

# ***Orthogonal geodesic chords on Riemannian manifolds with concave boundary and applications***

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- ⑥ multiple *homoclinic orbits* for a class of Lagrangian systems

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$C^2$ -open condition

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**Lemma.**  $\bar{\Omega}$  strongly concave  $\implies \bar{\Omega}$  concave.

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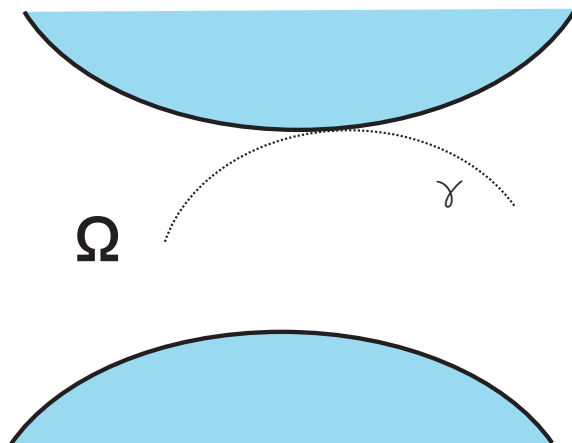
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geodesics starting tangentially to  $\partial\Omega$  move inside  $\Omega$

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Observe:  $\text{Hess}(\phi) = -\mathcal{S}_{\nabla\phi}$  on  $T(\partial\Omega)$ .

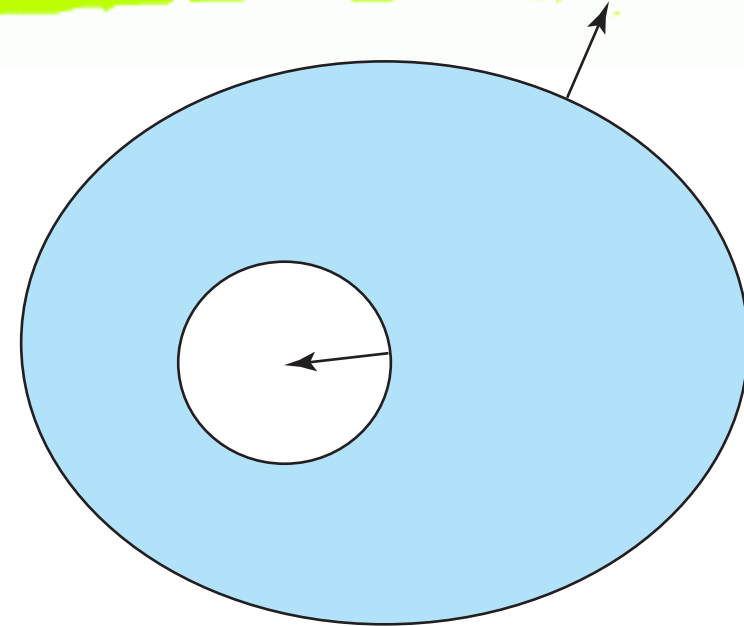
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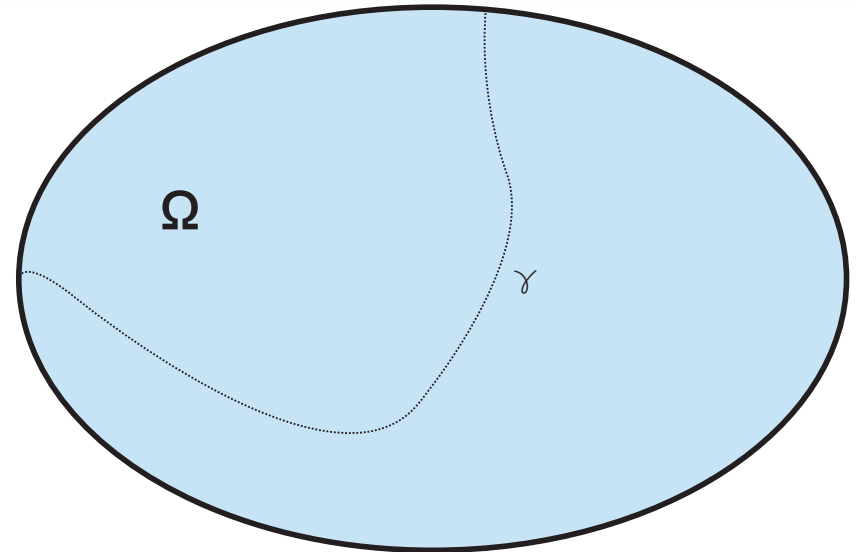
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[Back to proof](#)

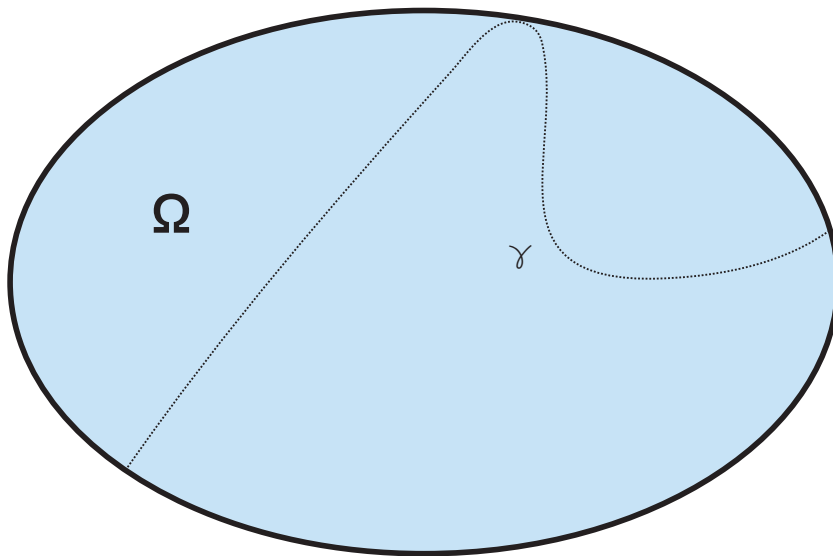
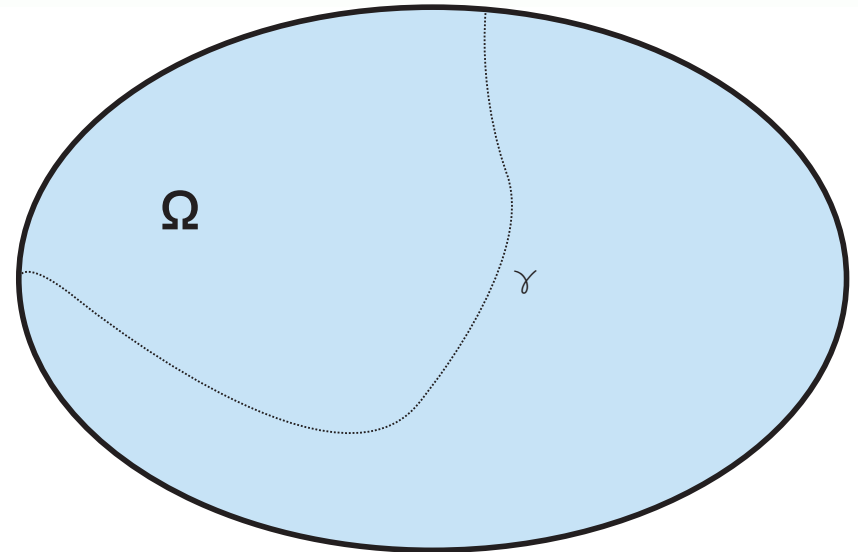
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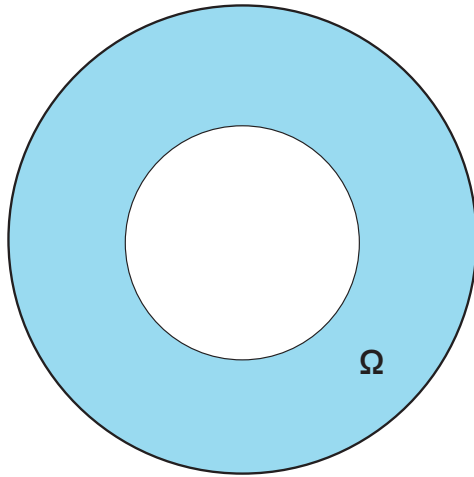
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A **weak** orthogonal geodesic chord (**WOGC**).

