A hierarchy of separable commutative Calkin algebras

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MINISTRY OF EDUCATION & RELIGIOUS AFFAIRS, CULTURE & SPORTS M A N A G I N G A U T H O R I T Y



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- Let *A* be a Banach algebra. Does there exist a Banach space *X* such that the Calkin algebra of *X* is isomorphic, as a Banach algebra, to *A* ?
- The Calkin algebra of X is defined to be the space $Cal(X) = {}^{\mathcal{L}(X)}_{\mathcal{K}(X)}$, where $\mathcal{L}(X)$ denotes the space of all bounded linear operators defined on X and $\mathcal{K}(X)$ denotes the spaces of all compact operators defined on X.
- We denote by [*T*] the equivalence class of $T \in \mathcal{L}(X)$ in $\mathcal{L}(X)_{\mathcal{K}(X)}$.
- Cal(X) endowed with the operation [T] ∘ [S] = [T ∘ S] becomes a Banach algebra.

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 S. Argyros and R. Haydon in 2011 constructed a Banach space X_{AH} that satisfies the "scalar plus compact" property.

S. A. Argyros and R. Haydon: A hereditarily indecomposable \mathcal{L}_{∞} -space that solves the scalar-plus-compact problem, Acta Math. 206 (2011) 1-54.

Hence, $Cal(X_{AH})$ is one-dimensional.

 M. Tarbard in 2013 constructed a Banach space X_∞ such that Cal(X_∞) is isometric as a Banach algebra with the convolution algebra ℓ₁(N₀).

Matthew Tarbard: *Operators on Banach Spaces of Bourgain-Delbaen Type*, arXiv:1309.7469 (2013).

Theorem (P. Motakis - Daniele Puglisi - D.Z)

Let *K* be a countable compact metric space with finite Cantor-Bendixson index.

Then there exists a \mathcal{L}_{∞} space X such that its Calkin algebra is isomorphic, as a Banach algebra, to C(K).

[P.Motakis, D. Puglisi, D. Z] *A hierarchy of separable commutative Calkin algebras*, arXiv: 1407.8073.

• The basic ingredients of our method are the following:

• The Argyros Haydon space X_{AH} .

• The Argyros-Haydon sum of a sequence of separable Banach spaces $(X_n)_n$, $(\sum_n \oplus X_n)_{AH}$, introduced in

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- The space X_{AH} is a separable L[∞] space with dual isomorphic to ℓ₁.
- The construction of X_{AH} is a generalized modification of the Bourgain-Delbaen method which depends on a pair of sequences of natural numbers (m_j, n_j)_j that satisfy certain growth conditions.
- For L ⊂ N infinite, we denote by X_{AH}(L) the space constructed using the subsequence (m_j, n_j)_{j∈L}.
- For every L ⊂ N infinite, the space X_{AH(L)} shares the same properties with X_{AH}.
- Moreover, in the Argyros-Haydon paper it is shown that for $L \cap M$ is finite, then every $T : X_{AH}(L) \to X_{AH}(M)$ is compact.

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Finite sums of Banach spaces

An observation.

 Let (X_i)ⁿ_{i=1} a finite sequence of Banach spaces and assume that every bounded linear operator

$T:X_i o X_j$

is compact for every $i \neq j$. Setting $X = (X_1 \oplus \ldots \oplus X_n)_{\infty}$ it follows that Cal(X) is isometric with

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 Let k ∈ N, L₁,..., L_k pairwise disjoint infinite subsets of N and

$$X=\left(X_1\oplus\cdots\oplus X_k\right)_{\infty},$$

where $X_i = X_{AH(L_i)}$ for $i = 1, \ldots k$.

- Since L_i are pairwise disjoint we have that every $T: X_{AH(L_i)} \rightarrow X_{AH(L_i)}$ is compact for every $i \neq j$.
- Since Cal(X_i) is one dimensional, by the above observation we obtain that Cal(X) is k-dimensional.

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We will now see the Calkin algebra of the space

$$X=(\sum_n\oplus X_n)_{BD},$$

where $X_n = X_{AH}(L_n)$ for a sequence $(L_n)_n$ of pairwise disjoint infinite subsets of natural numbers.

- For a sequence (X_n)_n of separable Banach spaces, the space X = (∑_n ⊕X_n)_{BD} is called a Bourgain Delbaen L[∞] sum of (X_n)_n and is defined as a subspace of (∑⊕(X_n ⊕ ℓ_∞(Δ_n))_∞)_∞.
- The sets Δ_n are finite, pairwise disjoint and defined using the Bourgain-Delbaen method.

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The Definition of BD-sums of Banach spaces

In particular, we define linear extension operators

$$i_n: \left(\sum_{k\leq n} \oplus (X_k \oplus \ell_\infty(\Delta_k))_\infty\right)_\infty \to (\sum \oplus (X_n \oplus \ell_\infty(\Delta_n))_\infty)_\infty$$

such that

• $\sup_n \|i_n\| < \infty$.

