathcal{E} \rightarrow \mathcal{Q} \rightarrow 0$$

\mathcal{K} is a sheaf of Lie \mathcal{O}_X -algebras

$$\begin{array}{ccc} \text{Rep}(\mathcal{E}) & \xrightarrow{(-)^{\mathcal{K}}} & \text{Rep}(\mathcal{Q}) \\ & \searrow I^{\mathcal{E}} & \downarrow I^{\mathcal{Q}} \\ & & \mathbf{k}\text{-mod} \end{array}$$

Moreover, the sheaves $\mathcal{H}^q(\mathcal{K}; \mathcal{M})$ are representations of \mathcal{Q}

Theorem (Hochschild-Serre type spectral sequence)

For every representation \mathcal{M} of \mathcal{E} there is a spectral sequence E converging to $\mathbb{H}^\bullet(\mathcal{E}; \mathcal{M})$, whose second page is

$$E_2^{pq} = \mathbb{H}^p(\mathcal{Q}; \mathcal{H}^q(\mathcal{K}; \mathcal{M})).$$

The extension problem

An extension

$$0 \rightarrow \mathcal{K} \rightarrow \mathcal{E} \xrightarrow{\pi} \mathcal{Q} \rightarrow 0 \quad (1)$$

defines a morphism

$$\begin{aligned} \alpha: \mathcal{Q} &\rightarrow \text{Out}(Z(\mathcal{K})) \\ \alpha(x)(y) &= \{y, x'\} \quad \text{where } \pi(x') = x \end{aligned} \quad (2)$$

The extension problem is the following:

Given a Lie algebroid \mathcal{Q} , a coherent sheaf of Lie \mathcal{O}_X -algebras \mathcal{K} , and a morphism α as in (2), does there exist an extension as in (1) which induces the given α ?

We assume \mathcal{Q} is locally free

Abelian extensions

If \mathcal{K} is abelian, (\mathcal{K}, α) is a representation of \mathcal{Q} on \mathcal{K} , and one can form the semidirect product

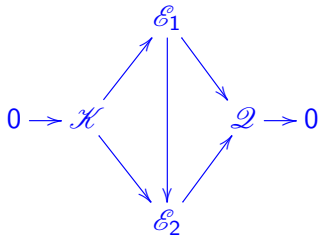
$$\mathcal{E} = \mathcal{K} \rtimes_{\alpha} \mathcal{Q},$$

$$\mathcal{E} = \mathcal{K} \oplus \mathcal{Q} \quad \text{as } \mathcal{O}_X\text{-modules,}$$

$$\{(\ell, x), (\ell', x')\} = (\alpha(x)(\ell') - \alpha(x')(\ell), \{x, x'\})$$

Theorem ⁽²⁾

If \mathcal{K} is abelian, the extension problem is unobstructed; extensions are classified up to equivalence by the hypercohomology group $\mathbb{H}^2(\mathcal{Q}; \mathcal{K})_{\alpha}^{(1)}$



⁽²⁾ U.B., I. Mencattini, V. Rubtsov, and P. Tortella, Nonabelian holomorphic Lie algebroid extensions, Internat. J. Math. **26** (2015) 1550040

\mathcal{M} a representation of a Lie algebroid \mathcal{C} . **Sharp** truncation of the Chevalley-Eilenberg complex $\sigma^{\geq 1} \Lambda^\bullet \mathcal{C}^* \otimes \mathcal{M}$ defined by

$$\begin{array}{ccccccc}
 0 & \longrightarrow & 0 & \longrightarrow & \mathcal{C}^* \otimes \mathcal{M} & \longrightarrow & \Lambda^2 \mathcal{C}^* \otimes \mathcal{M} \longrightarrow \dots \\
 & & & & \uparrow & & \\
 & & & & \text{degree 1} & &
 \end{array}$$

We denote $\mathbb{H}^i(\mathcal{C}; \mathcal{M})^{(1)} := \mathbb{H}^i(X, \sigma^{\geq 1} \Lambda^\bullet \mathcal{C}^* \otimes \mathcal{M})$