**WOGC's do not exist in the convex case.**

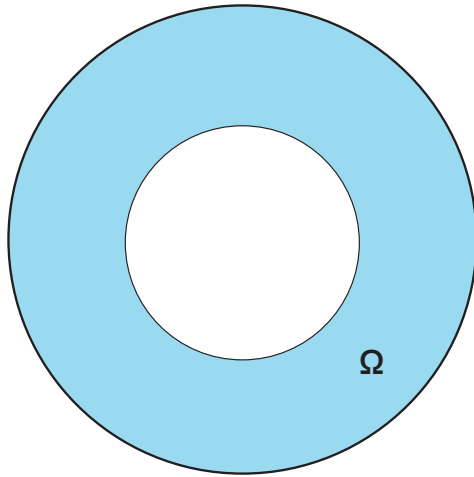
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An OGC is *crossing* if its endpoints are in distinct connected components of  $\partial\Omega$ . It is easy to prove the existence of *one* crossing OGC whose length equals the distance between the two connected components of  $\partial\Omega$ .

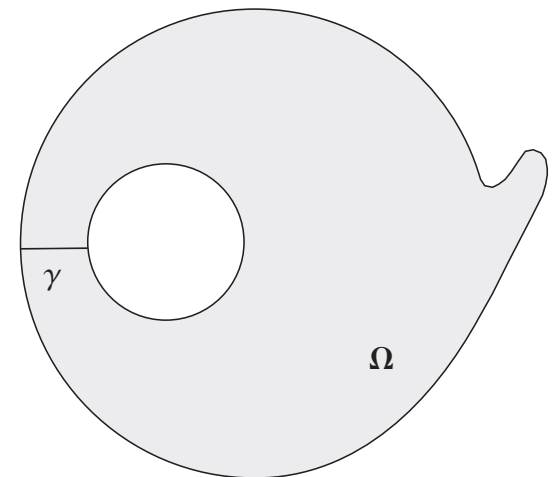
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There may be *only one* OGC:



## *Some examples – 2*

If  $\bar{\Omega}$  is **convex**, then it is proven the existence of at least **two** crossing OGC's (Giannoni-Majer, DGA 1997).



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This is an optimal result

(in all dimensions):

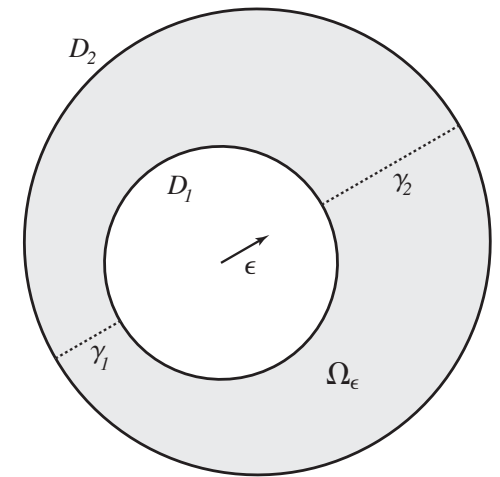
$$g(x) = \psi(|x|) \cdot g_0(x), \quad \psi : \mathbb{R}^+ \rightarrow \mathbb{R}^+$$

Euclidean metric

convexity of the annulus

$$\frac{1}{2}\psi'(1) + \psi(1) \geq 0, \quad \psi'(2) + \psi(2) \leq 0.$$

$$\Omega_\varepsilon = \{x \in \mathbb{R}^m : 1 < |x|, |x - \varepsilon| < 2\}.$$



[\(Back to the central result\)](#)

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- ⑥ if by absurd  $\exists \delta_n \rightarrow 0$  and a sequence  $\gamma_n$  of WOGC's in  $\phi^{-1} ( ]-\infty, -\delta_n[ )$ , then one would get infinitely many OGC's in  $\Omega$ . **QED**

# *The main geometrical result*

**Theorem.** (Giambò, Giannoni, Piccione)

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**Obs.:** Again, the result is *optimal*. Recall example above (with opposite strict inequalities!).

# Central symmetry

**Def.:**  $(M, g)$  Riemannian man.,  $A \subset M$  is *centrally symmetric around*  $x_0 \in M$  if exists an isometry  $I : M \rightarrow M$ , with  $I^2 = \text{id}$ , whose unique fixed pt is  $x_0$ , and such that  $I(A) = A$ .

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**Theorem.** Under the assumptions of the above theorem, if  $\bar{\Omega}$  is centrally symmetric around some  $x_0$ , then there are at least  $m = \dim(M)$  geometrically distinct OGC's  $\gamma_1, \dots, \gamma_m$  in  $\bar{\Omega}$ .

# *A short history of the problem*

Two classical results:

⑥ **Ljusternik and Schnirelmann, 1932:**

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at least  $n$  OGC's in convex Riemannian manifolds homeomorphic to an  $n$ -disk.

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Two classical results:

- ⑥ **Ljusternik and Schnirelmann, 1932:**  
there are at least  $n$  principal chords in a compact convex subset of the  $n$ -dimensional Euclidean space having  $C^2$  boundary
- ⑥ **W. Bos, *Kritische Sehenen auf Riemannischen Elementarraumstücken*, Math. Ann. 1963**  
at least  $n$  OGC's in convex Riemannian manifolds homeomorphic to an  $n$ -disk.
- ⑥ **F. Giannoni, P. Majer, *On the effect of the domain about the multiplicity of the orthogonal geodesic chords*, Diff. Geom. Appl. 1997**

# *The topology of the manifold*

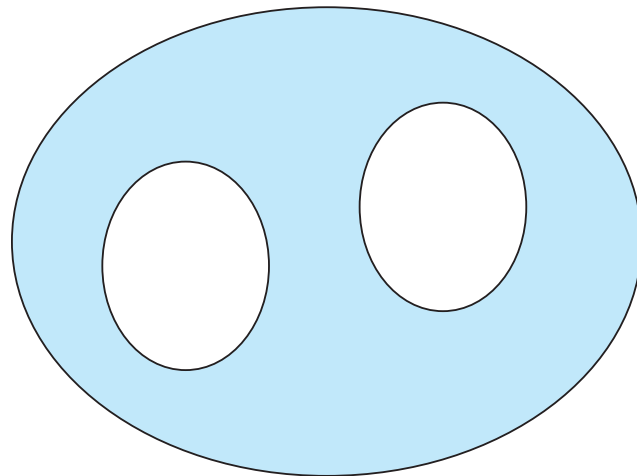
## G & M's result:

- ⑥ if the manifold is homeomorphic to an *annulus* and it is convex, then there are at least **two** OGC's;
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← Example

# ***Geodesics chords, homoclinics and brake orbits: a short bibliography***

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These results will be reviewed later.

