

# Minimal weight codewords of affine cartesian codes

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# Generalized Reed-Muller code

Reed-Muller codes appeared in 1954, defined by D.E. Muller, and were given a decoding algorithm by I.S. Reed. They are codes defined over  $\mathbb{F}_2$ . In 1968 Kasami, Lin and Peterson extended the original definition to  $\mathbb{F}_q$ , where  $q$  is any prime power. These codes are now called Generalized Reed-Muller codes.

## Definition

Let  $P_1, \dots, P_{q^n}$  be the points of  $\mathbb{F}_q^n$ . For a nonnegative integer  $d$  write  $\mathbb{F}_q[\mathbf{X}]_{\leq d}$  for the  $\mathbb{F}_q$ -vector space formed by the polynomials in  $\mathbb{F}_q[X_1, \dots, X_n]$  of degree up to  $d$  together with the zero polynomial. Define  $\varphi_d : \mathbb{F}_q[\mathbf{X}]_{\leq d} \rightarrow \mathbb{F}_q^{q^n}$  as the evaluation morphism  $\varphi_d(g) = (g(P_1), \dots, g(P_{q^n}))$ . The subspace  $\text{Im } \varphi_d$  of  $\mathbb{F}_q^{q^n}$  is called the Generalized Reed-Muller code of order  $d$  and length  $q^n$ , and is denoted by  $\text{GRM}(n, d)$ .

# Generalized Reed-Muller code

The dimension of  $\text{GRM}(n, d)$  is well known as well as its minimum distance  $\delta(n, d)$ .

For a polynomial  $g \in \mathbb{F}_q[\mathbf{X}]_{\leq d}$  we may define the Hamming weight of its image  $\varphi_d(g)$  as  $w(\varphi_d(g)) = |\{P \in \mathbb{F}_q^n \mid g(P) \neq 0\}|$ . Thus the minimum distance of  $\text{GRM}(n, d)$  is

$$\delta(n, d) = \min \{w(\varphi_d(g)) \mid g \in \mathbb{F}_q[\mathbf{X}]_{\leq d} \text{ and } w(\varphi_d(g)) \neq 0\}.$$

If  $d \geq n(q-1)$  then  $\text{GRM}(n, d) = \mathbb{F}_q^{q^n}$  and  $\delta(n, d) = 1$ . To find the minimum distance for  $1 \leq d \leq n(q-1)$  write  $d$  uniquely as  $d = k(q-1) + \ell$  with  $0 \leq k < n$ ,  $0 < \ell \leq q-1$  and then  $\delta(n, d) = (q-\ell)q^{n-k+1}$ .

# Generalized Reed-Muller code

In 1970 Delsarte, Goethals and Mac Williams proved a theorem on the generation of the minimal codewords. They proved that the polynomials whose evaluation produces codewords of minimal weight are a special product of degree one polynomials. They wrote “The authors hasten to point out that it would be very desirable to find a more sophisticated and shorter proof”.

In 2012 E. Le Ducq published a paper with a new and short proof of their theorem. She expounded some geometrical methods used by Delsarte et al. and replaced the codewords by functions.

# Generalized Reed-Muller code

Delsarte, Goethals and Mac Williams' theorem on Leducq's paper reads as follows.

## Theorem

*The minimal weight codewords of  $\text{GRM}(n, d)$  are equivalent, under the action of the affine group, to a codeword of the following form:*

$$\forall x \in \mathbb{F}_q^n, \quad f(x) = c \prod_{i=1}^k (x_i^{q-1} - 1) \prod_{j=1}^s (x_{k+1} - b_j)$$

*where  $c \in \mathbb{F}_q^*$  and  $b_j$  are distinct elements of  $\mathbb{F}_q$ .*

In 2014 H. López, C. Renteria-Marquez and R. Villarreal introduced a new class of codes which contain the GRM codes.

Let  $K_1, \dots, K_n$  be a collection of non-empty subsets of  $\mathbb{F}_q$ . Consider an *affine cartesian set*

$$\mathcal{X} := K_1 \times \dots \times K_n := \{(\alpha_1 : \dots : \alpha_n) \mid \alpha_i \in K_i \text{ for all } i\} \subset \mathbb{F}_q^n.$$

We denote by  $d_i$  the cardinality of  $K_i$ , for  $i = 1, \dots, n$ . Clearly  $|\mathcal{X}| = \prod_{i=1}^n d_i =: \tilde{m}$  and let  $P_1, \dots, P_{\tilde{m}}$  be the points of  $\mathcal{X}$ .