Derivation of \mathcal{C} in \mathcal{M} : morphism $d: \mathcal{C} \rightarrow \mathcal{M}$ such that

$$d(\{x, y\}) = x(d(y)) - y(d(x))$$

Proposition

The functors $\mathbb{H}^i(\mathcal{C}; -)^{(1)}$ are, up to a shift, the derived functors of

$$\begin{aligned} \text{Der}(\mathcal{C}; -): \text{Rep}(\mathcal{C}) &\rightarrow \mathbf{k}\text{-mod} \\ \mathcal{M} &\mapsto \text{Der}(\mathcal{C}, \mathcal{M}) \end{aligned}$$

i.e.,

$$R^i \text{Der}(\mathcal{C}; -) \simeq \mathbb{H}^{i+1}(\mathcal{C}; -)^{(1)}$$

Realize the hypercohomology using Čech cochains: if \mathfrak{U} is an affine cover of X , and \mathcal{F}^\bullet a complex of sheaves on X , then $\mathbb{H}^\bullet(X, \mathcal{F}^\bullet)$ is isomorphic to the cohomology of the total complex T of

$$K^{p,q} = \check{C}^p(\mathfrak{U}, \mathcal{F}^q)$$

$$0 \longrightarrow \mathcal{K}|_{U_i} \longrightarrow \mathcal{E}|_{U_i} \begin{array}{c} \xrightarrow{\pi} \\ \xleftarrow{s_i} \end{array} \mathcal{Q}|_{U_i} \longrightarrow 0 \quad (3)$$

If $U_i \in \mathfrak{U}$, $\text{Hom}(\mathcal{Q}|_{U_i}, \mathcal{E}|_{U_i}) \rightarrow \text{Hom}(\mathcal{Q}|_{U_i}, \mathcal{Q}|_{U_i})$ is surjective, so that one has splittings s_i , and one can define

$$\{\phi_{ij} = s_i - s_j\} \in \check{C}^1(\mathfrak{U}, \mathcal{K} \otimes \mathcal{Q}^*)$$

This is a 1-cocycle, which describes the extension **only** as an extension of \mathcal{O}_X -modules

$$0 \rightarrow \mathcal{K}(U_i) \rightarrow \mathcal{E}(U_i) \rightarrow \mathcal{Q}(U_i) \rightarrow 0$$

is an exact sequence of Lie-Rinehart algebras (over $(\mathbb{k}, \mathcal{O}_X(U_i))$) which is described by a 2-cocycle ψ_i in the Chevalley-Eilenberg (-Rinehart) cohomology of $\mathcal{Q}(U_i)$ with coefficients in $\mathcal{K}(U_i)$

$$(\phi, \psi) \in \check{C}^1(\mathfrak{L}, \mathcal{K} \otimes \mathcal{Q}^*) \oplus \check{C}^0(\mathfrak{L}, \mathcal{K} \otimes \Lambda^2 \mathcal{Q}^*) = T^2$$

$$\delta\phi = 0, \quad d\phi + \delta\psi = 0, \quad d\psi = 0$$

\Rightarrow cohomology class in $\mathbb{H}^2(\mathcal{Q}; \mathcal{K})_\alpha^{(1)}$

The nonabelian case

Theorem ^(2,3)

If \mathcal{K} is nonabelian, the extension problem is obstructed by a class $\mathbf{ob}(\alpha)$ in $\mathbb{H}^3(\mathcal{Q}; Z(\mathcal{K}))_\alpha^{(1)}$.

If $\mathbf{ob}(\alpha) = 0$, the space of equivalence classes of extensions is a torsor on $\mathbb{H}^2(\mathcal{Q}; Z(\mathcal{K}))_\alpha^{(1)}$.