## *More bibliography*

- ⑥ **P. H. Rabinowitz**, *Periodic and Eteroclinic Orbits for a Periodic Hamiltonian System*, Ann. Inst. H. Poincaré 1989.

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- ⑥ ... lots more...

# ***Bibliography for this course***

- ⑥ R. Giambò, F. Giannoni, P. Piccione,
  - △ *Orthogonal Geodesic Chords, Brake Orbits and Homoclinic Orbits in Riemannian Manifolds*, Advances in Diff. Eq. 2005.
  - △ *On the multiplicity of brake orbits and homoclinics in Riemannian manifolds*, Acc. Lincei, 2005.
  - △ *Multiple brake orbits and homoclinics in Riemannian manifolds*, preprint 2004.



# Ljusternik–Schnirelman category

**Def.:**  $\mathcal{X}$  top. space,  $\mathcal{Y} \subset \mathcal{X}$  is *contractible in  $\mathcal{X}$*  if  $i : \mathcal{Y} \rightarrow \mathcal{X}$  is homotopic to a constant.

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We prove two *deformation lemmas* for the sublevels of  $\mathcal{F}$ , and we prove a **(PS) condition** for  $\mathcal{F}$ , obtaining the existence of  $\text{cat}(\mathfrak{C}) = \text{cat}(S^{m-1}) = 2$  distinct critical values of  $\mathcal{F}$ .

For the *symmetric case*, a lower estimate is given by  $\text{cat}(\mathbb{R}P^{m-1}) = m$ .

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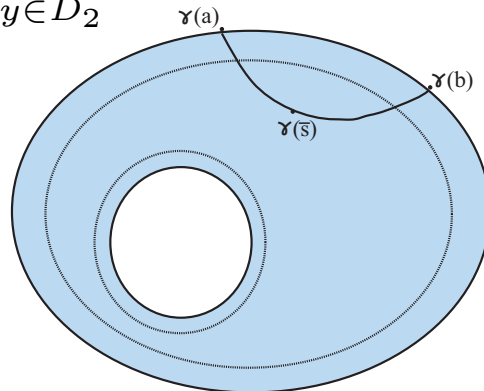
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⑥  $\rho_0 = \min_{\substack{x \in D_1 \\ y \in D_2}} \text{dist}(x, y)$ ,  $K_0 = \max_{\phi^{-1}(]-\infty, \delta_0])} \|\nabla\phi\| < +\infty$ .



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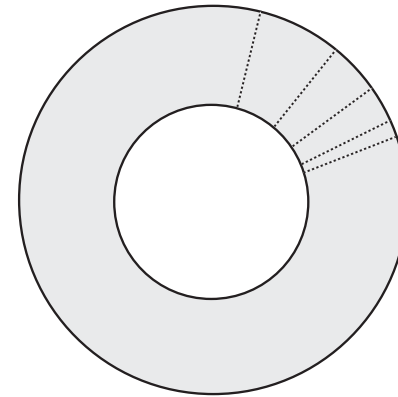
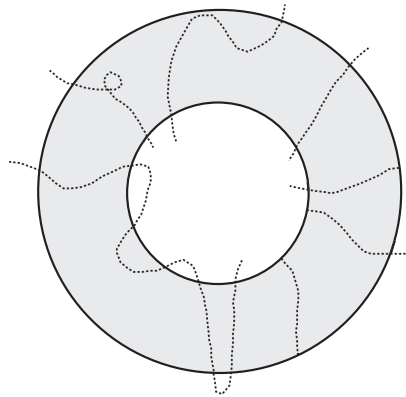
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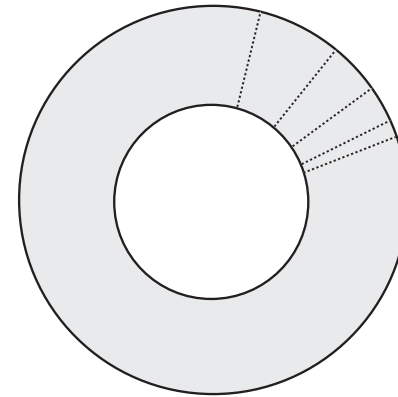
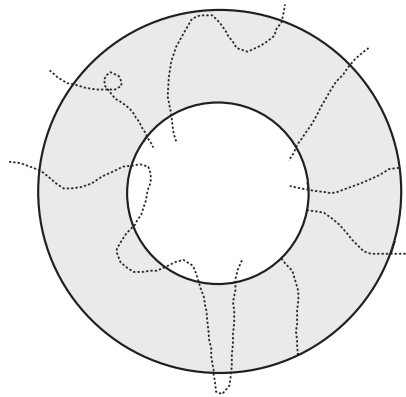
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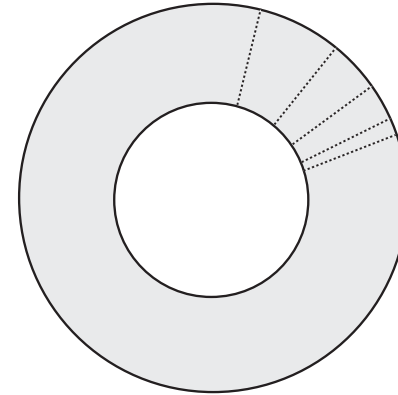
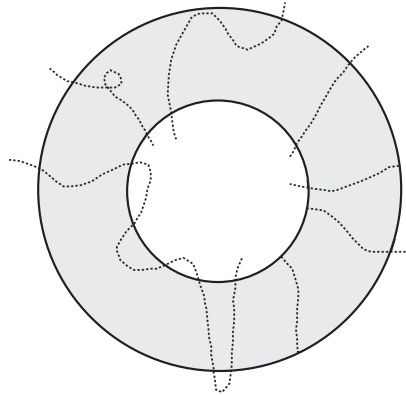
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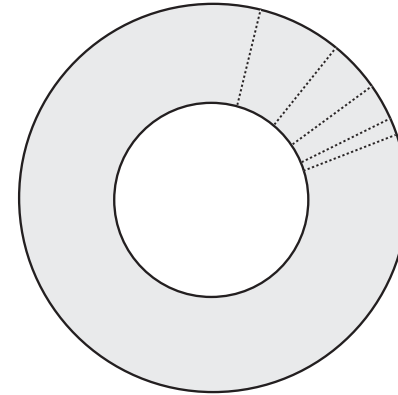
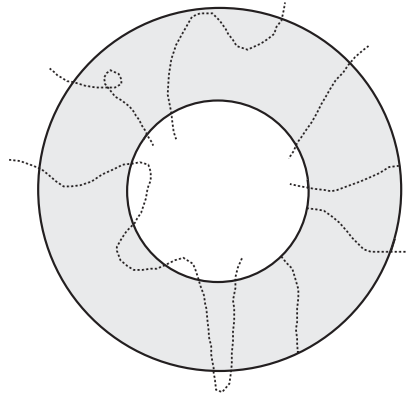
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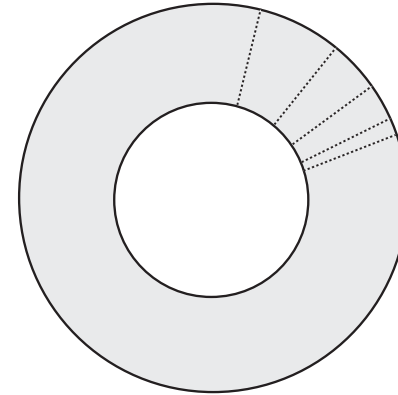
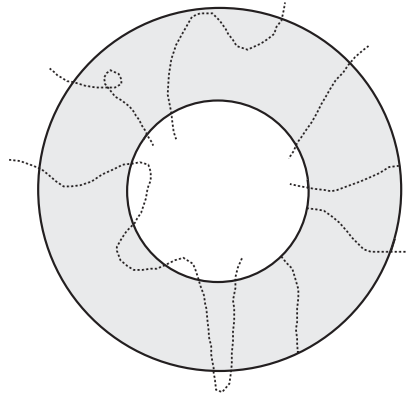
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# Geometrically and variationally critical points

