On a Gromoll–Meyer type theorem in globally hyperbolic stationary Lorentzian manifolds Joint work with L. Biliotti and F. Mercuri

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Outline.



Some literature

- 3 On the Lorentzian result
- 4 Variational framework
- 5 Equivariant Morse theory

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1 The celebrated result of Gromoll and Meyer

2 Some literature

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 Bumpy metrics are generic (Abraham 1970, B. White Indiana J. Math. 1991)

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- McCleary & Ziller (Amer. J. Math., 1987, 1991) sup_k β_k(ΛM, ℤ₂) = +∞ if M is homotopically equivalent to a compact simply connected *homogeneous space* not diffeomorphic to a symmetric space of rank 1.

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- Guruprasad, Haefliger (Topology 2006): closed geodesics in orbifolds

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- Antonacci–Sampalmieri (Proc. Roy. Soc. Edinburgh, 1998)
 One closed geodesic in compact manifolds of *splitting type*.

Paolo Piccione (IME-USP)

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Obs. 1: By *causality*, every closed geodesic in (M, g) is *spacelike*. **Obs. 2:** Under our assumptions, M is homotopically equivalent to S (in fact, $M \stackrel{\text{diff}}{\simeq} S \times \mathbb{R}$), hence $\beta_k(\Lambda M; \mathbb{F}) = \beta_k(\Lambda S; \mathbb{F})$. **Obs. 3:** $\beta_k(\Lambda S^n; \mathbb{F}) = 1$ for all n > 1. By the result of Perelman (*Poincaré conjecture*), the result is empty in dim = 4!!!

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- if *L* is a compact manifold with $\beta_k(\Lambda L; \mathbb{F}) \neq 0$ for infinitely many *k*'s, then $\beta_k(\Lambda(N \times L); \mathbb{F}) = +\infty$ for infinitely many *k*'s (char(\mathbb{F}) = 0).

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Idea of proof:

- quotient out the ${\rm I\!R}\xspace$ -action (by considering curves starting on the Cauchy surface)
- use equivariant Morse theory to count critical O(2)-orbits coming from distinct prime closed geodesics.

Paolo Piccione (IME–USP)

Closed geodesics in stationary Lorentzian

Outline

The celebrated result of Gromoll and Meyer

2 Some literature

- 3 On the Lorentzian result
- 4 Variational framework
- 5 Equivariant Morse theory

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Corollary. There is one closed geodesic in each free homotopy class of *M*.

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Corollary. There is one closed geodesic in each free homotopy class of *M*. There is one non trivial closed geodesic (Masiello).

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Closed geodesics in stationary Lorentzian

Abstract functional analytical result

 \mathcal{X} Hilbert space, $B : \mathcal{X} \times \mathcal{X} \to \mathbb{R}$ essentially positive symmetric bilinear form, $\mathcal{W} \subset \mathcal{X}$ closed subspace, $\mathcal{S} = \mathcal{W}^{\perp_B}$.

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 $ind(B|_{W})$ is the *Maslov index* of γ (fixed endpoints Morse index thm)

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 $\exists \alpha \in \mathbb{R}^+, \ \beta \in \mathbb{R}$ such that, given any closed geodesic γ , either $\mu(\gamma^N)$ is *bounded*, or for *s* large enough:

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Open problem: What kind of bounded sequences arise from $\mu(\gamma^N)$?

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Problem. Estimate $\mu(\gamma^N)$ in terms of $\mu(\gamma)$.

- Since $\mu(\gamma^N) = i_{Maslov}(\gamma^N) + bounded terms, it suffices to estimate <math>i_{Maslov}(\gamma^N)$
- i_{Maslov} is related to the *Conley–Zehnder* index i_{CZ} of the corresponding Hamiltonian solution ($i_{Maslov} = i_{CZ} + bounded$ term)
- $i_{CZ} : \pi_1(Sp(n)) \to \mathbb{Z}$ is an isomorphism $\implies i_{CZ}(\gamma^N) \cong N \cdot i_{CZ}(\gamma)$

Proposition

 $\exists \alpha \in \mathbb{R}^+, \ \beta \in \mathbb{R}$ such that, given any closed geodesic γ , either $\mu(\gamma^N)$ is *bounded*, or for *s* large enough:

$$\mu(\gamma^{r+s}) \ge \mu(\gamma^r) + \alpha \cdot s + \beta.$$

Open problem: What kind of bounded sequences arise from $\mu(\gamma^N)$? It is clear how to construct examples with $\mu(\gamma^N) = 0$ for all *N*.

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$$\frac{[\mathfrak{P}_{\gamma}:T_{\gamma(0)}M\oplus T_{\gamma(0)}M\to T_{\gamma(0)}M\oplus T_{\gamma(0)}M}{\mathfrak{P}_{\gamma}(\boldsymbol{v},\boldsymbol{w})=(J(1),J'(1))}$$

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Open problem: Is the set of Lorentzian metrics for which the assumptions of B–L are satisfied by every non hyperbolic geodesic generic? (Yes in the Riemannian case: Klingenberg–Takens)

Nullity of an iteration

Tricky Lemma

Assume there is only a finite number of distinct prime closed geodesics in *M*.

