CAST-256 Algorithm Specification

1. Algorithm Specification

1.1 CAST-128 Notation

The following notation from CAST-128 [A97b, A97c] is relevant to CAST-256.

- CAST-128 uses a pair of subkeys per round: a 5-bit quantity k_{r_i} is used as a "rotation" key for round *i* and a 32-bit quantity k_{m_i} is used as a "masking" key for round *i*.
- Three different round functions are used in CAST-128. The rounds are as follows (where *D* is the data input to the operation, $I_a I_d$ are the most significant byte through least significant byte of *I*, respectively, S_i is the *i*th s-box (see following page for s-box definitions), and *O* is the output of the operation). Note that + and are addition and subtraction modulo 2^{32} , \oplus is bitwise eXclusive-OR, and \dashv is the circular left-shift operation.

Type 1: $I = ((k_{m_i} + D) \downarrow k_{r_i})$ $O = ((S_1[I_a] \oplus S_2[I_b]) - S_3[I_c]) + S_4[I_d]$

Type 2:

 $I = ((k_{m_i} \oplus D) \downarrow k_{r_i})$ $O = ((S_1[I_a] - S_2[I_b]) + S_3[I_c]) \oplus S_4[I_d]$

Type 3:

$$I = ((k_{m_i} - D) \dashv k_{r_i})$$

$$O = ((S_1[I_a] + S_2[I_b]) \oplus S_3[I_c]) - S_4[I_d]$$

Let f_1, f_2, f_3 be keyed round function operations of Types 1, 2, and 3 (respectively) above.

CAST-128 Notation (cont'd)

• CAST-128 uses four round function substitution boxes (s-boxes), $S_1 - S_4$. These are defined as follows (entries (written in hexadecimal notation) are to be read left-to-right, top-to-bottom).

S-Box S_1

bfd4af27	88bbbdb5	e2034090	98d09675	6e63a0e0	15c361d2	6003e540 c2e7661d	22d4ff8e
alc9e0d6	346c4819	61b76d87	22540f2f	2abe32e1	aa54166b	887ca41a 22568e3a 527644b7	a2d341d0
b82cbaef	d751d159	6ff7f0ed	5a097a1f	827b68d0	90ecf52e	22b0c054 b48ee411	bc8e5935
fd45c240	ad31973f	c4f6d02e	55fc8165	d5b1caad	alac2dae	a2d4b76d	c19b0c50
b1b6ab8a	c71358dd	6385c545	110f935d	57538ad5	6a390493	b949e354 e63d37e0	2a54f6b3
						bb72275e 2ad286af	
d7894360	425c750d	93b39e26	187184c9	6c00b32d	73e2bb14	a0bebc3c	54623779
						3fab0950 c7fa5cf6	
						aa573b04	
						a7c13275	
						1b55db94 e31231b2	
						aa51a79b	
						c9600acc	
						5ad328d8	
						a70aec10 2ad37c96	
						dd24cb9e	
						56907596	
						c37b4d09	
						d5ea50f1 26470db8	
						6e2f1e23	
bd91e046	9a56456e	dc39200c	20c8c571	962bdalc	ele696ff	b141ab08	7cca89b9
1a69e783	02cc4843	a2f7c579	429ef47d	427b169c	5ac9f049	dd8f0f00	5c8165bf
S-Box S_2							
2	ef0ba75b	69e3cf7e	393£4380	fe61cf7a	eec5207a	55889c94	72fc0651
						18dcdb7d	
						ba83ccb3	
						25a1ff41 79929269	
						5d681121	
						361e3084	
						a0e3df79	
						d9e0a227 721d9bfd	
						d5a6c252	
						21f043b7	
						83ca6b94 5ee22b95	
						8049a7e8	
5e552d25	5272d237	79d2951c	c60d894c	488cb402	1ba4fe5b	a4b09f6b	lca815cf
						b4542835	
ee41e729	peraza/c	50045286	16008213	I334U1C6	30a22c95	31a70850	00930II3