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first variation of the geodesic action function

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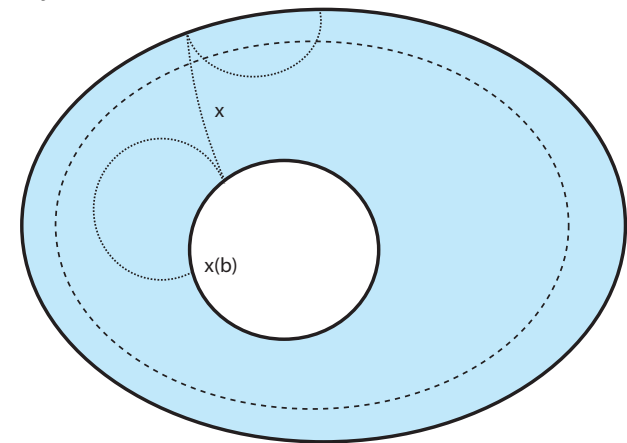
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**Prop.:**  $x \in \mathfrak{M}$ ,  $[a, b] \in \mathcal{J}_x^0$  such that  $x|_{[a,b]}$  is an irregular **VCP**. Then,  $\exists [\alpha, \beta] \subset [a, b]$  s.t.  $x|_{[\alpha, a]}$  and  $x|_{[b, \beta]}$  are constant in  $\partial\Omega$ ,  $\dot{x}(\alpha^+), \dot{x}(\beta^-) \in T(\partial\Omega)^\perp$ , and one of the two occurs:

- ⑥  $\exists$  a finite number of intervals  $[t_1, t_2] \subset [\alpha, \beta]$  s.t.  $x|_{[t_1, t_2]} \subset \partial\Omega$  that are maximal w.r. to this property; moreover,  $x|_{[t_1, t_2]}$  is constant, and  $\dot{x}(t_1^-) \neq \dot{x}(t_2^+)$ .
- ⑥  $x|_{[\alpha, \beta]}$  is a crossing **OGC** in  $\bar{\Omega}$ .    **second type**    **first type**

**Note:** if  $x|_{[a,b]}$  is a regular VCP, with  $[a, b] \in \mathcal{J}_x^0$ , then  $x|_{[a,b]}$  is a crossing OGC.

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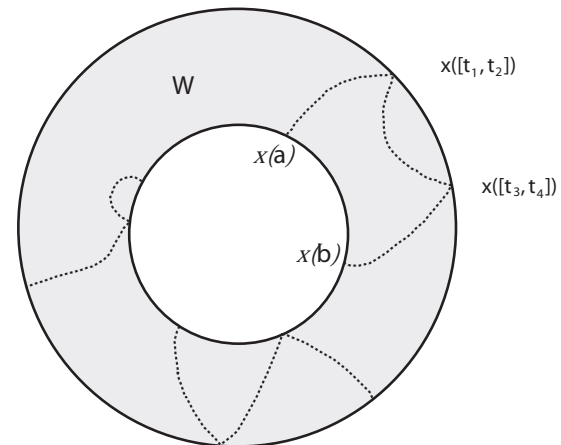
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[ $t_1, t_2$ ] cusp interval of the irregular variationally critical portion of  $x$



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**Cor.:**  $\exists d_0 > 0$  such that  $\max \Theta_x(t_1, t_2) \geq d_0$ , the max being taken over all  $x \in \mathfrak{M}$ , all  $M_0$ -intervals  $[a, b] \in \mathcal{J}_x^0$  s.t.  $x|_{[a,b]}$  is an irregular **VCP** of  $x$ , and all  $[t_1, t_2] \subset [a, b]$  cusp interval.

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**Proof.** Uses in a crucial way the fact that there is no WOGC.

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The corollary tells us, in particular, that the **(VCP)**'s of first and of second type are *far from each other*.

# *The classical Palais–Smale condition*

Let  $\mathcal{X}$  be a smooth Banach manifold, and let  $f : \mathcal{X} \rightarrow \mathbb{R}$  be a  $C^1$ -map.

$f$  satisfies the (classical) Palais–Smale condition if every sequence  $(x_n) \subset \mathcal{X}$  such that:

- ⑥  $f(x_n)$  is bounded;
- ⑥  $df(x_n) \rightarrow 0$  as  $n \rightarrow \infty$ ,

admits a converging subsequence in  $\mathcal{X}$ .

# The Palais–Smale condition

For  $[a, b] \subset [0, 1]$ , consider the set  $\mathcal{Z}_{a,b}$  of curves in  $\mathfrak{M}$  s.t.  $x|_{[a,b]}$  is a **VCP**, not necessarily contained in  $\bar{\Omega}$ :

$$\mathcal{Z}_{a,b} = \left\{ y : [a, b] \rightarrow \phi^{-1}(-\infty, \delta_0] : \int_a^b g\left(\dot{y}, \frac{D}{dt}V\right) dt \geq 0 \forall V \in \mathcal{V}^+(y) \right\}$$

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The following result plays the role of the classical Palais–Smale condition in our context:

**Proposition (PS):** For all  $r > 0$ ,  $\exists \theta(r), \mu(r) > 0$  with the following properties: for all  $x \in \mathfrak{M}$  and all  $[a, b] \in \mathcal{J}_x^0$  s.t.

- (a)  $\frac{1}{2} \int_a^b g(\dot{x}, \dot{x}) dt \leq M_0$ ,
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- ⊗  $\int_a^b g(\dot{x}, \frac{D}{dt}V_x) dt \leq -\mu(r)\|V_x\|_{a,b}$ .