Define  $\psi_d : \mathbb{F}_q[\mathbf{X}]_{\leq d} \rightarrow \mathbb{F}_q^{\tilde{m}}$  as the evaluation morphism  
 $\psi_d(g) = (g(P_1), \dots, g(P_{\tilde{m}}))$ .

## Definition

The image  $\mathcal{C}_{\mathcal{X}}(d)$  of  $\psi_d$  is a vector subspace of  $\mathbb{F}_q^{\tilde{m}}$  called the *affine cartesian code* (of order  $d$ ) defined over the sets  $K_1, \dots, K_n$ .

In the special case where  $K_1 = \dots = K_n = \mathbb{F}_q$  we have the well-known generalized Reed-Muller code of order  $d$ . An affine cartesian code is a type of affine variety code, as defined by Fitzgerald (1998). In their work H. López, C. Rentería-Marquez and R. Villarreal proved that we may ignore, in the cartesian product, sets with just one element and moreover may always assume that  $2 \leq d_1 \leq \dots \leq d_n$ .

López et al. calculated the parameters of this code. In particular:

## Theorem

*The minimum distance  $\delta_{\mathcal{X}}(d)$  of  $\mathcal{C}_{\mathcal{X}}(d)$  is 1, if  $d \geq \sum_{i=1}^n (d_i - 1)$ , and for  $0 \leq d < \sum_{i=1}^n (d_i - 1)$  we have*

$$\delta_{\mathcal{X}}(d) = (d_{k+1} - \ell) \prod_{i=k+2}^n d_i$$

*where  $k$  and  $\ell$  are uniquely defined by  $d = \sum_{i=1}^k (d_i - 1) + \ell$  with  $0 < \ell \leq d_{k+1} - 1$  (if  $k + 1 = n$  we understand that  $\prod_{i=k+2}^n d_i = 1$ , and if  $d \leq d_1 - 1$  then we set  $k = 0$  and  $\ell = d$ ).*



In a joint work with Cícero Carvalho we extend the result of Delsarte, Goethals and Mac Williams to affine cartesian codes, in the case where  $K_i$  is a field, for all  $i = 1, \dots, n$  and  $K_1 \subset K_2 \subset \dots \subset K_n \subset \mathbb{F}_q$ .

To describe the codewords of minimum weight we take yet another approach to  $\mathcal{C}_{\mathcal{X}}(d)$ , already used by E. Leducq, which we describe now.

# The approach via functions

Remember that  $\mathcal{X} = \{P_1, \dots, P_{\tilde{m}}\}$  and  $\psi_d : \mathbb{F}_q[\mathbf{X}]_{\leq d} \rightarrow \mathbb{F}_q^{\tilde{m}}$  is the evaluation morphism  $\psi_d(g) = (g(P_1), \dots, g(P_{\tilde{m}}))$ .

We assume from now on that  $K_1, \dots, K_n$  are fields and that  $K_1 \subset K_2 \subset \dots \subset K_n \subset \mathbb{F}_q$ . In the case we have

$$\begin{aligned} I_{\mathcal{X}} &= \{f \in \mathbb{F}_q[\mathbf{X}] \mid f(P) = 0, \forall P \in \mathcal{X}\} \\ &= \langle X_1^{d_1} - X_1, \dots, X_n^{d_n} - X_n \rangle. \end{aligned}$$

It is easy to see that  $\ker \psi_d = \mathbb{F}_q[\mathbf{X}]_{\leq d} \cap I_{\mathcal{X}}$  and so  $\mathcal{C}_{\mathcal{X}}(d) \cong (\mathbb{F}_q[\mathbf{X}]/I_{\mathcal{X}})_{\leq d}$ .

# The approach via functions

It is well known that, given a subset  $Y \subset \mathbb{F}_q^n$ , any function  $f : Y \rightarrow \mathbb{F}_q$  is given by a polynomial  $P \in \mathbb{F}_q[\mathbf{X}]$ . Denoting by  $C_{\mathcal{X}}$  the  $\mathbb{F}_q$ -algebra of functions defined on  $\mathcal{X}$  we clearly have an isomorphism

$\Phi : \mathbb{F}_q[\mathbf{X}]/I_{\mathcal{X}} \rightarrow C_{\mathcal{X}}$  hence for each function  $f \in C_{\mathcal{X}}$  there exists a unique polynomial  $P \in \mathbb{F}_q[\mathbf{X}]$  such that the degree of  $P$  in the variable  $X_i$  is less than  $d_i$  for all  $i = 1, \dots, n$ , and  $\Phi(P + I_{\mathcal{X}}) = f$ .