73f98417 a1269859 50d99c08 cb3f4861 cdf0b680 17844d3b 7af75673 2fdd5cdb db2ffd5e 8f32ce19 b8da230c 80823028 c72feffa 22822e99 61d9b8c6 00b24869 dc8637a0 16a7d3b1 2d6a77ab 3527ed4b 5483697b 2667a8cc b284600c d835731d 8f5ea2b3 fc184642 43d79572 7e6dd07c	c26bd765 31eef84d a11631c1 306af97a dcdef3c8 82c570b4 b7ffce3f 9fc393b7 821fd216 85196048 dcb1c647 0a036b7a	64a3f6ab 7e0824e4 30f66f43 02f03ef8 d35fb171 d8d94e89 08dc283b a7136eeb 095c6e2e 8c4bacea ac4c56ea 4fb089bd	80342676 2ccb49eb b3faec54 99319ad5 088a1bc8 8b1c34bc 43daf65a c6bcc63e db92f2fb 833860d4 3ebd81b3 649da589	25a75e7b 846a3bae 157fd7fa c242fa0f bec0c560 301e16e6 f7e19798 1a513742 5eea29cb 0d23e0f9 230eabb0 a345415e	e4e6d1fc 8ff77888 ef8579cc a7e3ebb0 61a3c9e8 273be979 7619b72f ef6828bc 145892f5 6c387e8a 6438bc87 5c038323	20c710e6 ee5d60f6 d152de58 c68e4906 bca8f54d b0ffeaa6 8f1c9ba4 520365d6 91584f7f 0ae6d249 f0b5b1fa 3e5d3bb9
S-Box S_3						
3 8defc240 25fa5d9f beb1f9bf eefbcaea 11107d9f 07647db9 553fb2c0 489ae22b 4e1a8302 bae07fff a8c01db7 579fc264 99b03dbf b5dbc64b b843c213 6c0743f1 a747d2d0 1651192e 8c96fdad 5d2c2aae efbd7d9b a672597d 23efe941 a903f12e f8af918d 4e48f79e ef303cab 984faf28 8b907cee b51fd240 5c76460e 00ea983b 1f97c090 081bdb8a 68cc7bfb d90f2788 4b39fffa ba39aee9 61bd8ba0 d11e42d1 285ba1c8 3c62f44f 1f081fab 108618ae d2d02dfe f8ef5896 3a609437 ec00c9a9 a2d02fff d2bf60c4 a2048016 97573833 947b0001 570075d2 6ea22fde 5f08ae2b 67214cb8 b1e583d1 5727c148 2be98a1d <td>e8cf1950 b2e3e4d4 d4ef9794 528246e7 67094f31 638dc0e6 8309893c af70bf3e 8ee99a49 ada840d8 60270df2 8f616ddf 779faf9b e7c07ce3 d4d67881 93a07ebe 12490181 a4ffd30b cead04f4 35c0eaa5 fcfd08da 44cf52da 44715253 d43f03c0 d7207d67 f9bb88f8 af7a616d b7dc3e62 8ab41738 d91d1120</td> <td>51df07ae 3d4f285e 125e3fbc 8e57140e f2bd3f5f 55819d99 0feddd5f 58c31380 50da88b8 45f54504 0276e4b6 e29d840e 92dc560d e566b4a1 fd47572c b938ca15 5de5ffd4 faf7933b 127ea392 e805d231 f9ff2889 95155b67 0a874b49 50b4ef6d de0f8f3d 8942019e e5c98767 7f10bdce 20e1be24 2b6d8da0</td> <td>920e8806 b9afa820 21fffcee 3373f7bf 40fff7c1 a197c81c 2f7fe850 5f98302e 8427f4a0 fa5d7403 94fd6574 842f7d83 224d1e20 c3e9615e f76cedd9 97b03cff dd7ef86a 6d498623 10428db7 428929fb 694bcc11 494a488c d773bc40 07478cd1 72f87b33 4264a5ff cf1febd2 f90a5c38 af96da0f 642b1e31</td> <td>f0ad0548 fade82e0 825b1bfd 8c9f8188 1fb78dfc 4a012d6e d7c07f7e 727cc3c4 1eac5790 e83ec305 927985b2 340ce5c8 8437aa88 3cf8209d bda8229c 3dc2c0f8 76a2e214 193cbcfa 8272a972 b4fcdf82 236a5cae b9b6a80c 7c34671c 006e1888 abcc4f33 856302e0 61efc8c2 0ff0443d 68458425 9c305a00</td> <td>e13c8d83 a067268b 9255c5ed a6fc4ee8 8e6bd2c1 c5884a28 02507fbf 0a0fb402 796fb449 4f91751a 8276dbcb 96bbb682 7d29dc96 6094d1e3 127dadaa 8d1ab2ec b9a40368 27627545 9270c4a8 4fb66a53 12deca4d 5c8f82bc 02717ef6 a2e53f55 7688c55d 72dbd92b f1ac2571 606e6dc6 99833be5 52bce688</td> <td>927010d5 8272792e 1257a240 c982b5a5 437be59b ccc36f71 5afb9a04 0f7fef82 8252dc15 925669c2 02778176 93b4b148 2756d3dc cd9ca341 438a074e 64380e51 925d958f 825cf47a 127de50b 0e7dc15b 2c3f8cc5 89d36b45 4feb5536 b9e6d4bc 7b00a6b0 ee971b69 cc8239c2 60543a49 600d457d 1b03588a</td>	e8cf1950 b2e3e4d4 d4ef9794 528246e7 67094f31 638dc0e6 8309893c af70bf3e 8ee99a49 ada840d8 60270df2 8f616ddf 779faf9b e7c07ce3 d4d67881 93a07ebe 12490181 a4ffd30b cead04f4 35c0eaa5 fcfd08da 44cf52da 44715253 d43f03c0 d7207d67 f9bb88f8 af7a616d b7dc3e62 8ab41738 d91d1120	51df07ae 3d4f285e 125e3fbc 8e57140e f2bd3f5f 55819d99 0feddd5f 58c31380 50da88b8 45f54504 0276e4b6 e29d840e 92dc560d e566b4a1 fd47572c b938ca15 5de5ffd4 faf7933b 127ea392 e805d231 f9ff2889 95155b67 0a874b49 50b4ef6d de0f8f3d 8942019e e5c98767 7f10bdce 20e1be24 2b6d8da0	920e8806 b9afa820 21fffcee 3373f7bf 40fff7c1 a197c81c 2f7fe850 5f98302e 8427f4a0 fa5d7403 94fd6574 842f7d83 224d1e20 c3e9615e f76cedd9 97b03cff dd7ef86a 6d498623 10428db7 428929fb 694bcc11 494a488c d773bc40 07478cd1 72f87b33 4264a5ff cf1febd2 f90a5c38 af96da0f 642b1e31	f0ad0548 fade82e0 825b1bfd 8c9f8188 1fb78dfc 4a012d6e d7c07f7e 727cc3c4 1eac5790 e83ec305 927985b2 340ce5c8 8437aa88 3cf8209d bda8229c 3dc2c0f8 76a2e214 193cbcfa 8272a972 b4fcdf82 236a5cae b9b6a80c 7c34671c 006e1888 abcc4f33 856302e0 61efc8c2 0ff0443d 68458425 9c305a00	e13c8d83 a067268b 9255c5ed a6fc4ee8 8e6bd2c1 c5884a28 02507fbf 0a0fb402 796fb449 4f91751a 8276dbcb 96bbb682 7d29dc96 6094d1e3 127dadaa 8d1ab2ec b9a40368 27627545 9270c4a8 4fb66a53 12deca4d 5c8f82bc 02717ef6 a2e53f55 7688c55d 72dbd92b f1ac2571 606e6dc6 99833be5 52bce688	927010d5 8272792e 1257a240 c982b5a5 437be59b ccc36f71 5afb9a04 0f7fef82 8252dc15 925669c2 02778176 93b4b148 2756d3dc cd9ca341 438a074e 64380e51 925d958f 825cf47a 127de50b 0e7dc15b 2c3f8cc5 89d36b45 4feb5536 b9e6d4bc 7b00a6b0 ee971b69 cc8239c2 60543a49 600d457d 1b03588a
	41919C11	55525005	arer 1050	41556501	274333310	22333703
S-Box S_4 9db30420 1fb6e9de 7e287aff e60fb663 28147f5f 4fa2b8cd ee4d111a 0fca5167 80530100 e83e5efe ce84ffdf f5718801 2649abdf aea0c7f5 abe0502e ec8d77de 4d351805 7f3d5ce3 a5bf6d8e 1143c44f 26486e3e 8bd78a70 69dead38 1574ca16	095f35a1 c9430040 71ff904c ac9af4f8 3dd64b04 36338cc1 57971e81 a6c866c6 43958302 7477e4c1	79ebf120 0cc32220 2d195ffe 7fe72701 a26f263b 503f7e93 e14f6746 5d5bcca9 d0214eeb b506e07c	fd059d43 fdd30b30 1a05645f d2b8ee5f 7ed48400 d3772061 c9335400 daec6fea 022083b8 f32d0a25	6497b7b1 c0a5374f 0c13fefe 06df4261 547eebe6 11b638e1 6920318f 9f926f91 3fb6180c 79098b02	f3641f63 1d2d00d9 081b08ca bb9e9b8a 446d4ca0 72500e03 081dbb99 9f46222f 18f8931e e4eabb81	241e4adf 24147b15 05170121 7293ea25 6cf3d6f5 f80eb2bb ffc304a5 3991467d 281658e6 28123b23

bd59e4d2 e3d156	d5 4fe876d5	2f91a340	557be8de	00eae4a7	0ce5c2ec	4db4bba6
	ac ec17b035		99afc8b0			5e146119
	$02 \ c2325577$			d0ec3b25		8d6d3b24
20c763ef c366a5				ecald7c7		1d16625a
6701902c 9b757a	154 31d477f7	9126b031	36cc6fdb	c70b8b46	d9e66a48	56e55a79
026a4ceb 52437e	eff 2f8f76b4	0df980a5	8674cde3	edda04eb	17a9be04	2c18f4df
b7747f9d ab2af7	'b4 efc34d20	2e096b7c	1741a254	e5b6a035	213d42f6	2c1c7c26
61c2f50f 6552da	f9 d2c231f8	25130£69	d8167fa2	0418f2c8	001a96a6	0d1526ab
63315c21 5e0a72	ec 49bafefd	187908d9	8d0dbd86	311170a7	3e9b640c	cc3e10d7
d5cad3b6 0caec3	888 f73001e1	6c728aff	71eae2a1	lf9af36e	cfcbd12f	c1de8417
ac07be6b cb44a1	.d8 8b9b0f56	013988c3	blc52fca	b4be31cd	d8782806	12a3a4e2
6f7de532 58fd7e	b6 d01ee900	24adffc2	£4990fc5	9711aac5	001d7b95	82e5e7d2
109873f6 006130	96 c32d9521	ada121ff	29908415	7fbb977f	af9eb3db	29c9ed2a
5ce2a465 a730f3	2c d0aa3fe8	8a5cc091	d49e2ce7	0ce454a9	d60acd86	015f1919
77079103 dea03a	f6 78a8565e	dee356df	21f05cbe	8b75e387	b3c50651	b8a5c3ef
d8eeb6d2 e523be	e77 c2154529	2f69efdf	afe67afb	f470c4b2	f3e0eb5b	d6cc9876
39e4460c 1fda85	38 1987832f	ca007367	a99144f8	296b299e	492fc295	9266beab
b5676e69 9bd3dd	lda df7e052f	db25701c	1b5e51ee	f65324e6	6afce36c	0316cc04
8644213e b7dc59	d0 7965291f	ccd6fd43	41823979	932bcdf6	b657c34d	4edfd282
7ae5290c 3cb953	6b 851e20fe	9833557e	13ecf0b0	d3ffb372	3f85c5c1	0aef7ed2

1.2 CAST-256 Notation

The following notation is employed in the specification of CAST-256.