# Preparation for the Deformation

## Lemmas 1

By the compactness of  $\phi^{-1}(]-\infty, \delta_0])$ ,  $\exists \ell_0, L_0 > 0$  s.t., denoting by  $\|\cdot\|_E$  the Euclidean norm and by  $\|\cdot\|$  the  $g$ -norm,

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Moreover,  $\exists G_0, L_1 = L_1(M_0) > 0$  s.t.

$$|g_x(v_1, v) - g_z(v_2, v)| \leq G_0 (\|v_1 - v_2\|_E \|v\|_E + \|x - z\|_E \|v_1\|_E \|v\|_E),$$

for all  $x, z \in \phi^{-1}([-\infty, \delta_0])$  and for any  $v_1, v_2, v \in \mathbb{R}^m$ , and

$$\left( \int_a^b \left\| \frac{D}{ds} V \right\|_E^2 ds \right)^{1/2} \leq L_1 \|V\|_{a,b}$$

for all  $x \in \mathfrak{M}$  s.t.  $\frac{1}{2} \int_a^b g(\dot{x}, \dot{x}) ds \leq M_0$ , for all  $V \in H^1([a, b], \mathbb{R}^N)$  along  $x$ , and for any  $[a, b] \subset [0, 1]$ .

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For  $a, b \in [0, 1]$ , denote by  $I_{a,b}$  the interval  $[a, b]$  if  $b \geq a$  and the interval  $[b, a]$  if  $b < a$ ; set:

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$$\left| \int_a^b g_x(\dot{x}, \frac{D}{dt} V) dt - \int_{a_z}^{b_z} g_z(\dot{z}, \frac{D}{dt} V) dt \right| \leq \sqrt{2} \left( \sqrt{L_0 K} + G_0 \|x - z\|_{a_z, b_z} \left( 1 + \sqrt{\frac{M_0 + K}{\ell_0}} \right) \right) L_1 \|V\|_{0,1},$$

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Define:  $E(r) = \frac{\mu(r)^2}{32L_1^2 L_0}.$

# Construction of local vector fields

**Prop.:** For  $r > 0$ , let  $\theta(r), \mu(r) > 0$  be as in PS. For all  $x \in \mathfrak{M}$  and for all  $[a, b] \in \mathcal{J}_x^0$  for which (a) and (b) of PS hold, let  $V_x$  be the vector field in PS. Extend  $V_x$  to  $[0, 1]$  making it constant outside  $[a, b]$ .

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  - (i)  $g(\nabla \phi(z(s)), V_x(s)) \geq \frac{1}{2} \theta(r) \|V_x\|_{\alpha_x, \beta_x}$  for all  $s \in [\alpha_x, \beta_x]$  with  $0 \leq \phi(z(s)) \leq \frac{1}{2} \delta_0$ ;
  - (ii)  $\sup_{s \in [\alpha_x, \beta_x]} \phi(z(s)) \leq \frac{1}{2} \delta_0$ ;
- ⑥ for all  $z \in \mathfrak{M}$ , for all  $[a_z, b_z] \in \mathcal{J}_z$  with  $[a_z, b_z] \subset [\alpha_x, \beta_x]$ , with  $\|x - z\|_{a_z, b_z} < \rho(x)$  and with  $\mathcal{D}(x, a_z, b_z, a, b) < E(r)$ , then:
 
$$\int_{a_z}^{b_z} g\left(\dot{z}, \frac{D}{dt} V_x\right) dt \leq -\frac{1}{2} \mu(r) \|V_x\|_{\alpha_x, \beta_x}.$$

## ***Interpretation of the constant $E(r)$***

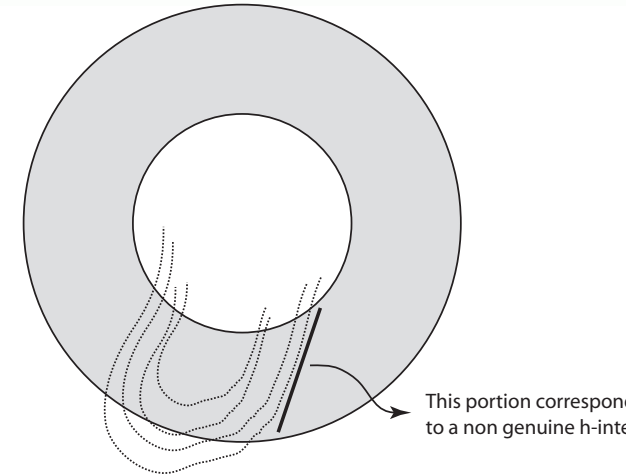
By the definition of  $D(x, a_z, b_z, a, b)$ , the number  $E(r)$  gives a bound on the admissible difference between the energy of  $x|_{[a,b]}$  and  $x|_{[a_z,b_z]}$ , to obtain a rate of decrease  $\mu(r)/2$  for the quantity  $\frac{1}{2} \int_{a_z}^{b_z} g(\dot{z}, \dot{z}) ds$ , when  $\|x - z\|_{a_z, b_z} < \rho(x)$ .

# “Genuine” crossing intervals

**Def.:**  $\mathcal{D} \subset \mathfrak{C}$ ,  $h : [0, 1] \times \mathcal{D} \xrightarrow{C^0} \mathfrak{M}$ ,  $\gamma \in \mathcal{D}$ ,  $\tau \in [0, 1]$ . An interval  $[a_\tau, b_\tau] \in \mathcal{J}_{h(\tau, \gamma)}$  is *h-genuine* if for all  $\tau' \in [0, \tau]$  there exists  $[a_{\tau'}, b_{\tau'}] \in \mathcal{J}_{h(\tau', \gamma)}$  such that  $[a_\tau, b_\tau] \subset [a_{\tau'}, b_{\tau'}]$ .

For  $(\mathcal{D}, h) \in \tilde{\mathcal{H}}$  and  $z \in h(1, \mathcal{D})$ , set:

$$\mathcal{J}_z^h = \{ [a, b] \in \mathcal{J}_z : [a, b] \text{ is } h\text{-genuine} \}$$

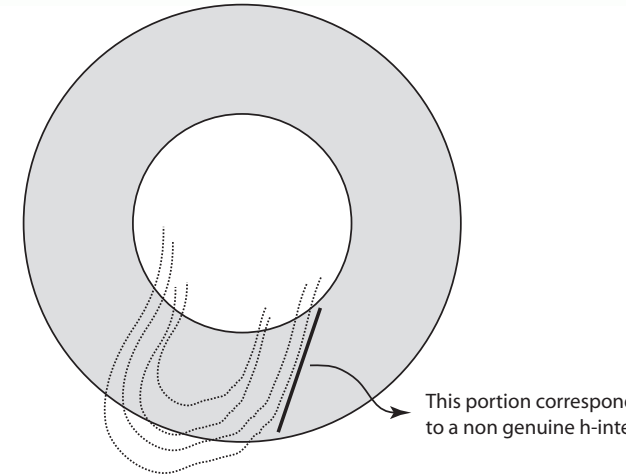


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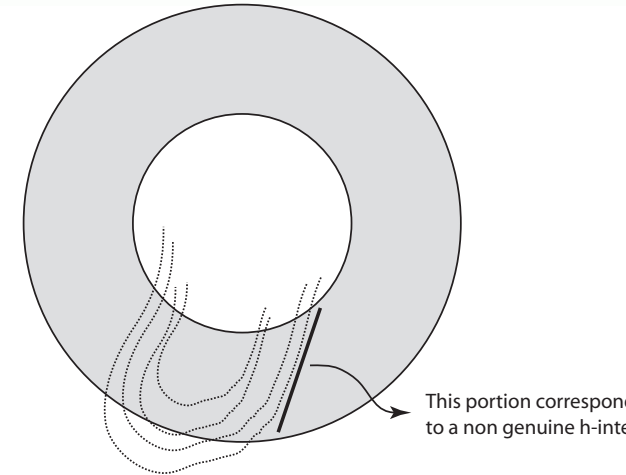
$$\hat{\mathcal{J}}_z^h(\mathcal{D}) = \left\{ [a, b] \subset [0, 1] : \forall s \in [a, b] \exists [\alpha, \beta] \subset [a, b] \text{ such that } s \in [\alpha, \beta] \right. \\ \left. \text{and there exists } (z_n) \subset h(1, \mathcal{D}) \text{ and } [\alpha_n, \beta_n] \in \mathcal{J}_{z_n}^h \text{ such that } \right. \\ \left. z_n|_{[\alpha_n, \beta_n]} \rightarrow z|_{[\alpha, \beta]}, \text{ and } [a, b] \text{ is maximal w.r. to such property} \right\}$$