• $(\sum_{n} \oplus X_{n})_{BD} = \overline{\bigcup_{n} Y_{n}}$, where $Y_{n} = i_{n} \Big[\Big(\sum_{k \leq n} \oplus (X_{k} \oplus \ell_{\infty}(\Delta_{k}))_{\infty} \Big)_{\infty} \Big].$

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The BD-method in AH sums of Banach spaces

• Let x be a vector in $(\sum_{k \le n} \oplus (X_k \oplus \ell_\infty(\Delta_n))_\infty)_\infty$



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The BD- method in AH-sums of Banach spaces

The vector *i_n(x)*, i.e. *x* is extended by assigning to it new values in ℓ_∞(∪_{k>n}Δ_k).



• The finite sets Δ_n are defined recursively and for each $\gamma \in \Delta_{n+1}$ we assign a linear functional $c^*_{\gamma} : (\sum_{k=1}^n \oplus (X_k \oplus \ell_{\infty}(\Delta_k))_{\infty})_{\infty} \to \mathbb{R}$ such that $i_n(x)(\gamma) = c^*_{\gamma}(x)$.



This implies that for a fixed n ∈ N, taking x_k ∈ X_k with x_k ∈ ∩<sub>γ∈∪ⁿ_{i=1}Δ_i Kerc^{*}_γ then the extended vector i_k(x_k) does not have non zero values upon Δ_i for every 1 ≤ i ≤ n.
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• Hence, for $x_k \in \bigcap_{\gamma \in \cup_{i=1}^n \Delta_i} Kerc^*_{\gamma} \cap X_k$, i = 1, ..., n

$$||i_1(x_1) + \ldots + i_n(x_n)|| \simeq \max_{1 \le k \le n} ||x_k||.$$

• Since Δ_i are finite, the above implies that

$$\langle [I_k]: k = 1, \ldots, n \rangle \simeq c_0(n).$$

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• Hence, for $x_k \in \bigcap_{\gamma \in \bigcup_{i=1}^n \Delta_i} Kerc^*_{\gamma} \cap X_k$, $i = 1, \dots, n$ $\|i_1(x_1) + \dots + i_n(x_n)\| \simeq \max_{1 \le k \le n} \|x_k\|$.

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- Without taking any further assumptions for the separable X_n , the space $X = (\sum_n \oplus X_n)_{BD}$ satisfies the following basic properties:
- $X = \sum_n \oplus i_n [X_n \oplus \ell_\infty(\Delta_n)].$
- Each X_n is isometric with $i_n[X_n]$ and complemented in X via projection I_n .
- An operator *K* defined on $X = (\sum_n \oplus X_n)_{BD}$ is called horizontally compact operator if

$$\|K\|_{\sum_{n\geq k}\oplus i_n[X_n\oplus\ell_\infty(\Delta_n)]}\|\stackrel{k\to\infty}{\to} 0.$$

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- The space $(\sum_{n} \oplus X_{n})_{BD}$ that is constructed using Bourgain-Delbaen method of constructing X_{AH} is denoted by $(\sum \oplus X_{n})_{AH}$.
- The construction of (∑⊕X_n)_{AH} depends on the same sequence of parameters (m_j, n_j)_j of X_{AH}.
- Again for L ⊂ N infinite, we denote by (∑_n⊕X_n)_{AH(L)} the space (∑⊕X_n)_{AH} constructed using the subsequence (m_j, n_j)_{j∈L}.

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- For every L ⊂ N infinite the space X = (∑_n⊕X_n)_{AH(L)} has the following additional properties:
- The dual X^{*} is isomorphic with $(\sum_n \oplus (X_n^* \oplus \ell_1(\Delta_n))_1)_1$.
- By considering some specific sequences $(X_n)_n$ of separable Banach spaces, the space X has the "scalar-plus-horizontally compact" property, i.e. every operator $T \in \mathcal{L}(X)$ is of the form $T = \lambda I + K$ where $\lambda \in \mathbb{R}$ and K a horizontally compact operator.
- For example, if X_n has the Schur property for every n ∈ N, or ℓ₁ does not embed isomorphically in X_n^{*} for every n ∈ N, then the above holds.

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Calkin algebras of AH-sums

Proposition

Let L, L_n pairwise disjoints infinite subsets of \mathbb{N} and $X_{AHsum} = (\sum \oplus X_{AH}(L_n))_{AH(L)}$. The space X_{AHsum} has the "scalar-plus-horizontally compact" property.

- Observe also that since X^{*}_{AH} ≃ ℓ₁, the space X_{AHsum} is L[∞] space.
- Moreover, Since every operator *T* : X_{AH(Ln)} → X_{AH(Lm)} is compact, we conclude that the space

$$\mathcal{L}(X_{AHsum}) = \overline{\langle I, (I_n)_n, \mathcal{K}(X_{AHsum}) \rangle},$$

where *I* denotes the identity map upon X_{AHsum} and for each *n*, I_n is the projection defined on X_{AHsum} with image isometric with $X_{AH(L_n)}$.