Let f_1, f_2, f_3 be as defined for CAST-128.

Let $\beta = (ABCD)$ be a 128-bit block where A, B, C, and D are each 32 bits in length.

Let " $\beta \leftarrow Q_i(\beta)$ " be short-hand notation for the following:

$$C = C \oplus f_1(D, k_{r_0}^{(i)}, k_{m_0}^{(i)})$$

$$B = B \oplus f_2(C, k_{r_1}^{(i)}, k_{m_1}^{(i)})$$

$$A = A \oplus f_3(B, k_{r_2}^{(i)}, k_{m_2}^{(i)})$$

$$D = D \oplus f_1(A, k_{r_3}^{(i)}, k_{m_3}^{(i)})$$

Let " $\beta \leftarrow \overline{Q_i}(\beta)$ " be short-hand notation for the following:

$$D = D \oplus f_1(A, k_{r_3}^{(i)}, k_{m_3}^{(i)})$$

$$A = A \oplus f_3(B, k_{r_2}^{(i)}, k_{m_2}^{(i)})$$

$$B = B \oplus f_2(C, k_{r_1}^{(i)}, k_{m_1}^{(i)})$$

$$C = C \oplus f_1(D, k_{r_0}^{(i)}, k_{m_0}^{(i)})$$

 $(Q(\cdot))$ is called a "forward quad-round" and $\overline{Q}(\cdot)$ is called a "reverse quad-round".)

Let $k_r^{(i)} = \{k_{r_0}^{(i)}, k_{r_1}^{(i)}, k_{r_2}^{(i)}, k_{r_3}^{(i)}\}$ be the set of rotation keys for the *i*th quad-round, where $k_{r_i}^{(i)}$ is a 5-bit rotation key for f_1, f_2 , or f_3 (as specified above).

Let $k_m^{(i)} = \{k_{m_0}^{(i)}, k_{m_1}^{(i)}, k_{m_2}^{(i)}, k_{m_3}^{(i)}\}$ be the set of masking keys for the *i*th quad-round, where $k_{m_i}^{(i)}$ is a 32-bit masking key for f_1, f_2 , or f_3 (as specified above).

Let $\kappa = (ABCDEFGH)$ be a 256-bit block where A, B, \dots, H are each 32 bits in length.

Let " $\kappa \leftarrow \omega_i(\kappa)$ " be short-hand notation for the following:

$$\begin{split} G &= G \oplus f_1(H, t_{r_0}^{(i)}, t_{m_0}^{(i)}) \\ F &= F \oplus f_2(G, t_{r_1}^{(i)}, t_{m_1}^{(i)}) \\ E &= E \oplus f_3(F, t_{r_2}^{(i)}, t_{m_2}^{(i)}) \\ D &= D \oplus f_1(E, t_{r_3}^{(i)}, t_{m_3}^{(i)}) \\ C &= C \oplus f_2(D, t_{r_4}^{(i)}, t_{m_4}^{(i)}) \\ B &= B \oplus f_3(C, t_{r_5}^{(i)}, t_{m_5}^{(i)}) \\ A &= A \oplus f_1(B, t_{r_6}^{(i)}, t_{m_6}^{(i)}) \\ H &= H \oplus f_2(A, t_{r_7}^{(i)}, t_{m_7}^{(i)}) \end{split}$$

 $(\omega(\cdot)$ is called a "forward octave".)

Let " $k_r^{(i)} \leftarrow \kappa$ " be short-hand notation for the following:

$$k_{r_0}^{(i)} = 5LSB(A), \ k_{r_1}^{(i)} = 5LSB(C), \ k_{r_2}^{(i)} = 5LSB(E), \ k_{r_3}^{(i)} = 5LSB(G)$$

where 5LSB(x) denotes "the five least significant bits of x".

Let " $k_m^{(i)} \leftarrow \kappa$ " be short-hand notation for the following:

$$k_{m_0}^{(i)} = H, \ k_{m_1}^{(i)} = F, \ k_{m_2}^{(i)} = D, \ k_{m_3}^{(i)} = B$$

 β = 128 bits of plaintext.

$$for(i = 0; i < 6; i + +)$$
$$\beta \leftarrow Q_i(\beta)$$
$$for(i = 6; i < 12; i + +)$$
$$\beta \leftarrow \overline{Q}_i(\beta)$$

128 bits of ciphertext = β

Round Key Re-Ordering for Decryption

The cipher employs a 256-bit primary key K. Decryption is identical to encryption except that the sets of quad-round keys $k_r^{(i)}, k_m^{(i)}$ derived from K are used in reverse order as follows.

$$for(i = 0; i < 12; i + +) \{ k_{r_{new}}^{(i)} = k_r^{(11-i)} \\ k_{m_{new}}^{(i)} = k_m^{(11-i)} \}$$

1.4 The CAST-256 Key Schedule

Initialization:

$$c_m = 2^{30}\sqrt{2} = 5A827999_{16}$$

 $m_m = 2^{30}\sqrt{3} = 6ED9EBA1_{16}$
 $c_r = 19$
 $m_r = 17$

for(i = 0; i < 24; i + +)
for(j = 0; j < 8; j + +){

$$t_{m_j}^{(i)} = c_m$$

 $c_m = (c_m + m_m) \mod 2^{32}$
 $t_{r_j}^{(i)} = c_r$
 $c_r = (c_r + m_r) \mod 32$
}

Key Schedule:

 $\kappa = ABCDEFGH = 256$ bits of primary key, K.

$$for(i = 0; i < 12; i + +) \{ \\ \kappa \leftarrow \omega_{2i}(\kappa) \\ \kappa \leftarrow \omega_{2i+1}(\kappa) \\ k_r^{(i)} \leftarrow \kappa \\ k_m^{(i)} \leftarrow \kappa \} \}$$

Note:

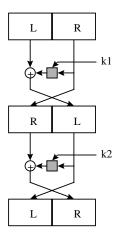
$$(|K| = 128) \Rightarrow (E = F = G = H = 0)$$
$$(|K| = 160) \Rightarrow (F = G = H = 0)$$
$$(|K| = 192) \Rightarrow (G = H = 0)$$
$$(|K| = 224) \Rightarrow (H = 0)$$

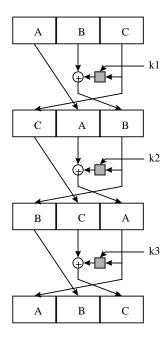
2. Design Rationale

2.1 Overall Structure

The fundamental mechanism for the expansion of a 64-bit block size to a larger block size is the generalization of the basic Feistel network (Schneier and Kelsey [SK96] have referred to the structure used here as an "incomplete" Feistel network). The motivation is as follows. In a traditional Feistel network (such as DES), rather than thinking of the exchange of left and right halves in each round as a "swap", it may be viewed as a circular right-shift of 32 bits. Such a view allows one to consider a cipher with a block size of 32n bits, which uses the same round function as the original cipher but requires n rounds (instead of 2) to input all bits of the block to the round function.