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**Obs.:**  $\hat{\mathcal{J}}_z^h(\mathcal{D})$  is always non empty. If  $z \in h(1, \mathcal{D})$  and  $[a, b] \in \mathcal{J}_z^h$ , then  $[a, b] \in \hat{\mathcal{J}}_z^h(\mathcal{D})$ .

# Admissible homotopies

**Def.:** A set of *admissible homotopies*  $\mathcal{H}$  of our variational problem (that will be used in a crucial preparatory deformation result) consists of all continuous maps  $h : [0, 1] \times \mathcal{D} \rightarrow \mathfrak{M}$ , with  $\mathcal{D}$  closed subset of  $\mathcal{C}$ , such that:



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**Obs. 2:** There exists  $N > 0$  (independent of  $x$ ,  $\mathcal{D}$  and  $h$ ) such that  $|\widehat{\mathcal{J}}_z^h(\mathcal{D})| \leq N$ .

# Concatenation of homotopies

$F_1, F_2 \subset \mathfrak{M}$  closed sets

$$h_i : [0, 1] \times F_i \xrightarrow{C^0} \mathfrak{M}, \quad i = 1, 2$$

If  $h_1(1, F_1) \subset F_2$ , then one defines the **concatenation**:

$$h_1 \star h_2 : [0, 1] \times F_1 \longrightarrow \mathfrak{M}$$

$$h_1 \star h_2(t, x) = \begin{cases} h_1(2t, x), & \text{if } t \in [0, \frac{1}{2}]; \\ h_2(2t - 1, h_1(1, x)), & \text{if } t \in ]\frac{1}{2}, 1]. \end{cases}$$

## *The functional $\mathcal{F}$*

Consider the following functional  $\mathcal{F} : \mathcal{H} \rightarrow \mathbb{R}^+$ :

$$\mathcal{F}(\mathcal{D}, h) = \sup \left\{ \frac{b-a}{2} \int_a^b g(\dot{x}, \dot{x}) dt : x \in h(1, \mathcal{D}), [a, b] \in \hat{\mathcal{J}}_x^h(\mathcal{D}) \right\}$$



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# An “outward pushing” deformation

## Lemma



$$\mathcal{Z}_{a,b}^1 = \left\{ y \in H^1 \left( [a, b], \phi^{-1} ( ]-\infty, \delta_0[ ) \right) : y|_{[a,b]} \text{ is an OGC,} \right.$$

or  $y|_{[a,b]}$  is an irregular variational portion of first type  $\left. \right\}$

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**Prop.:** Let  $r > 0$  and  $0 < c_1 < c < c_2$  be fixed. Then there exists  $\varepsilon_0 = \varepsilon_0(r, c) > 0$  such that, for all  $(\mathcal{D}, h) \in \mathcal{H}$  satisfying:

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**Interpretation:** far from crossing OGC's and irregular VCP, the functional  $\mathcal{F}$  decreases along homotopies of  $\mathcal{H}$ .

# ***On the proof of the outward pushing deformation Lemma***

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- ⑥ in a small neighborhood of irregular VCP's of second type, one uses suitable *reparameterization flows*;
- ⑥ one uses the methods of [Degiovanni–Marzocchi](#) (AMPA 1994) to build a *global flow* using local flows.

## ***Flows far from VCP of first type***

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This can be done thanks to the following crucial regularity result, due to [Marino and Scolozzi](#) (Boll. UMI 1982):



## Flows far from VCP of first type

In order to obtain existence and multiplicity results for crossing OGC's in the strictly concave case, we must construct nonincreasing flows that *fasten* from the irregular VCP of first type.

This can be done thanks to the following crucial regularity result, due to [Marino and Scolozzi](#) (Boll. UMI 1982):

**THM.:** Let  $y \in H^1([a, b], \overline{\Omega})$  be such that

$$\int_a^b g\left(\dot{y}, \frac{D}{dt}V\right) dt \geq 0, \quad \forall V \in \mathcal{V}^-(y) \text{ with } V(a) = V(b) = 0.$$

Then  $y \in H^{2,\infty}([a, b], \overline{\Omega})$ , and in particular  $y$  is of class  $C^1$ .

## On the class $\tilde{\mathcal{H}}$

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- ⑥ Such homotopies  $h$  are constructed using vector fields in  $\mathcal{V}^-$ : they deform into curves far from irregular VCP's of first type, and the functional is not increasing by concatenation.

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4. for every  $x \in h(1, \mathcal{D})$ , and for every  $[a, b] \in \hat{\mathcal{J}}_x^h$ , it is  $\|H_0(1, x)|_{[a,b]} - y|_{[a,b]}\| \geq \bar{r}$  for any  $y \in \mathfrak{M}$  such that  $y|_{[a,b]}$  is an irregular VCP of first type.

# 1st Deformation Lemma

Combining the previous deformation Lemmas, one obtains:

**1st Deformation Lemma:** Let  $c$  be **geometrically regular value**. There exists  $\varepsilon = \varepsilon(c) > 0$  such that, for all  $(\mathcal{D}, h) \in \tilde{\mathcal{H}}$  with  $\mathcal{F}(\mathcal{D}, h) \leq c + \varepsilon$ , there exists a continuous map  $\eta : [0, 1] \times h(1, \mathcal{D}) \rightarrow \mathfrak{M}$  such that  $(\mathcal{D}, \eta \star h) \in \tilde{\mathcal{H}}$  and  $\mathcal{F}(\mathcal{D}, \eta \star h) \leq c - \varepsilon$ .

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**Corollary:** Each  $c_i$  is a geometrically critical value.