Nullity of an iteration

Tricky Lemma

Assume there is only a finite number of distinct prime closed geodesics in *M*. Then, there exists a finite number of closed geodesics (not necessarily geometrically distinct) $\gamma_1, \ldots, \gamma_s$ in *M* such that:

• every closed geodesic γ is the iterate of some γ_i

• $\operatorname{nul}(\gamma) = \operatorname{nul}(\gamma_i)$.

Proof. Purely arithmetical.

Bott's type results on iteration of closed geodesics

(work in progress with M. A. Javaloyes, L. L. de Lima)

Paolo Piccione (IME–USP) Closed geodesics in stationary Lorentzian February 6th, 2007 21 / 29

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Example of applications. (Ballmann, Thorbergsson, Ziller) If $\pi_1(M)$ has a non trivial element of finite order a such that every closed geodesic freely homotopic to some power a^q is hyperbolic, then there are infinitely many distinct closed geodesics.

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Outline

The celebrated result of Gromoll and Meyer

2 Some literature

- 3 On the Lorentzian result
- 4 Variational framework
- 5 Equivariant Morse theory

Homological invariants at isolated critical points \mathcal{M} smooth Hilbert manifold, $\mathfrak{f} : \mathcal{M} \to \mathbb{R}$ smooth function

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Theorem (Generalized Morse Lemma)

Up to a change of coordinates, around p = (0, 0):

$$f(x, y) = \|Px\|^2 - \|(1 - P)x\|^2 + f_0(y)$$

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Closed sublevel: $\mathfrak{f}^{c} = \{x \in \mathcal{M} : \mathfrak{f}(x) \leq c\}.$

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$$\boxed{\mathfrak{H}^o_*(\mathfrak{f},\boldsymbol{\rho};\mathbb{F})=H_*(\mathfrak{f}^c_0,\mathfrak{f}^c_0\setminus\{\boldsymbol{\rho}\};\mathbb{F})}$$

Shifting theorem (G & M, Topology 1969)

 $\mu(\boldsymbol{p}) = \text{Morse index of } \mathfrak{f} \text{ at } \boldsymbol{p} \implies \left| \mathfrak{H}_{k+\mu(\boldsymbol{p})}(\mathfrak{f}, \boldsymbol{p}; \mathbb{F}) \cong \mathfrak{H}_{k}^{0}(\mathfrak{f}, \boldsymbol{p}; \mathbb{F}) \right|$

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Homological invariant at Gp: $|_{\mathfrak{H}_{\ast}(\mathfrak{f}, \mathbf{G}p; \mathbb{F})} := H_{\ast}(\mathfrak{f}^{c}, \mathfrak{f}^{c} \setminus \mathbf{G}p; \mathbb{F})$

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Theorem

If $f^{-1}(c)$ contains a finite number of critical orbits Gp_1, \ldots, Gp_r :

$$H_*(\mathfrak{f}^{c+\varepsilon},\mathfrak{f}^{c-\varepsilon};\mathbb{F})\cong\bigoplus_{i=1}^r\mathfrak{H}_*(\mathfrak{f},Gp_i;\mathbb{F})$$

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- Probably yes in the general Lorentzian case (this is just a guess)

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Question: Is the nondegenerate case *generic* for the closed geodesic problem?

Answers:

- Yes in the Riemannian case (bumpy metrics), Abraham 1970, Klingenberg/Takens 1972, White (1991): also for minimal submanifolds!
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 $\operatorname{Geo}(\mathbb{S}^1, M_0 \times \mathbb{R}) \times \operatorname{Met}(M_0) \times \mathfrak{X}(M_0) \times C^{\infty}(M_0) \ni \left[\gamma, g_0, \delta, \beta\right] \mapsto \left[g_0, \delta, \beta\right]$

is a Fredholm nonlinear map with null index? If yes, apply Sard-Smale.

Definition

Given sequences $(\mu_k)_{k\geq 0}$ and $(\beta_k)_{k\geq 0}$ in $\mathbb{N} \bigcup \{+\infty\}$, they satisfy the *Morse relations* if \exists a formal power series $Q(t) = \sum_{k\geq 0} q_k t^k$ with coefficients in $\mathbb{N} \bigcup \{+\infty\}$ such that:

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Example. X top. space, $(X_n)_{n\geq 0}$ filtration of X, $\mu_k = \sum_{n=0}^{\infty} \beta_k(X_{n+1}, X_n; \mathbb{F}), \beta_k = \beta_k(X, X_0; \mathbb{F})$ satisfy the Morse relations.

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- Apply the Morse inequalities to the filtration $\Lambda M = \bigcup_{n \ge 1} f^{c_n}$ to get a uniform upper bound on the Betti numbers of ΛM , getting a contradiction.

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Paolo Piccione (IME–USP) Closed geodesics in stationary Lorentzian February 6th, 2007 29 / 29

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THANKS FOR YOUR ATTENTION!!

These notes will be available on my web page:

http://www.ime.usp.br/~piccione

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