A picture may help to clarify the operation.





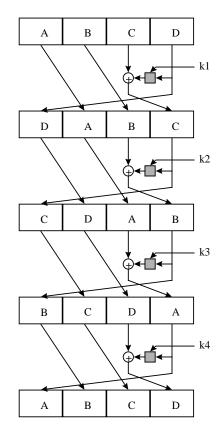


Figure 1

The left-most diagram is the "traditional" Feistel network. If this describes two rounds of DES, then L and R are each 32 bits in length and the cipher has a 64-bit block size. Continuing the illustration, the middle diagram describes an extended Feistel network for a cipher with a 96-bit block size, and the right-most diagram describes the structure of a cipher with a 128-bit block size. In each case, we may think of the number of rounds shown as a basic "unit" (in terms of submitting all input bits to the round function); the actual number of rounds chosen for the full cipher will be some multiple of this "unit" (e.g., for DES, the multiple is 8).

2.2 Decryption Considerations

The disadvantage of the generalized structure given above is that it requires a separate structure for decryption (since data must be left-shifted, rather than right-shifted, in each round in order to go backwards through the rounds). By contrast, with the "traditional" Feistel network decryption and encryption are identical except for a change in the ordering of the round keys so no separate structure is needed. Clearly, in constrained environments (such as hardware or firmware implementations that are very resource-limited) requiring two structures is unattractive.

A simple solution to the above concern is to design the structure such that if there are r rounds in the full cipher, the first r/2 rounds use right-shifting (as shown in the diagram above) and the last r/2 rounds use left-shifting. In this way, the desirable feature of "traditional" Feistel networks with respect to decryption (i.e., that decryption is identical to encryption, requiring only a reversal of the round keys) is preserved. This simplifies implementation and operation of the cipher and helps to make its use feasible in resource-limited environments.

2.3 Choice of Round Function

One of the very attractive features of the generalized structure given above is that it enables direct re-use of the round function from the "traditional" Feistel network. Within the class of DES-like ciphers, it is well known that increasing the size of the round function typically involves increasing the size of its component substitution boxes (s-boxes); it is also well known that increasing s-box size is generally difficult. For those ciphers that already employ large s-boxes, size increases can be a monumental task. [As a particular example, doubling the input and output sizes of a carefully-constructed 8×32 s-box may require a work factor of roughly 2^{64} steps (more than is necessary to break DES by exhaustive search!), aside from the fact that the resulting s-box grows from 4 Kbytes to more than half a million bytes of memory.] Being able to re-use the original round function is therefore very desirable. The important technical decision, however, is which "traditional" Feistel network round function to use in the generalized network.

The CAST-128 set of round functions has a number of appealing features.

- Firstly, the component bent-function-based s-boxes are designed according to a mathematical procedure which produces substitution boxes with several important cryptographic properties (such as high nonlinearity, low XOR difference distribution table values, good higher-order Strict Avalanche Criterion, and good higher-order (Output) Bit Independence Criterion) [A97b].
- Secondly, the use of both a "masking" key and a "rotation" key ensures that the key entropy is higher than the data entropy in each round (following the recommendation of [RPD97]) and appears to make the construction of iterative statistical attacks such as linear and differential cryptanalysis significantly more difficult (or impossible) [A97b].
- Thirdly, the mixing of operations from different algebraic groups (addition modulo 2 and addition / subtraction modulo 2³²) appears to be effective not only in reducing the probability of the round differential [AM97, O'C98], but in reducing the possibility of higher-order differential attacks as well [MSK98].
- Finally, mixing the order of the group operations (i.e., by varying the order of round functions throughout the cipher, as is done in CAST-128) appears to frustrate the practical construction of iterative characteristics.

In summary, then, the extensive analysis done on the CAST design procedure (including focused attention within several master's- and doctoral-level theses on symmetric cipher design and analysis) lends confidence to its choice as the round function for this generalized Feistel network.

[See the attached document *CAST-256: Algorithm Analysis* for a partial list of published work which discusses or analyzes various aspects of the CAST design procedure. For one significant example of unpublished work that has been done on CAST, the Communications Security Establishment, after extensive analysis, has determined and will formally state that the CAST-128 algorithm is suitable for the protection of all levels of Designated information within the Government of Canada. Please see the attached letter dated June 5th, 1998, and note that "CAST5" is the name used for "CAST-128" when specific key lengths are explicitly intended (see [A97c], Section 2.5.]

2.4 Number of Rounds

Given that the basic unit (see "Overall Structure" above) in DES is a "double round" and that a multiple of 8 is used to give the full 16-round cipher, it is reasonable to conclude that a 128-bit block size, with a "quad-round" as the basic unit, should consist of at least 32 rounds for the full cipher. It is important to note, however, that a cipher being constructed as a candidate for AES consideration must support not only twice the block size of CAST-128, but twice the key size as well. A deeper security analysis (see attached document, *CAST-256: Algorithm Analysis*) suggests that 48 rounds (i.e., 12 "quad rounds") provides security protection commensurate with the parameters of the desired cipher.

2.5 Key Schedule

Key scheduling (deriving a set of round keys from an initial key) is an extremely important aspect of cipher design since sub-optimal key schedules can lead to exploitable weaknesses in the cipher (including weak keys, equivalent keys, complementation properties, and susceptibility to related-key attacks), and overly-complicated key schedules can lead to prohibitively-long set-up times (limiting the use of the cipher in some environments).

The design philosophy chosen for the CAST-256 key schedule is identical to that chosen for the CAST-256 cipher itself: the key schedule essentially describes a generalized Feistel network with a 256-bit block size. A simple (but fixed) set of round keys is used to key this network and the CAST-256 initial key is used as the plaintext input. Some of the output bits of selected rounds during this "encryption" define the actual round keys for the CAST-256 cipher. Important features of this key scheduling approach include the following.

- The inherent strength of the generalized Feistel network is used in the key schedule to create round keys, increasing confidence that the set of key values (comprised of the generated round keys and the CAST-256 initial key) will appear to be pair-wise independent to any statistical analysis.
- If an attack can be mounted that derives four or more full round keys (i.e., full masking keys and the corresponding rotation keys) from the CAST-256 cipher, it still appears to require a computational effort of at least $2^{256 (4 * 32) (4 * 5)} = 2^{108}$ guesses to derive the CAST-256 initial key from this information.

- Since the key schedule describes a generalized Feistel network, it is extremely unlikely that key collisions can occur. The key schedule defines a cipher with a fixed key (i.e., a permutation over the input space) so for two different CAST-256 initial keys to produce identical sets of round keys, the different cipher inputs would have to map to round function outputs (in every relevant round) that differed only in the 108 bits *not* used to produce round keys is $2^{108}/2^{256} = 2^{-148}$, so the probability that this occurs over the full set of round keys is $2^{-148*12} = 2^{-1776}$ (essentially zero, since there are only 2^{256} possible initial keys).
- The key scheduling operation requires the equivalent of four CAST-256 encryption operations to produce a full set of round keys. This ratio is not prohibitive for most environments and compares favorably with many current implementations of DES.

The key schedule chosen for CAST-256 appears to have a number of desirable cryptographic features and takes into account much of the research into key schedule design and analysis over the past two decades (see, for example, [A94] and the references included in [A97]).

2.6 Conclusions

A number of alternatives exist for doubling the block size of a cipher from 64 bits to 128 bits, including the following.