# Preparation for the 2nd Def. Lemma

Let  $r_* > 0$  be fixed and  $(\mathcal{D}, h) \in \tilde{\mathcal{H}}$ ; consider the set:

$$\mathcal{W} = \mathcal{W}(\mathcal{D}, h, r_*) = \left\{ x \in \mathfrak{M} : \exists [a, b] \in \hat{\mathcal{J}}_x^h(\mathcal{D}) \text{ and a crossing OGC } \gamma : [a, b] \rightarrow \bar{\Omega} \right. \\ \left. \text{s.t. } \max_{s \in [a, b]} \text{dist}(x(s), \gamma([a, b])) \leq r_* \right\}$$

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[\(back to 2DL\)](#)

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**Prop. 1:** Let  $c$  be a geometrically critical value. Then, there exists  $\varepsilon_* = \varepsilon_*(c) > 0$  such that, for all  $(\mathcal{D}, h) \in \tilde{\mathcal{H}}$  with  $\mathcal{F}(\mathcal{D}, h) \leq c + \varepsilon_*$ , there exists a continuous map  $\eta : [0, 1] \times h(1, \mathcal{D}) \rightarrow \mathfrak{M}$  such that  $(\mathcal{D}, \eta \star h) \in \tilde{\mathcal{H}}$  and

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**Prop. 2:** Assume that there are only a finite number of crossing OGC's from  $D_1$  to  $D_2$ , and assume that  $r_* > 0$  is so small so that properties (1) and (2) in the [page above](#) are satisfied. Then, for all  $(\mathcal{D}, h) \in \tilde{\mathcal{H}}$  there exists an open set  $\mathcal{A}$  of  $\mathfrak{C}$ , with  $h(1, \cdot)^{-1}(\mathcal{W}) \subset \mathcal{A}$ , that is *contractible* in  $D_1$ .

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**Corollary:** Assume that there is only a finite number of crossing OGC's from  $D_1$  to  $D_2$ . Then  $c_1 < c_2$ .

# ***Some old and new results***

We will now review some old and new results on periodic solutions of conservative dynamical systems.



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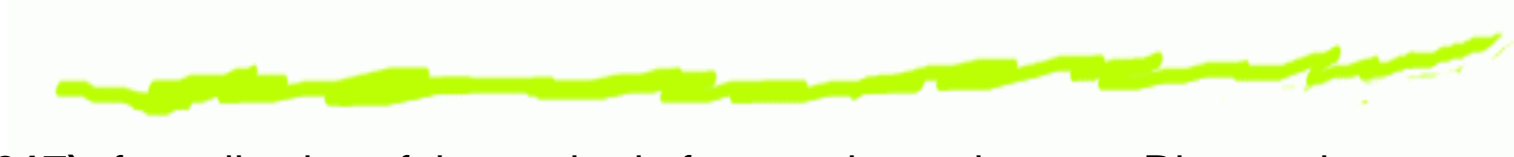
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The existence of closed geodesics is clear on an *intuitive ground*: rest position of an elastic string whose initial position is a non null-homotopic closed curve.

# *Curve shortening method*



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If  $c = c_0$  is a non null-homotopic curve, then the iterates  $c_{n+1} = D(c_n)$  must have a subsequence converging to  $c_\infty$ . By continuity:

$D(c_\infty) = D(\lim c_n) = \lim D(c_n) = \lim c_{n+1} = c_\infty$ , hence  $c_\infty$  is a closed geodesic.

**Minimax method:** existence of a **closed geodesic on a sphere** (with arbitrary metric)

- ⑥ apply the shortening method to a family of closed curves that cover simply a sphere;
- ⑥ consider the **longest** curve of the family after each shortening process;
- ⑥ a subsequence to this must converge to a closed geodesic, which is *not trivial*, because the sphere is not contractible.

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- ⑥ apply the curve shortening method to this family, and obtain a closed geodesic in  $M$  which is not trivial, due to the assumption that  $f$  represents a non zero element in  $\pi_k(M)$ .

# Classical Hamiltonian Systems

$$H : \mathbb{R}^{2n} \rightarrow \mathbb{R}, \quad H(q, p) = \frac{1}{2} \sum_{i,j=1}^n g^{ij} p_i p_j + V(q), \quad V : \mathbb{R}^n \rightarrow \mathbb{R}, \quad g^{ij} \text{ positive definite.}$$

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Its image in the configuration space oscillates back and forth along a curve in  $D$  with endpoints in  $\partial D$ .

**Obs.:** By the conservation of energy,  $p(0) = p(T) = 0$ . Since  $H$  is even in  $p$ , the solution can be continued to a  $2T$ -periodic solution according to the formulas:  $q(-t) = q(t)$ ,  $q(T + t) = q(T - t)$ ,  $p(-t) = -p(t)$ ,  $p(T - t) = -P(T - t)$  brake orbit

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**Proof:** apply the shortening method to a family of *diameters* of  $D$ . The main difficulty here is the fact that  $g_E$  vanishes on  $\partial D$ , and a *limit procedure* is employed to control the behaviour of geodesics near  $\partial D$ .

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$\mathbf{P} : \Sigma \xrightarrow{\cong} S^3$  radial projection (**picture**),

$$\vec{H} = \sum \left( \frac{\partial H}{\partial p_i} \frac{\partial}{\partial q_i} - \frac{\partial H}{\partial q_i} \frac{\partial}{\partial p_i} \right),$$

$d\mathbf{P}(\vec{H})$  is nowhere orthogonal to the Hopf vector field  $\sum \left( p_i \frac{\partial}{\partial q_i} - q_i \frac{\partial}{\partial p_i} \right)$

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“**Doubling trick**”: periodic solutions  $(x, y)$  of  $\dot{q}_i = \frac{\partial H}{\partial p_i}$ ,  $\dot{p}_i = -\frac{\partial H}{\partial q_i}$  with period  $2T$  correspond to pairs  $(\alpha, \beta)$  and  $(\xi, \eta)$  of solutions resp. of:

$$\begin{cases} \dot{q}_i = \frac{1}{2} \frac{\partial H}{\partial p_i}, \\ \dot{p}_i = -\frac{1}{2} \frac{\partial H}{\partial q_i} \end{cases} \quad \text{and} \quad \begin{cases} \dot{q}_i = -\frac{1}{2} \frac{\partial H}{\partial p_i}, \\ \dot{p}_i = \frac{1}{2} \frac{\partial H}{\partial q_i} \end{cases}$$

$$x_i(t/2) = \alpha_i(t), \quad x_i(-t/2) = \xi_i(t), \quad y_i(t/2) = \beta_i(t), \quad y_i(-t/2) = \eta_i(t).$$

$$Q_i = \frac{1}{2}(\alpha_i + \xi_i), \quad Q_{i+n} = \frac{1}{2}(\beta_i + \eta_i), \quad P_i = \sum_j \left[ \Omega_{ij}(\alpha_i - x_{ij}) + \Omega_{j+n}(\beta_i - \eta_i) \right],$$

$$(Q, P) \text{ satisfy the Hamilton equations of } G(Q, P) = \frac{1}{2} \left[ H(Q - \frac{1}{2}\Omega P) + H(Q + \frac{1}{2}\Omega P) \right]$$

$(Q, P)$  brake orbit for  $G$  iff  $(q, p)$  is a periodic solution of  $H$ .

**Proof of THM 1:** curve shortening method in [Finsler geometry](#).

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They also obtain a *multiplicity result* in the case that the  $E$ -sublevel of the potential is homeomorphic to a disk, under a certain [nonresonance assumption](#): the maximum diameter of the disk should have  $g_E$ -length smaller than twice the length of the shortest  $g_E$ -geodesic chord.

# The Hamiltonian problem

**Natural Hamiltonian:**  $H \in C^2(\mathbb{R}^{2m}, \mathbb{R}), :$

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Choose  $E > \inf V$  **regular value** of  $V$ ; set:

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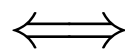
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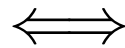
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# The Lagrangian problem

Let  $(M, g)$  be a Riemannian manifold

$V : M \rightarrow \mathbb{R}$  a  $C^2$ -map (*potential*).

