

Sequences in Large Spaces

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Outline

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1. Weakly Null Sequences

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2. Ramsey Theory of Fronts and Barriers

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3. w^* -null sequences and the quotient problem

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12. Compact families on trees

Structure in weakly null sequences

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Theorem (Bessaga-Pelczynski, 1958)

For every $\varepsilon > 0$, every normalized weakly null sequence (x_n) contains an infinite $(1 + \varepsilon)$ -basic subsequence (x_{n_i}) .

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- (2) *For every $\varepsilon > 0$ every normalized weakly null sequence in $\mathcal{C}(\omega^\omega + 1)$ has a $(4 + \varepsilon)$ -unconditional subsequence.*

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- (2) *For every $\varepsilon > 0$ every normalized weakly null sequence in $\mathcal{C}(\omega^\omega + 1)$ has a $(4 + \varepsilon)$ -unconditional subsequence.*
- (3) *There is a normalized weakly null sequence in $\mathcal{C}(\omega^{\omega^2} + 1)$ with no unconditional subsequence.*

Finite and partial unconditionality

Theorem (Odell 1993, Dodos-LopezAbad-Todorcevic, 2011)

Let k a positive integer and $\varepsilon > 0$. Suppose that for every $i < k$ we are given a normalized weakly null sequence $(x_n^i)_{n=0}^\infty$ in some Banach space X . Then, there exists an infinite set M of integers such that for every $\{n_0 < \dots < n_{k-1}\} \subseteq M$ the k -sequence $(x_{n_i}^i)_{i < k}$ is $(1 + \varepsilon)$ -unconditional.

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Theorem (Arvanitakis 2006, Gasparis-Odell-Wahl, 2006
Todorcevic 2005)

Suppose that (x_n) is a normalized weakly-null sequence in $\ell_\infty(\Gamma)$ with the property that

$$\inf\{|x_n(\gamma)| : n \in \mathbb{N}, \gamma \in \Gamma\} > 0.$$

Then (x_n) contains an infinite unconditional basic subsequence.

Theorem (Elton 1978)

For every $0 < \varepsilon \leq 1$ there is a constant $C(\varepsilon) \geq 1$ such that every normalized weakly null sequence (x_n) has an infinite subsequence (x_{n_i}) such that

$$\left\| \sum_{i \in I} a_i x_{n_i} \right\| \leq C(\varepsilon) \left\| \sum_{j \in J} a_j x_{n_j} \right\|$$

for every pair $I \subseteq J$ of subsets of \mathbb{N} and every choice $(a_j : j \in J)$ of scalars such that $\varepsilon \leq |a_j| \leq 1$ for all $j \in J$.

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Problem (Elton unconditionality constant problem)

Is $\sup_{0 < \varepsilon \leq 1} C(\varepsilon) < \infty$?

Nash-Williams's theory of fronts and barriers

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2. \mathcal{F} is a **front** if \mathcal{F} is thin and if every infinite subset of \mathbb{N} contains an initial segment in \mathcal{F} .

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Theorem (Nash-Williams 1965)

Suppose $\mathcal{H} = \mathcal{H}_0 \cup \dots \cup \mathcal{H}_l$ is a finite partition of a thin family \mathcal{H} of finite subsets of \mathbb{N} . Then there is an infinite set $M \subseteq \mathbb{N}$ and $i < l$ such that $\mathcal{H} \upharpoonright M \subseteq \mathcal{H}_i$, where

$$\mathcal{H} \upharpoonright M = \{s \in \mathcal{H} : s \subseteq M\}.$$

Theorem (Pudlak-Rödl 1982)

For every front \mathcal{B} on \mathbb{N} and every mapping $f : \mathcal{B} \rightarrow \mathbb{N}$ there exist an infinite subset M of \mathbb{N} and a mapping $\varphi : \mathcal{B} \upharpoonright M \rightarrow [\mathbb{N}]^{<\infty}$ such that:

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- (3) for $s, t \in \mathcal{B} \upharpoonright M$, $f(s) = f(t)$ iff $\varphi(s) = \varphi(t)$.

Remark

There can be only one mapping $\varphi : \mathcal{B} \upharpoonright M \rightarrow [\mathbb{N}]^{<\omega}$ satisfying the conditions (1), (2) and (3) from the Theorem on a given infinite subset M of \mathbb{N} .

w^* -null sequences and the quotient problem

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Suppose that a Banach space X has density $< \mathfrak{m}$ and that its dual X^ has an uncountable normalized w^* -null sequence. Then X has a quotient with a Schauder basis of length ω_1 .*

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Remark

Recall that \mathfrak{m} is the Baire-category number of the class of compact Hausdorff spaces satisfying the **countable chain condition**.