- Feistel network. In such a design, the round function of the Feistel network is the original 64-bit cipher, which may itself be a Feistel network (this is a simple extension of ideas presented in, for example, [LR88, L96]).
- Substitution-Permutation (SP) network [F73]. In such a design, two parallel implementations of the original cipher are used as the substitution layers; these are interspersed with an extended permutation layer (i.e., a permutation which is the width of the desired block size).
- "Fenced" Construction [R96]. In such a design, two parallel implementations of the original cipher are surrounded by specially-constructed mixing operations, which in turn are surrounded by a layer of substitution boxes.

However, it was felt that all the alternatives considered had one or more drawbacks which made them somewhat less attractive as AES submission candidates. For example, the Feistel network suffers significant security degradation if one or two rounds may be "peeled off" by some attack (not an uncommon situation) since the entire outer network would likely consist of only four or six rounds (for performance reasons). The SP network may be subject to poor encryption / decryption performance since even two substitution layers with a permutation layer in between (the minimum possible configuration) halves the speed of the original cipher; a larger number of layers decreases performance significantly beyond this. Finally, the Fenced construction has non-trivial design and implementation impacts with the need for solid theoretical justification for the particular mixing operations used and the need for sufficient processing time and memory for the pseudo-random generation and storage of the necessary s-boxes.

The approach taken in this proposal to achieve block size doubling (i.e., the use of a generalized Feistel network) appears to be the simplest and most elegant of the various alternatives. It has none of the drawbacks listed above, is straightforward to understand and to analyze, and builds on the confidence gained from the extensive literature on ciphers based on Feistel networks. Furthermore, it allows unmodified re-use of a round function with a number of attractive cryptographic features, and suggests an intuitive architecture for the associated key scheduling algorithm.

We conclude that the rationale for CAST-256 is solid, resting on firm theoretical results and immediately appealing, defensible, concepts for every aspect of the cipher design. The resulting algorithm has good performance, reasonable code and memory size, and high security (according to all analysis conducted to date); it thus appears to meet all the requirements for an AES submission candidate.

3. Bit Naming / Numbering Convention Provided

True (needed only in section 1.1 CAST-128 Notation above, where most- to least-significant bytes of a 32-bit word are specified).

4. No Parity Bits Specified in the Key Definition

True.

5. References

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- [A97] C. Adams, "DES-80", in *Workshop Record* of the Workshop on Selected Areas in Cryptography (SAC '97), Ottawa, Canada, August 1997, pp.160-171.
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- [SK96] B. Schneier and J. Kelsey, "Unbalanced Feistel Networks and Block Cipher Design", in *Proceedings of the Third International Workshop on Fast Software Encryption*, Cambridge, UK, February 1996, Springer, LNCS 1039, pp.121-144.

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05 June 1998

Mr. Brian O'Higgins Executive Vice President and Chief Technology Officer Entrust Technologies 750 Heron Road Suite 800 Ottawa, Ontario K1V 1A7

Dear Mr. O'Higgins,

I am very pleased to advise you that CSE has completed its evaluation of the CAST5 algorithm (80 and 128 bit versions). We have determined that CAST5 is suitable for the protection of all levels of Designated information within the GOC. A formal statement of this approval will be promulgated to Government of Canada departments and agencies in the very near future.

On behalf of the Communications Security Establishment please accept my congratulations.

David McKerrow Communications Security Establishment Director General Information Technology Security

CAST-256 Minimum Acceptability Requirements

1. The Algorithm Implements Symmetric (Secret) Key Cryptography

True.

2. The Algorithm is a Block Cipher

True.

3. The Algorithm Supports the Required Key-Block Size Combinations

128-128:	True.
192-128:	True.
256-128:	True.

3.1 Other Supported Key-Block Size Combinations

160-128:	True.
224-128:	True.

CAST-256 Statement of Expected Strength

1. Key Size = 128; Block Size = 128

1.1 Expected Strength

128 bits (i.e., a computational effort on the order of 2^{128} encryptions, due to the need for exhaustive search over the entire key space).

1.2 Rationale

The most powerful / successful attacks known against this cipher appear to require more plaintext than can be generated at this block size (i.e., more than 2^{128} plaintext values), and so cannot be mounted. See the *CAST-256: Algorithm Analysis* section of this submission package for details.

2. Key Size = 192; Block Size = 128

2.1 Expected Strength

192 bits (i.e., a computational effort on the order of 2^{192} encryptions, due to the need for exhaustive search over the entire key space).

2.2 Rationale

The most powerful / successful attacks known against this cipher appear to require more plaintext than can be generated at this block size (i.e., more than 2^{128} plaintext values), and so cannot be mounted. See the *CAST-256: Algorithm Analysis* section of this submission package for details.

3. Key Size = 256; Block Size = 128

3.1 Expected Strength

256 bits (i.e., a computational effort on the order of 2^{256} encryptions, due to the need for exhaustive search over the entire key space).

3.2 Rationale

The most powerful / successful attacks known against this cipher appear to require more plaintext than can be generated at this block size (i.e., more than 2^{128} plaintext values), and so cannot be mounted. See the *CAST-256: Algorithm Analysis* section of this submission package for details.

<u>4. Key Size = 160; Block Size = 128</u>

4.1 Expected Strength

160 bits (i.e., a computational effort on the order of 2^{160} encryptions, due to the need for exhaustive search over the entire key space).

4.2 Rationale

The most powerful / successful attacks known against this cipher appear to require more plaintext than can be generated at this block size (i.e., more than 2^{128} plaintext values), and so cannot be mounted. See the *CAST-256: Algorithm Analysis* section of this submission package for details.

5. Key Size = 224; Block Size = 128

5.1 Expected Strength

224 bits (i.e., a computational effort on the order of 2^{224} encryptions, due to the need for exhaustive search over the entire key space).

5.2 Rationale

The most powerful / successful attacks known against this cipher appear to require more plaintext than can be generated at this block size (i.e., more than 2^{128} plaintext values), and so cannot be mounted. See the *CAST-256: Algorithm Analysis* section of this submission package for details.

6. Additional Notes Regarding Expected Strength

As noted in the *CAST-256: Algorithm Analysis* section of this submission package (item *1.1 Ciphertext Only Attack*), an attack based upon the birthday paradox can be mounted against any block cipher when used in CBC mode. This means that a cipher with a 128-bit block size should not be used to encrypt more than 2^{64} blocks of data with a single key. Note, however, that this attack recovers a relationship between a given pair of plaintext blocks; it does not recover all plaintext and does not recover any key bits.

Furthermore, Biham [B96] has described so-called *key-collision attacks* and shown that the strength of a cipher cannot exceed the square root of the size of the key space. Although this is strictly a theoretical attack and would be highly impractical to mount in a real-world situation for the key sizes required for AES, it does serve to put a bound on the expected theoretical strength of any block cipher.

[B96] E. Biham, "How to Forge DES-Encrypted Messages in 2²⁸ Steps", *Technical Report CS 884*, Department of Computer Science, Technion, Haifa, Israel, August 1996 (see also http://www.cs.technion.ac.il/~biham/publications.html)

CAST-256 Algorithm Analysis

1. Analysis With Respect to Known Attacks

The classical attacks on ciphers are as follows: *ciphertext only*; *known plaintext*; and *chosen plaintext*. The advent of public-key cryptography added utility to the concept of a *chosen ciphertext* attack, but this appears to be of little added value in the analysis of symmetric ciphers. Research in the past decade or so has also introduced the notions of *chosen key* and *related key* attacks, which have enjoyed some success in the cryptanalysis of specific symmetric ciphers. Within the iterated symmetric ciphers (the class of algorithms to which CAST-256 belongs), the techniques known as *linear cryptanalysis* and *differential cryptanalysis* (along with their combinations and higher-orders) currently represent the most general and powerful instances of *known plaintext* and *chosen plaintext* attacks, respectively.