## Definition

We say that  $P$  is *the reduced polynomial associated to  $f$*  and we define the *degree of  $f$*  as being the degree of  $P$ .

# The approach via functions

## Definition

We denote by  $C_{\mathcal{X}}(d)$  the  $\mathbb{F}_q$ -vector space formed by functions of degree up to  $d$ , together with the zero function. We saw above that  $C_{\mathcal{X}}$  is isomorphic to  $\mathbb{F}_q[\mathbf{X}]/I_{\mathcal{X}}$ , and hence to  $\mathbb{F}_q^{\tilde{m}}$ , and clearly  $C_{\mathcal{X}}(d) \subset C_{\mathcal{X}}$  is isomorphic to the code  $\mathcal{C}_{\mathcal{X}}(d) \subset \mathbb{F}_q^{\tilde{m}}$ , so from now on we also call  $C_{\mathcal{X}}(d)$  the affine cartesian code of order  $d$ .

## Definition

We define the support of a function  $f \in C_{\mathcal{X}}$  as the set  $\{P \in \mathcal{X} \mid f(P) \neq 0\}$  and we write  $|f|$  for its cardinality, which, in this approach, is the Hamming weight of  $f$ . Thus the minimum distance of  $C_{\mathcal{X}}(d)$  is  $\delta_{\mathcal{X}}(d) := \min\{|f| \mid f \in C_{\mathcal{X}}(d) \text{ and } f \neq 0\}$ .

## Definition

We denote by

$$Z_{\mathcal{X}}(f) := \{P \in \mathcal{X} \mid f(P) = 0\}$$

the set of zeros of  $f \in C_{\mathcal{X}}$ .

In this way  $|f| = |\mathcal{X}| - |Z_{\mathcal{X}}(f)|$ , which means that the minimum distance is closely related to the maximum number of points of intersection of  $\mathcal{X}$  with a hypersurface of the affine space which does not contain  $\mathcal{X}$ .

# The approach via functions

We write  $\text{Aff}(n, \mathbb{F}_q)$  for the affine group in  $\mathbb{F}_q^n$ , i.e. the transformations of  $\mathbb{F}_q^n$  of the type  $P \mapsto AP + Q$ , where  $A \in GL(n, \mathbb{F}_q)$  and  $Q \in \mathbb{F}_q^n$ .

## Definition

The affine group associated to  $\mathcal{X}$  is

$$\text{Aff}(\mathcal{X}) = \{\varphi : \mathcal{X} \rightarrow \mathcal{X} \mid \varphi = \psi|_{\mathcal{X}} \text{ with } \psi \in \text{Aff}(n, \mathbb{F}_q) \text{ and } \psi(\mathcal{X}) = \mathcal{X}\}.$$

## Definition

We say that  $f, g \in C_{\mathcal{X}}$  are  $\mathcal{X}$ -equivalent if there exists  $\varphi \in \text{Aff}(\mathcal{X})$  such that  $f = g \circ \varphi$ .

In particular, if  $f, g \in C_{\mathcal{X}}$  are  $\mathcal{X}$ -equivalent then  $|f| = |g|$ .

## Definition

An affine subspace  $G \subset \mathbb{F}_q^n$  of dimension  $r$  is said to be  $\mathcal{X}$ -affine if there exists  $\psi \in \text{Aff}(n, \mathbb{F}_q)$  and  $1 \leq i_1 < \dots < i_r \leq n$  such that  $\psi(\mathcal{X}) = G$  and  $\psi(\langle e_{i_1}, \dots, e_{i_r} \rangle) = G$ , where we write  $\{e_1, \dots, e_n\}$  for the canonical basis of  $\mathbb{F}_q^n$ . We denote by  $x_i$  the coordinate function  $x_i(\sum_j a_j e_j) = a_i$  where  $\sum_j a_j e_j \in \mathbb{F}_q^n$  (and by abuse of notation we also denote by  $x_i$  its restriction to  $\mathcal{X}$ ) for all  $i = 1, \dots, n$ .

# The approach via functions

For  $1 \leq j \leq n$ , define

$$\mathcal{X}_{\hat{j}} = K_1 \times \cdots \times K_{j-1} \times K_{j+1} \times \cdots \times K_n$$

and  $\delta_{\mathcal{X}_{\hat{j}}}(d)$  the corresponding minimum distance of  $C_{\mathcal{X}_{\hat{j}}}(d)$ .