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• Hence $Cal(X_{AHsum}) = \mathcal{L}(X_{AHsum})/\mathcal{K}(X_{AHsum}) = \overline{\langle [I], ([I_n])_n \rangle}.$

• Using the \mathcal{L}^{∞} structure of the BD-sum $(\sum \oplus X_{AH}(L_n))_{AH(L)}$ described earlier we obtain that

$$\langle [I_k]: k = 1, \ldots, n \rangle \simeq^{C_n} c_0(n).$$

• Using the \mathcal{L}^{∞} structure of the spaces $X_{AH(L_n)}$, we have that $(C_n)_n$ is uniformly bounded and by the above we conclude that the Calkin algebra of X_{AHsum} is isomorphic to *c*.

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The Generalization of $X_{AHsum} = (\sum_n \oplus X_{AH(L_n)})_{AH(L)}$

We generalize the above concept using well founded trees

 T with a unique root such that every non maximal node of

 T has infinitely countable immediate successors.

For such a tree *T* and *L* ⊂ ℕ infinite we construct Banach spaces *X*_(*T*,*L*) using induction on the order of *T*.

The Generalization of $X_{AHsum} = (\sum_n \oplus X_{AH(L_n)})_{AH(L)}$

• We generalize the above concept using well founded trees \mathcal{T} with a unique root such that every non maximal node of \mathcal{T} has infinitely countable immediate successors.

For such a tree *T* and *L* ⊂ ℕ infinite we construct Banach spaces *X*_(*T*,*L*) using induction on the order of *T*.

For \mathcal{T} is a singleton and $L \subset \mathbb{N}$ we define $X_{(\mathcal{T},L)}$ to be the space $X_{AH}(L)$.

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Tree of rank zero:

 $\mathbf{O} \\ X_{AH(L)}$

For a tree of order one we define $X_{(\mathcal{T},L)} = (\sum \oplus X_{(\mathcal{T}_n,L_n)})_{AH(L_0)}$.

Tree of rank 1:



The definition of the spaces $X_{(\mathcal{T},L)}$

For a tree of order two we define $X_{(\mathcal{T},L)} = (\sum \oplus X_{(\mathcal{T}_n,L_n)})_{AH(L_0)}$ etc...



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There space $X_{(\mathcal{T},L)}$ is accompanied by a set of norm-one projections $I_s, s \in \mathcal{T}$.



For every tree *T* and L ⊂ N infinite, the space X_(*T*,L) is L[∞] and if o(*T*) > 0 it has the "scalar-plus-horizontally compact" property.

Note that o(T) = 0, the space X_(T,L) has the "scalar plus compact" property as it coincides with the space X_{AH(L)}.

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Operators defined on $X_{(\mathcal{T},L)}$

 For an operator S defined on X_{T,L} we denote by S_t the induced operator

 $I_t \circ S \circ I_t$

- Every S ∈ L(X_(T,L)) corresponds to a unique family (λ_t)_{t∈T} of scalars chosen to satisfy:
- If *t* is maximal (and hence X_(Tt,Lt) = X_{AH(Lt)}), S_t λ_t I_t is compact, while
- If *t* non maximal, $S_t \lambda_t I_t$ is horizontally compact.

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The Calkin algebras of $X_{(\mathcal{T},L)}$

- The functional f_S : T → ℝ that assigns to each t ∈ T the scalar λ_t, is continuous.
- We define $\overline{\Phi}_{(\mathcal{T},L)} : \mathcal{L}(X_{(\mathcal{T},L)}) \to C(\mathcal{T})$ by the rule

 $S \rightarrow f_S$.

• The induced operator

 $\Phi_{(\mathcal{T},L)}: \overset{\mathcal{L}(X_{(\mathcal{T},L)})}{\longrightarrow} \mathcal{K}(X_{(\mathcal{T},L)}) = Cal(X_{(\mathcal{T},L)}) \to C(\mathcal{T})$

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Let \mathcal{T} be a tree of finite rank and L be an infinite subset of the natural numbers. Then the map $\Phi_{\mathcal{T},L}$: $Cal(X_{(\mathcal{T},L)}) \rightarrow C(\mathcal{T})$ is bounded below.

Hence, Cal(X_(T,L)) ≃ C(T) as a Banach algebra, if *o*(T) < ω.

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Theorem (P. Motakis - Daniele Puglisi - D.Z)

Let K be a countable compact metric space with finite Cantor-Bendixson index.

Then there exists a \mathcal{L}_{∞} space X such that its Calkin algebra is isomorphic, as a Banach algebra, to C(K).

• By Sierpinski Mazurkiewichz *K* is homeomorphic to a countable ordinal number of the form $\omega^k \cdot n, k, n \in \mathbb{N}$.

• $X = \left(\sum_{i=1}^{n} \oplus X_{(\mathcal{T},L_i)}\right)_{\infty}$, where $\mathcal{T} = \omega^k$ and $(L_i)_i$ pairwise disjoint.

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- Question: is the above theorem true for every countable compact metric space?
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Indications for affirmative answers

- The dual of *Cal*(*X*(*T*,*L*)) is separable and has the Schur property.
- The Calkin algebra of $X_{(\mathcal{T},L)}$ is commutative as a Banach algebra and as a Banach space it is c_0 saturated and has the Dunford-Pettis property.

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Thank you!

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