This section of the submission package examines the CAST-256 algorithm with respect to the families of cryptanalytic attack listed above.

1.1 Ciphertext Only Attack

No techniques are currently known that will allow an attacker to infer or derive information about the plaintext, the primary key, or any subset of round keys from any collection of ciphertext blocks. The one (unavoidable) exception to this is the technique applicable to all *n*-bit-block ciphers when used in Cipher-Block-Chaining (CBC) mode: once $2^{n/2}$ blocks have been encrypted, with probability roughly $\frac{1}{2}$ (rapidly increasing as more blocks are encrypted) an XOR relationship between a particular pair of plaintexts will be known.

1.2 Known Plaintext Attack: Linear Cryptanalysis

Linear cryptanalysis [M94] attempts to exploit any high-probability occurrences of linear expressions of input, output, and round key bits in the round function of an iterated cipher. It has been approximated [M94] that the best linear expression for *r*-rounds of a cipher has a probability of being satisfied that is bounded as follows:

$$\left|p_{L} - \frac{1}{2}\right| \leq 2^{\alpha - 1} \cdot \left|p_{\beta} - \frac{1}{2}\right|^{\alpha}$$

where p_L represents the probability that the linear expression holds, p_β represents the probability of the best linear approximation, and α represents the number of s-boxes involved in that linear approximation. This expression is based on the assumption of independent round keys such that the linear approximations of the s-boxes are independent. In an analogous way to "differentials" and "characteristics" in differential cryptanalysis, provable immunity in linear cryptanalysis relies on bounding the likelihood of an overall linear expression (sometimes referred to as the "linear hull") rather than any particular linear "characteristic". However, for many ciphers (including CAST-256) this is a difficult analytical task. What are typically considered, therefore, are the building blocks of an overall linear expression: the sequence of approximations of the round functions which result in the overall linear expression.

A basic linear attack typically uses a sequence of linear approximations of the rounds to create an overall linear expression involving subsets of plaintext and ciphertext bits. From this it is possible to derive the equivalent of one key bit represented as the XOR of a number of round key bits. In this case, it is shown [M94] that the number of known plaintexts required is approximately

$$N_L = \left| p_L - \frac{1}{2} \right|^{-2}.$$

It can be shown that the best linear approximation has a probability given by

$$\left| p_{\beta} - \frac{1}{2} \right| = \frac{2^{m-1} - NL_{\min}}{2^{m}}$$

where *m* is the number of input bits to the s-box and NL_{\min} is the nonlinearity of the s-box [LHT97]. For the s-boxes of CAST-256, m = 8 and $NL_{\min} = 74$. Furthermore, for the CAST-256 cipher, the best linear approximation appears to involve 4 s-boxes every 4 rounds such that the linear approximation of the round function for every 4th round involves no output bits. That is, the linear expression used is $X_{i_1} \oplus X_{i_2} \oplus ... \oplus X_{i_i}$, where X_{i_j} represents an input bit to the s-box. Hence, for an *r*-round linear approximation, $\alpha = r$. The number of known plaintexts required for a 48-round linear approximation of CAST-256, then, is approximately 2¹²². Note that this is almost equal to the total number of plaintexts available (2¹²⁸) and argues against the practicality of a linear attack on this cipher.

Furthermore, Youssef, *et al*, have proposed [YCT97] that a more accurate bound on the number of plaintexts required for linear cryptanalysis of a CAST cipher can be obtained by considering the combination of s-boxes in the round function rather than the individual s-boxes. In particular, they compute the value for NL_s , the nonlinearity of the composite 32×32 s-box when the individual 8×32 s-boxes are combined using XOR. Using this in place of NL_{min} in the equations above and setting m = 32 and $\alpha = \frac{r}{2}$ (since an *r*-round

linear approximation must involve at least as many 32×32 s-boxes as r/2 iterations of the best 2-round approximation) yields a number of known plaintexts required for a 48-round linear approximation at more than 2^{174} (far beyond the number of plaintexts available). Note that experimental evidence suggests that combining s-boxes using mixed operations may increase the nonlinearity of the composite s-box even further.

It therefore appears that CAST-256 is immune to a linear cryptanalysis attack.

1.3 Chosen Plaintext Attack: Differential Cryptanalysis

Differential cryptanalysis [BS93] attempts to exploit any high-probability output differences resulting from particular input differences in the round function of an iterated cipher. A block cipher can be proved to be resistant to differential cryptanalysis if it can be shown that no high-probability differentials [LMM91] exist, where an *i*-round differential is defined to be the XOR of two outputs after *i* rounds, where the outputs correspond to two plaintexts with a given XOR.

In a good cipher the probability of all differentials should approach 2^{-N} , where *N* is the block size. Strictly speaking, differential cryptanalysis requires only the existence of a highly-probable differential to succeed. However, differentials can be viewed to be comprised of a number of possible characteristics, where a characteristic specifies the exact sequence of input and output XORs for each round to achieve the overall differential input and output XOR.

It is typically difficult to derive the probability of any particular differential and, in practice, it would be hard for a cryptanalyst to determine the existence of a highly-probable differential without searching for highly-probable characteristics. Although it is often the case that an upper bound on the probability of a differential cannot be stated for a particular cipher (that is, resistance to a differential cryptanalytic attack cannot be proved), the probabilities of the most likely characteristics can be determined. These probabilities can then be used as a measure of the cipher's resistance to differential cryptanalysis.

As is common in the literature, the analysis here is based on the assumption that all round keys are independent (although this assumption is not always necessary; see [C97]) and that the occurrence of output XORs given particular input XORs is independent for different rounds. Under such conditions, the probability of an r-round characteristic is given by

$$p_{\Omega_r} = \prod_{i=1}^r p_i$$

where p_i represents the probability of the output XOR given the input XOR in round *i*. The best characteristics that can be constructed are typically iterative in nature. For the CAST-256 cipher with *R* rounds, the following appears to be the best possible *r*-round characteristic, where *r* is a multiple of 4. (Note that the notation (*W*,*X*,*Y*,*Z*) represents XOR vectors for the four 32-bit sub-blocks in a CAST-256 round function input.)

(0,0,0,\Delta)		[input XOR to round 1]
$0 \leftarrow \Delta$ with prob	ability <i>p</i>	[round 1]
$0 \leftarrow 0$ with prob	ability 1	[round 2]
$0 \leftarrow 0$ with prob	ability 1	[round 3]
$0 \leftarrow 0$ with prob	ability 1	[round 4]
		repeat up to R/2 rounds
$(0,\Delta,0,0)$, or some	variation	[input XOR to round $(R/2 + 1)$]
$0 \leftarrow 0$ with prob	ability 1	[round $(R/2 + 1)$]
$0 \leftarrow 0$ with prob	ability 1	[round $(R/2 + 2)$]
$0 \leftarrow \Delta$ with prob	ability <i>p</i>	[round $(R/2 + 3)$]
$0 \leftarrow 0$ with prob	ability 1	[round $(R/2 + 4)$]
		repeat up to <i>r</i> rounds for <i>r</i> -round char.

The input XOR to round (R/2 + 1) will be a vector in which one of the sub-blocks is nonzero and the other three sub-blocks are zero (the precise variation which applies for a given cipher depends upon the value of *R*). Without loss of generality, the example $(0,\Delta,0,0)$ is shown above.