Proof

\mathcal{Q} can be written as a quotient of a free Lie algebroid \mathcal{F}

$$\begin{array}{ccccccc} & & & & 0 & & \\ & & & & \downarrow & & \\ & & & & \mathcal{I} & & \\ & & & & \downarrow & & \\ 0 & \rightarrow & \mathcal{N} & \rightarrow & \mathfrak{U}(\mathcal{F}) & \rightarrow & \mathfrak{U}(\mathcal{Q}) \rightarrow 0 \\ & & & & \downarrow & & \\ & & & & \mathcal{O}_X & & \\ & & & & \downarrow & & \\ & & & & 0 & & \end{array}$$

⁽³⁾ E. Aldrovandi, U.B., V. Rubtsov, Lie algebroid cohomology and Lie algebroid extensions, J. of Algebra **505** (2018) 456–481

$$\widetilde{\mathcal{N}}^i = \mathcal{N}^i / \mathcal{N}^{i+1}, \quad \widetilde{\mathcal{F}}^i = \mathcal{N}^i \mathcal{J} / \mathcal{N}^{i+1} \mathcal{J}, \quad \text{for } i = 0, \dots$$

Locally free resolution

$$\dots \rightarrow \widetilde{\mathcal{N}}^2 \rightarrow \widetilde{\mathcal{F}}^1 \rightarrow \widetilde{\mathcal{N}}^1 \rightarrow \widetilde{\mathcal{F}}^0 \rightarrow \mathcal{J} \rightarrow 0$$

As $\text{Hom}_{\mathfrak{U}(\mathcal{Q})}(\mathcal{J}, Z(\mathcal{K})) \simeq \text{Der}(\mathcal{Q}, Z(\mathcal{K}))$, applying the functor $\text{Hom}_{\mathfrak{U}(\mathcal{Q})}(-, Z(\mathcal{K}))$ we obtain

$$\begin{aligned} 0 \rightarrow \text{Der}(\mathcal{Q}, Z(\mathcal{K})) \rightarrow \text{Hom}_{\mathfrak{U}(\mathcal{Q})}(\widetilde{\mathcal{F}}^0, Z(\mathcal{K})) \xrightarrow{d_1} \\ \text{Hom}_{\mathfrak{U}(\mathcal{Q})}(\widetilde{\mathcal{K}}^1, Z(\mathcal{K})) \xrightarrow{d_2} \text{Hom}_{\mathfrak{U}(\mathcal{Q})}(\widetilde{\mathcal{F}}^1, Z(\mathcal{K})) \xrightarrow{d_3} \\ \text{Hom}_{\mathfrak{U}(\mathcal{Q})}(\widetilde{\mathcal{K}}^2, Z(\mathcal{K})) \rightarrow \dots \end{aligned}$$

The cohomology of this complex is isomorphic to $\mathbb{H}^{\bullet+1}(\mathcal{Q}; Z(\mathcal{K}))$.

Pick a lift $\tilde{\alpha}: \mathcal{F} \rightarrow \mathcal{D}er(\mathcal{K})$ of α and get commutative diagram

$$\begin{array}{ccccccccc}
 0 & \longrightarrow & \mathcal{I} & \longrightarrow & \mathcal{F} & \longrightarrow & \mathcal{Q} & \longrightarrow & 0 \\
 & & \downarrow \beta & & \downarrow \tilde{\alpha} & & \downarrow \alpha & & \\
 0 & \longrightarrow & Z(\mathcal{K}) & \longrightarrow & \mathcal{K} & \xrightarrow{\text{ad}} & \mathcal{D}er(\mathcal{K}) & \longrightarrow & \mathcal{O}ut(\mathcal{K}) & \longrightarrow & 0
 \end{array}$$

where β is the induced morphism.

Define a morphism

$$o: \tilde{\mathcal{I}}^1 \rightarrow Z(\mathcal{K}) \quad (4)$$

It is enough to define o on an element of the type yx , where x is a generator of \mathcal{F} , and y is a generator of \mathcal{I}

$$o(yx) = \beta(\{x, y\}) - \tilde{\alpha}(x)(\beta(y)).$$

Note that $o \in \text{Hom}_{\mathcal{U}(\mathcal{Q})}(\tilde{\mathcal{I}}^1, Z(\mathcal{K}))$.

Lemma

$d_3(o) = 0$. Moreover, the cohomology class of $[o] \in \mathbb{H}^3(\mathcal{Q}; Z(\mathcal{K}))^{(1)}$ only depends on α .