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Definition

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1. The ideal $[S]^{\leq \aleph_0}$ of all countable subsets of S is a P-ideal.
2. Given a family \mathcal{F} of cardinality $< \mathfrak{b}$ the ideal

$$\mathcal{F}^\perp = \{x \in [S]^{\leq \aleph_0} : (\forall Y \in \mathcal{F}) |x \cap Y| < \aleph_0\}$$

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2. It is also known that PID is consistent with GCH.
3. It is known that PID implies, for example, the Souslin Hypothesis.

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Recall that \mathfrak{p} is the minimal cardinality of a family \mathcal{F} of infinite subsets of \mathbb{N} such that the intersection of every subfamily of \mathcal{F} is infinite but there is no infinite set $M \subseteq \mathbb{N}$ such that $M \setminus N$ is finite for all $N \in \mathcal{F}$.

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Corollary (Todorcevic 2006)

Assume PID and $\mathfrak{m} > \omega_1$. Then every non-separable Banach space has an uncountable biorthogonal system.

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PID and Asplund spaces

Definition

An **Asplund space**, or a **strong differentiability space** is a Banach space X with the property that every continuous convex function $f : U \rightarrow \mathbb{R}$ on an open convex domain $U \subseteq X$ is Fréchet differentiable in every point of a dense G_δ -subset of U .

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Remark

This is a well studied class of spaces with many pleasant properties such as the **projectional resolution of the identity** of its dual space, the **norm-fragmentability of the w^* -topology** of the dual ball, **separability of the dual of every separable subspace**, etc.

Theorem (Todorcevic 1989)

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Corollary

Assume PID. The following are equivalent:

- 1. Every non-separable Asplund space has an uncountable ε -biorthogonal system for every $\varepsilon > 0$.*

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- 1. Every non-separable Asplund space has an uncountable ε -biorthogonal system for every $\varepsilon > 0$.*
- 2. $\mathfrak{b} = \aleph_2$.*

Weakly null sequences on Polish spaces

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Theorem (Mycielski 1964)

Suppose $M_n \subseteq X^{k_n}$ ($n = 0, 1, \dots$) is a sequence of subsets of the finite powers of some fixed Polish space X and suppose that M_n is a meager subset of X^{k_n} for all n . Then there is a perfect set $P \subseteq X$ such that $[P]^{k_n} \cap M_n = \emptyset$ for all n .

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Theorem (Argyros-Dodos-Kanellopoulos 2008)

Suppose X is a Polish space and that $(f_a)_{a \in 2^{\mathbb{N}}}$ is a bounded sequence in $\ell_\infty(X)$ such that $(x, a) \mapsto f_a(x)$ is a Borel function from $X \times 2^{\mathbb{N}}$ into \mathbb{R} and that

$$|\{a \in 2^{\mathbb{N}} : f_a(x) \neq 0\}| \leq \aleph_0 \text{ for all } x \in X.$$

Then there is a perfect set $P \subseteq 2^{\mathbb{N}}$ such that the sequence $(f_a)_{a \in P}$ is 1-unconditional.

Ramsey Theory of trees

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Definition

Fix a rooted finitely branching tree U with no terminal nodes. A subtree T of U will be called a **strong subtree** if the levels of T are subsets of the levels of U and if for every $t \in T$ every immediate successor of t in U is extended by a unique immediate successor of t in T .

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Theorem (Halpern-Läuchli 1966)

For every sequence U_0, \dots, U_{d-1} of rooted finitely branching trees with no terminal nodes and for every finite colouring of the level product $U_0 \otimes \dots \otimes U_{d-1}$, we can find for each $i < d$ a strong subtree T_i of U_i such that the T_i 's share the same level set and such that the level product $T_0 \otimes \dots \otimes T_{d-1}$ is monochromatic.

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Theorem (Miliken 1981)

For every finite Borel colouring of the space $S_\infty(U)$ of all strong subtrees of U there is a strong subtree T of U such that the set $S_\infty(T)$ of strong subtrees of T is monochromatic.

A parametrized Ramsey theorem for perfect sets

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Theorem

For every countable Borel colouring of the product $[2^{\mathbb{N}}]^2 \times [\mathbb{N}]^{\infty}$ with colours that are invariant under finite changes on the second coordinate, there is a perfect set $P \subseteq 2^{\mathbb{N}}$ and an infinite set $M \subseteq \mathbb{N}$ such that the product $[P]^2 \times [M]^{\infty}$ is monochromatic.