As per the analysis and rationale given in [LHT97], the input-output XOR pair for a simplified CAST round function (i.e., one which does not include the key-dependent rotation, and for which the only s-box combining operation used is XOR) can be assumed to have a probability of $p \le 2^{-14}$. This is based on the fact that all four s-boxes in the CAST round function are injective and the format of the XOR pair has the output XOR being equal to 0. This leads to the conclusion that the best *r*-round iterated characteristic as shown above has a probability given by

$$p_{\Omega_{-}} \leq (2^{-14})^{\frac{1}{4}}$$

In particular, a 40-round characteristic must have a probability less than or equal to 2^{-140} according to the assumptions of the analysis. This implies that the number of chosen plaintexts required for this attack would be greater than 2^{140} for the 48-round cipher (substantially greater than the number of plaintexts available for a 128-bit block size).

It therefore appears that CAST-256 is immune to a differential cryptanalysis attack.

1.4 Chosen Key Attack

CAST-256 appears to be secure with respect to this attack. The use of a cipher (built around the CAST-128 set of round functions) as a key schedule gives confidence that no exploitable statistical correlation exists between the primary key and the set of generated round keys. Thus, allowing an attacker to choose a particular primary key difference appears to yield no exploitable similarities in the corresponding sets of round keys compared with the victim encrypting with two randomly-chosen primary keys.

1.5 Related Key Attack

CAST-256 appears to be secure with respect to this attack. The use of a cipher (built around the CAST-128 set of round functions) as a key schedule gives confidence that no exploitable statistical correlations exist within the set of generated round keys. Thus, this attack, which depends upon the use of a simple derivation algorithm for a round key from previous round keys, appears not to be applicable to CAST-256.

1.6 Enhancements to the Above Statistical Attacks: Combinations and Higher-Orders

The analysis given above for both linear and differential cryptanalysis applies to a greatly simplified version of the CAST-256 cipher. The actual cipher, which includes key-dependent rotation and mixed operations in the round function (both for data masking and for s-box combination), appears to be much more difficult / impossible to attack using the methods as described in [M94] and [BS93] (see [A97] for some discussion of this). In particular, experiments in which two CAST-256 s-boxes are combined using addition or subtraction modulo 2^{32} show that the maximum value in the XOR difference distribution table is approximately 10% of the maximum that occurs when the s-boxes are combined using XOR. Experiments on combinations of three CAST-256 s-boxes are on-going, but thus far show similar results. This lends confidence that combinations of four s-boxes using mixed operations (as is done in the CAST-256 round function) are effective in increasing resistance to differential cryptanalysis.

The above experimental work [AM97] is supported by a new analytical result [O'C98], which shows that for a random *n*-bit permutation, the probability that the maximum entry in a differential table based on XOR differences is greater than a bound B_n approaches 1 as *n* grows, whereas the probability that the maximum entry in a table based on non-XOR differences (e.g., modular addition or multiplication) is greater than that same bound approaches 0. Furthermore, the bound is accurate for the 8-bit case. Thus, although the details of the analyzed structure differ slightly from the internals of the CAST-256 round function as used in the above experiments, the conclusion is the same: using operations

from different algebraic groups appears to be helpful in increasing resistance to differential cryptanalysis (by lowering the differential probability of a single round).

1.6.1 Combination Attacks

CAST-256 appears to be immune to both linear and differential cryptanalysis (requiring more plaintext than is available from the 128-bit block size) and appears to be immune to both chosen and related key attacks (due to the absence of exploitable statistical correlations among its generated keys). Given this, it seems highly unlikely that various combination attacks (such as *linear-differential*, or *differential-related-key*) can have any measure of success.

It therefore appears that this cipher is immune to the combination attacks currently known in the literature.

1.6.2 Higher-Order Attacks

The concept of *higher-order differentials* has been introduced [L94, K95] and used to successfully cryptanalyze ciphers proved secure against ordinary differential cryptanalysis [JK97]. A simplified version of the CAST-128 cipher (one which uses XOR for all operations in the round function) has been examined with respect to the higher-order differential attack [MSK98]. It has been shown that this attack is successful up to 5 rounds, but cannot be extended to higher numbers of rounds. Furthermore, the introduction of the key-dependent rotation operation is effective in increasing the computational complexity of this attack. Finally, the use of operations from different algebraic groups "makes the degree too high to cryptanalyze by the higher-order differential attack" [MSK98], so that the attack cannot even be mounted on a 5-round version of the cipher.

It therefore appears that CAST-256 (which has 48 rounds and uses the CAST-128 round functions) is immune to a higher-order differential attack.

2. Statements Regarding Properties of Keys

This section provides statements regarding the following properties of keys with respect to CAST-256: *weak keys, semi-weak keys, fixed points of a key, equivalent keys,* and *restrictions on key selection*. It also includes a statement on *complementation properties* since this is sometimes related to the way that round keys are used within a DES-like cipher.

2.1 Weak Keys

None known. In the CAST-256 cipher, all keys appear to be of equivalent strength and are usable for double encryption (i.e., no key appears to be its own inverse).

2.2 Semi-Weak Keys

None known. In the CAST-256 cipher, there appear to be no pairs of keys which cannot be used for double encryption (i.e., there do not appear to be pairs of keys k_i and k_j such that k_i is the inverse of k_i).

2.3 Fixed Points of a key K

None known. From all evidence available thus far in the open literature, fixed points have only been easily found (i.e., requiring a level of effort for an *n*-bit block cipher of roughly $2^{n/2}$ operations rather than 2^n operations) in DES-like ciphers for weak and semi-weak keys. It therefore appears that CAST-256 has no easily-found fixed points for any key.

2.4 Equivalent Keys

None known. The key schedule defines a cipher with a fixed key (i.e., a permutation over the input space) so for two different CAST-256 initial keys to produce identical sets of round keys, the different cipher inputs would have to map to round function outputs (in every relevant round) that differed only in the 108 bits *not* used to produce round key bits. The probability of this occurring in each octave that produces round keys is $2^{108}/2^{256} = 2^{-148}$, so the probability that this occurs over the full set of round keys is $2^{-148*12} = 2^{-1776}$ (essentially zero, since there are only 2^{256} possible initial keys).

2.5 Restrictions on Key Selection

None known. The key scheduling algorithm defines a symmetric block cipher with a fixed key where the CAST-256 primary key is used as the plaintext input. Because in this symmetric block cipher there are no restrictions on the input space (i.e., any plaintext can be encrypted), it follows that no restrictions are placed upon selection of CAST-256 primary keys.

2.6 Complementation Properties

None known. There appear to be no complementations of combinations of plaintext, key, and ciphertext that lead to identities. This is due to the complexity of the key scheduling operation (so that complementing the primary key leads to random-looking changes to all round keys) and also to the use of multiple operations to combine data, key, and s-boxes within the round functions (XOR, rotation, and addition and subtraction modulo 2^{32}).

3. Statement Regarding Trap-Doors

None known. There are several reasons to feel confident that there are no trap-doors in this cipher.

- CAST-256 uses the four round function s-boxes in CAST-128. The design criteria and construction procedure for these s-boxes have been published [A97, MA96] and the specific s-boxes themselves have been examined by a number of researchers.
- CAST-256 uses the three round functions in CAST-128. The design criteria for these round functions have been published [A97] and the specific round functions themselves have been examined by a number of researchers. Furthermore, the complexity introduced by the mixed operations in the round functions would seem to make it difficult to insert a trap-door of any kind.
- CAST-256 uses 48 rounds. Inserting a non-obvious trap-door that will carry through 48 rounds of the cipher would seem to be a formidable task.
- CAST-256 uses a significantly more complex key scheduling algorithm than DES. A trap-door in the final round that allows the attacker (i.e., the one knowing this trap-door) to recover information about the final round key will be of little help in deriving either other round keys or the primary key. This contrasts with DES in which knowledge of any round key gives knowledge of the primary key with only a brute-force search over 8 bits of key.