Part I of the proof: if an extension exists consider the diagram

$$\begin{array}{ccccccccc} 0 & \longrightarrow & \mathcal{I} & \longrightarrow & \mathcal{F} & \longrightarrow & \mathcal{Q} & \longrightarrow & 0 \\ & & \downarrow \beta & & \downarrow \gamma & & \parallel & & \\ 0 & \longrightarrow & \mathcal{K} & \longrightarrow & \mathcal{E} & \longrightarrow & \mathcal{Q} & \longrightarrow & 0 \end{array}$$

Define

$$\tilde{\alpha}: \mathcal{F} \rightarrow \text{Der}(\mathcal{K}, \mathcal{K}), \quad \tilde{\alpha} = -\text{ad} \circ \gamma$$

Then $\tilde{\alpha}$ is a lift of α , and for all sections t of \mathcal{I} and x of \mathcal{F}

$$\beta(\{x, t\}) - \tilde{\alpha}(x)(\beta(t)) = 0 \tag{5}$$

so that the obstruction class $\mathbf{ob}(\alpha)$ vanishes.

Conversely, assume that $\mathbf{ob}(\alpha) = 0$, and take a lift $\tilde{\alpha}: \mathcal{F} \rightarrow \mathcal{D}er(\mathcal{K}, \mathcal{K})$. The corresponding cocycle lies in the image of the morphism d_2 , so it defines a morphism $\beta: \mathcal{T} \rightarrow \mathcal{K}$, which satisfies the equation (5). Again, we consider the extension

$$0 \rightarrow \mathcal{T} \rightarrow \mathcal{F} \rightarrow \mathcal{Q} \rightarrow 0.$$

Note that \mathcal{K} is an \mathcal{F} -module via $\mathcal{F} \rightarrow \mathcal{Q}$. The semidirect product $\mathcal{K} \rtimes \mathcal{F}$ contains the sheaf of Lie algebras

$$\mathcal{H} = \{(l, x) \mid x \in \mathcal{T}, l = \beta(x)\}.$$

The quotient $\mathcal{E} = \mathcal{K} \rtimes \mathcal{F} / \mathcal{H}$ provides the desired extension

Part II of the proof: [reduction to the abelian case](#)

Proposition

Once a reference extension \mathcal{E}_0 has been fixed, the equivalence classes of extensions of \mathcal{Q} by \mathcal{K} inducing α are in a one-to-one correspondence with equivalence classes of extensions of \mathcal{Q} by $Z(\mathcal{K})$ inducing α , and are therefore in a one-to-one correspondence with the elements of the group $\mathbb{H}^2(\mathcal{Q}; Z(\mathcal{K}))^{(1)}$

$\mathcal{C}_1, \mathcal{C}_2$ Lie algebroids with surjective morphisms $f_i: \mathcal{C}_i \rightarrow \mathcal{Q}$.
 Assuming $Z(\ker f_1) \simeq Z(\ker f_2) = \mathcal{Z}$ define

$$\mathcal{C}_1 \star \mathcal{C}_2 = \mathcal{C}_1 \times_{\mathcal{Q}} \mathcal{C}_2 / \mathcal{Z},$$

where $\mathcal{Z} \rightarrow \mathcal{C}_1 \times_{\mathcal{Q}} \mathcal{C}_2$ by $z \mapsto (z, -z)$

Fix a reference extension \mathcal{E}_0 of \mathcal{Q} by \mathcal{K}

Lemma

- (1) Any extension \mathcal{E} of \mathcal{Q} by \mathcal{K} is equivalent to a product $\mathcal{E}_0 \star \mathcal{D}$ where \mathcal{D} is an extension of \mathcal{Q} by $Z(\mathcal{K})$
- (2) Given two extensions $\mathcal{D}_1, \mathcal{D}_2$ of \mathcal{Q} by $Z(\mathcal{K})$, the extensions $\mathcal{E}_1 = \mathcal{E}_0 \star \mathcal{D}_1$ and $\mathcal{E}_2 = \mathcal{E}_0 \star \mathcal{D}_2$ are equivalent if and only if \mathcal{D}_1 and \mathcal{D}_2 are equivalent

Muito obrigado pela atenção!!