The **Lagrangian problem (LP)** is the 2nd order equation:

$$\boxed{\frac{D}{dt}\dot{q} + \nabla V(q) = 0} \quad q : \mathbb{R} \rightarrow M.$$

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We need a Maupertuis–Jacobi principle for homoclinics.

# ***M–J principle for homoclinics***

**Thm.:**  $(M, g)$  Riemannian manifold,  $V \in C^2(M, \mathbb{R})$ ,  
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then  $\exists$  a diffeo  $\sigma : [0, +\infty[ \rightarrow [a, b[$  s.t.  $q = x \circ \sigma$  is a solution of **(LP)** with:

⊗  $q(0) = x(a)$

⊗  $\lim_{t \rightarrow +\infty} q(t) = x_0, \lim_{t \rightarrow +\infty} \dot{q}(t) = 0.$



# Jacobi distance from $\partial\Omega_E$

If  $E$  reg. value of  $V$ ,  $\overline{\Omega_E}$  compact, set  $d_E : \Omega \rightarrow [0, +\infty[$ :

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**Lem 4:** Set  $\psi = \frac{1}{2}d_E^2 : \Omega_E \rightarrow \mathbb{R}^+$ ; for  $y$  near  $\partial\Omega_E$ :

$$\text{Hess}(\psi)_y[v, v] > 0, \quad \text{for } v \neq 0 \text{ with } d\psi_y[v] = 0.$$

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**THM:**  $E$  reg. value of  $V$ ,  $\Omega_E$  compact. Then, exists  $\delta_* > 0$  s.t., setting  $\Omega_* = \{x \in \Omega_E : d_E(x) > \delta_*\}$  the following hold:

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**Lem 1:**  $\lambda_E(Q)$  is attained on some  $\gamma_Q$ ,  $g_E(\dot{\gamma}_Q, \dot{\gamma}_Q)$  constant,  $\gamma_Q([0, 1[) \subset \overline{\Omega_Q} \setminus \{x_0\}$ .

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**Lem 3:**  $\exists \hat{\rho} > 0$  s.t., setting  $\psi(y) = \frac{1}{2} \lambda_Q(y)^2$ , for  $\text{dist}(y, x_0) \leq \hat{\rho}$ :

$$\text{Hess}(\psi)_y[v, v] > 0, \quad \text{for } v \neq 0 \text{ with } d\psi_y[v] = 0.$$

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- ⑥ if  $V^{-1}(]-\infty, E[) \cup \{x_0\}$  and  $V$  are centrally symmetric around  $x_0$ , then so is  $\overline{\Omega}_*$ .

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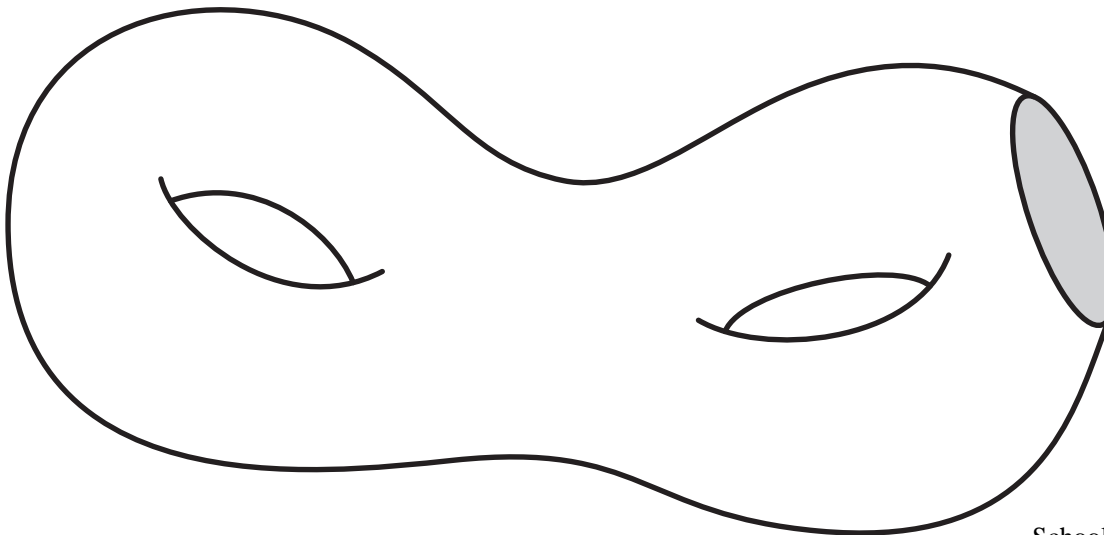
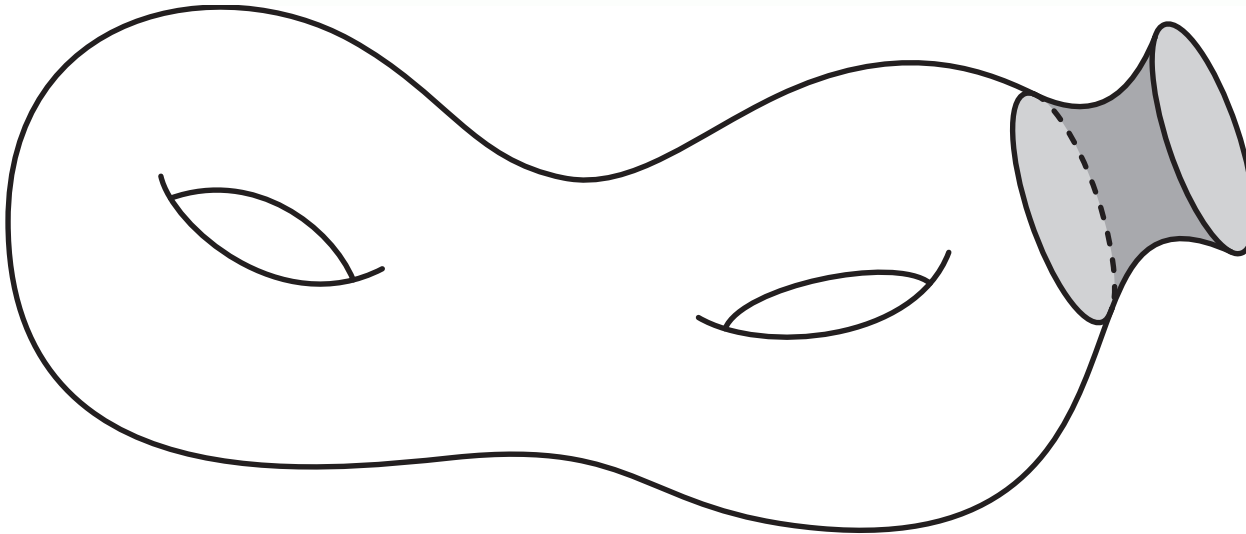
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**Theorem 4:** Under the assumptions of THM 3, if  $(M, g)$  and  $V$  are *centrally symmetric* around  $x_0$ , then there are at least  **$m$  geometrically distinct homoclinics** of **(LP)** emanating from  $x_0$ .

# *Gluing a convex collar to a manifold with boundary*



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