4. Publications Discussing or Analyzing Aspects of the CAST Design Procedure

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[Please note that a number of the above papers are available at the following location: http://adonis.ee.queensu.ca:8000/cast/]

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CAST-256 Computational Efficiency

1. Efficiency Estimates for the NIST AES Analysis Platform

1.1 Platform Description

IBM-compatible PC, with an Intel Pentium Pro Processor, 200MHz clock speed, 64MB RAM, running Windows95.

1.2 Speed Estimates (in clock cycles)

128/128	<u>192/128</u>	256/128
1790	1790	1790
1790	1790	1790
9090	9090	9090
0	0	0
9090	9090	9090
	1790 1790 9090 0	1790 1790 1790 1790 9090 9090 0 0

1.3 Tradeoffs Between Speed and Memory

For environments in which memory is not a scarce resource, s-boxes S_1 and S_2 can be combined into three 16×32 s-boxes (one corresponding to $S_1 \oplus S_2$, one corresponding to S_1 - S_2 , and one corresponding to S_1+S_2 , for each of the three round function types). This saves one memory lookup and combining operation per round, which will result in a modest performance increase.

2. Efficiency Estimates for 8-Bit Processors

2.1 Platform Description

Motorola 6811 microprocessor, 2MHz clock speed, assembly language implementation.

2.2 Speed Estimates (in clock cycles)

<u>Operation</u>	128/128	<u>192/128</u>	256/128
Encrypt one data block:	26000	26000	26000
Decrypt one data block:	26000	26000	26000
Key setup:	110000	110000	110000
Algorithm setup:	0 ms	0 ms	0 ms
Key change:	110000	110000	110000

2.3 Tradeoffs Between Speed and Memory

None known.

3. Efficiency Estimates for Other Platforms

3.1 Platform Description

IBM-compatible PC, with an Intel Pentium II Processor, 300MHz clock speed, 128MB RAM, running Windows NT 4.0, assembly language implementation.

3.2 Speed Estimates (in clock cycles)

<u>Operation</u>	<u>128/128</u>	<u>192/128</u>	256/128
Encrypt one data block:	815	815	815
Decrypt one data block:	815	815	815
Key setup:	4130	4130	4130
Algorithm setup:	0	0	0
Key change:	4130	4130	4130

3.3 Tradeoffs Between Speed and Memory

For environments in which memory is not a scarce resource, s-boxes S_1 and S_2 can be combined into three 16×32 s-boxes (one corresponding to $S_1 \oplus S_2$, one corresponding to S_1 - S_2 , and one corresponding to S_1+S_2 , for each of the three round function types). This saves one memory lookup and combining operation per round, which will result in a modest performance increase.

4. Efficiency Estimates for Other Platforms

4.1 Platform Description

Sun UltraSparc 1, 167MHz clock speed, 124MB RAM, running Solaris 2.5.

4.2 Speed Estimates (in clock cycles)

<u>Operation</u>	<u>128/128</u>	<u>192/128</u>	256/128
Encrypt one data block:	1180	1180	1180
Decrypt one data block:	1180	1180	1180
Key setup:	5830	5830	5830
Algorithm setup:	0	0	0
Key change:	5830	5830	5830

4.3 Tradeoffs Between Speed and Memory

For environments in which memory is not a scarce resource, s-boxes S_1 and S_2 can be combined into three 16×32 s-boxes (one corresponding to $S_1 \oplus S_2$, one corresponding to S_1 - S_2 , and one corresponding to S_1 + S_2 , for each of the three round function types). This saves one memory lookup and combining operation per round, which will result in a modest performance increase.

5. General Efficiency Comments

As will be noted in the tables given above, CAST-256 has the following features:

- it requires no algorithm setup time (e.g., there is no need to generate s-boxes or other tables, and no need to pre-compute values);
- decryption performance is identical to encryption performance;
- key change time is identical to key setup time;
- there is no penalty for key size differences (i.e., encryption / decryption performance and key setup performance are unaffected by whether the primary key is 128 bits, 256 bits, or a value in between).

CAST-256 Algorithm Advantages and Limitations

1. Advantages

The CAST-256 cipher has a number of advantages compared with other algorithms found in the open literature, including the following.

- Speed: the cipher has very good encryption / decryption performance and an acceptable key set-up time for most environments.
- History: the s-boxes and round functions have been examined in detail by a number of cryptographers and cryptanalysts in the context of CAST-128.
- Simplicity: the operations used in the cipher (XOR, addition, subtraction, rotation) are all simple, available, and fast on typical computing platforms.
- Identical Operation: encryption and decryption are identical operations, requiring a simple reversal in the order of the round keys.
- Fixed Speed: the encryption / decryption speed is unaffected by a change in key size (from 128 bits to 256 bits).
- Secure: quite conservative analysis indicates that the cipher is as strong as its key size.

2. Limitations

For some specific environments, the following may be seen as limitations of the CAST-256 cipher.

- Memory: the 4 Kilobytes of total storage required for the CAST-256 s-boxes may be too high for some environments with very constrained resources.
- Key Set-Up Time: the time to generate the set of round keys from the primary key (four times the time required to encrypt a single block of data), although comparable to DES, may be too slow for some very high-speed environments that need to change keys very frequently.
- Rotation Operation: the key-dependent rotation operation in the CAST-256 round function may be too slow for some environments that cannot do a multi-bit rotation in a single machine instruction.

3. Implementation for Various Purposes

3.1 Stream Cipher

No limitations known (when run in any of the various approved stream cipher modes of operation, such as OFB-*n* and CFB-*n*). This may, however, be slower than an algorithm designed specifically as a stream cipher (rather than a block cipher).

3.2 MAC Generator

No limitations known (when run in any of the various approved modes of operation for Message Authentication Codes). It is possible, however, that any block cipher used as a MAC generator may be less secure than an algorithm designed specifically for MAC generation (such as HMAC).

3.3 Pseudo-Random Number Generator

No limitations known (when run in any of the various approved PRNG modes of operation, such as a feedback mode). It is noted, however, that it is typically very difficult to prove anything formally about the security of the resulting PRNG (in contrast, for example, with some of the literature on provably-secure pseudo-random number generators).

3.4 Hashing Algorithm

No limitations known (when run in any of the various approved hashing modes of operation). This will, however, typically be slower than an algorithm designed specifically as a hashing algorithm, such as SHA-1 or RIPEMD-160.

4. Implementation in Various Environments

4.1 Smart Cards, 8-Bit Processors

The size of the s-boxes (4 Kilobytes in total) may be too large for some very constrained current environments. However, this problem is expected to diminish/disappear in the next generation or two of these processors as Moore's Law continues to operate.

4.2 ATM

No limitations known.

4.3 HDTV

No limitations known.

4.4 B-ISDN

No limitations known.

4.5 Voice Applications

No limitations known.

4.6 Satellite Applications

No limitations known.

5. Other Key and Block Sizes

Aside from the required 128-bit block size and the 128-, 192-, and 256-bit key sizes, the CAST-256 cipher supports key sizes of 160 bits and 224 bits.

Furthermore (although this is not specified explicitly in this submission), the framework of the cipher is flexible and can support other block sizes in multiples of 32. For example, it would be possible to specify parameters (e.g., number of rounds and appropriate key sizes) for block sizes of 96, 160, 192, 224, and 256 bits.

6. Other Advantageous Features

The method used to double the block size of CAST-128 (the extended Feistel framework) is intuitively appealing. It is a clear and simple extension of the basic Feistel network with which most researchers in the field are familiar. This network — popularized by, and intensely studied as, the foundation of the Data Encryption Standard — has come to be trusted by many as a secure structure and forms the basis for a number of cryptographic algorithms in the open literature. The extension builds upon this trust in a natural and readily-analyzable way.