## Definition

For every  $\alpha \in K_j$  we have an evaluation homomorphism of  $\mathbb{F}_q$ -algebras given by

$$\begin{aligned} C_{\mathcal{X}} &\longrightarrow C_{\mathcal{X}_{\hat{j}}} \\ f &\longmapsto f(x_1, \dots, x_{j-1}, \alpha, x_{j+1}, \dots, x_n) =: f_{\alpha}^{(j)}. \end{aligned}$$



The central first result we use is the following

## Proposition

Let  $1 \leq d < \sum_{i=1}^n (d_i - 1)$  and write  $d = \sum_{i=1}^k (d_i - 1) + \ell$  as in Theorem 4. Let  $S \subset \mathcal{X}$  be a nonempty set and assume that  $S$  has the following properties:

- 1  $|S| < \left(1 + \frac{1}{d_{k+1}}\right) \delta_{\mathcal{X}}(d) = \left(1 + \frac{1}{d_{k+1}}\right) (d_{k+1} - \ell) d_{k+2} \cdots d_n.$
- 2 For every  $\mathcal{X}$ -affine subspace  $G \subset \mathbb{F}_q^n$  of dimension  $r$ , with  $r \in \{0, \dots, n-1\}$ , either  $S \cap G = \emptyset$  or  $|S \cap G| \geq \delta_{\mathcal{X}_G}(d).$

Then there exists an affine subspace  $H \subset \mathbb{F}_q^n$ , of dimension  $n-1$  and a transformation  $\psi \in \text{Aff}(n, \mathbb{F}_q)$  such that  $\psi(\mathcal{X}) = \mathcal{X}$ ,  $\psi(V_{k+1}) = H$  where  $V_{k+1}$  is the  $\mathbb{F}_q$ -vector space generated by  $\{e_1, \dots, e_n\} \setminus \{e_{k+1}\}$  (so, in particular,  $H$  is  $\mathcal{X}$ -affine) and  $S \cap H = \emptyset.$

# First results

The last result gives a first step in the direction of the main result.

## Corollary

Let  $f$  be a nonzero function in  $C_{\mathcal{X}}(d)$  such that  $|f| < \left(1 + \frac{1}{d_{k+1}}\right) \delta_{\mathcal{X}}(d)$ , then  $f$  is a multiple of a function  $h$  of degree 1 which is  $\mathcal{X}$ -equivalent to  $x_{k+1}$ .

## Lemma

Let  $f$  be a nonzero function in  $C_{\mathcal{X}}(d)$ , and let  $h \in C_{\mathbf{X}}(d)$  be such that  $h = x_j \circ \varphi$ , where  $j \in \{1, \dots, n\}$  and  $\varphi \in \text{Aff}(\mathbf{X})$ . If  $m$  is the number of  $\alpha \in K_j$  such that  $Z_{\mathcal{X}}(h - \alpha) \subset Z_{\mathcal{X}}(f)$  then  $m \leq d$  and  $|f| \geq (d_j - m)\delta_{\mathcal{X}_j}(d - m)$

## Proposition

Let  $1 \leq d < d_1$ , the minimal weight codewords of  $C_{\mathcal{X}}(d)$  are  $\mathcal{X}$ -equivalent to the functions

$$g = \sigma \prod_{i=1}^{\ell} (x_1 - \alpha_i),$$

with  $\sigma \in \mathbb{F}_q^*$ ,  $\alpha_i \in K_1$  and  $\alpha_i \neq \alpha_j$  for  $1 \leq i \neq j \leq \ell$ .

## Theorem

The minimal weight codewords of  $C_{\mathcal{X}}(d)$ , for  $d = \sum_{i=1}^k (d_i - 1) + \ell$ ,  $0 \leq k < n$  and  $0 < \ell \leq d_{k+1} - 1$  are  $\mathcal{X}$ -equivalent to the functions

$$g = \sigma \prod_{i=1, i \neq j}^{k+1} (1 - x_i^{d_i-1}) \prod_{t=1}^{d_j - (d_{k+1} - \ell)} (x_j - \alpha_t),$$

for some  $1 \leq j \leq k+1$ , where  $d_{k+1} - \ell \leq d_j$ , with  $\sigma \in \mathbb{F}_q^*$ ,  $\alpha_t \in K_j$  and  $\alpha_t \neq \alpha_s$  for  $1 \leq t \neq s \leq \ell$ . If  $d_j = d_{k+1} - \ell$ , the last product is 1.