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Example (Pol 1986)

Pol's compact set of Baire class-1 function is represented as

$$\mathbb{P} = 2^{<\mathbb{N}} \cup 2^{\mathbb{N}} \cup \{\infty\},$$

where the points of the Cantor tree $2^{<\mathbb{N}}$ are isolated, the nodes of a branch of this tree converge to the corresponding member of $2^{\mathbb{N}}$ and ∞ is the point that compactifies the rest of the space.

Theorem (Todorcevic 1999)

Suppose K is a separable compact set of Baire class-1 functions defined on some Polish space X . Let D be a countable dense subset of K , and let f be a point of K that is not G_δ in K . Then there is a homeomorphic embedding

$$\Phi : \mathbb{P} \rightarrow K$$

such that $\Phi(\infty) = f$ and $\Phi[2^{<\mathbb{N}}] \subseteq D$.

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Theorem (Argyros-Dodos-Kanellopoulos 2008)

Every infinite-dimensional dual Banach space has an infinite-dimensional quotient with a Schauder basis.

Unconditional sequences

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Theorem (Johnson-Rosenthal 1972, Hagler-Johnson 1977)

If the dual X^ of some Banach space X contains an infinite unconditional basic sequence then X admits a quotient with an unconditional basis.*

Free-set Property and Product-Ramsey Property

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Definition

We say that an index-set Γ has the **free-set property**, and write $\text{FSP}(\Gamma)$, if every algebra \mathcal{A} on Γ with no more than countably many operations has an infinite *free set*, an infinite subset X of Γ such that no $x \in X$ is in the sub algebra of \mathcal{A} generated by $X \setminus \{x\}$.

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Definition

We say that Γ has the **product-Ramsey property**, and write $\text{PRP}(\Gamma)$, if for every colouring

$$\chi : \Gamma^{<\omega} \rightarrow 2$$

of the set of all finite sequences of the index-set Γ into 2 colours there exists an infinite sequence (X_i) of 2-element subsets of Γ or, equivalently, an infinite sequence (X_i) of *infinite* subsets of Γ , such that χ is constant on $\prod_{i < n} X_i$ for all n .

Proposition

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The free-set property fails for index-sets of cardinalities $< \aleph_\omega$.

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The free-set property fails for index-sets of cardinalities $< \aleph_\omega$.

Theorem (Koepke 1984, DiPrisco-Todorcevic 1999)

The following are equiconsistent:

1. $\text{FSP}(\aleph_\omega)$.
2. $\text{PRP}(\aleph_\omega)$.
3. *There is an index set supporting a non-principal countably complete ultrafilter.*

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Theorem (Shelah 1980)

$\text{PRP}_2(\aleph_\omega)$ is consistent relative to the existence of infinitely many compact cardinals.

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Question

What is the equiconsistency result here?

Long weakly-null sequences

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Theorem (Dodos-LopezAbad-Todorcevic 2011)

If a normalized weakly null sequence $(x_i)_{i \in I}$ is indexed by a set I that has the free-set property then it contains an infinite unconditional basic subsequence.

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Theorem (Dodos-LopezAbad-Todorcevic 2011)

Suppose that $(x_\gamma)_{\gamma \in \Gamma}$ is a normalized and separated sequence in some Banach space X containing no ℓ_1 . If the index-set Γ satisfies $\text{PRP}_2(\Gamma)$ then there is an infinite sequence (β_n, γ_n) of pairs of elements of Γ such that the semi-normalized sequence $(x_{\beta_n} - x_{\gamma_n})$ is unconditional.

Corollary

It is consistent relative to the existence of a measurable cardinal that every normalized weakly null sequence of length at least \aleph_ω has an infinite unconditional subsequence.

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It is consistent relative to the existence of infinitely many compact cardinals that every Banach space of density at least \aleph_ω has an infinite unconditional basic sequence and an infinite-dimensional quotient with an unconditional basis.

Question

Can \aleph_ω be lowered to some \aleph_n in both or one of these corollaries?

Positional graphs and conditional weakly null sequences

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Fix an ordinal Γ .

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For an integer n , we say that two subsets F and G of Γ are in $\Delta(n)$ -*position* if there is a decomposition $F \cap G = I \cup J$ such that

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For a family $\mathbf{V} \subseteq [\Gamma]^{<\omega}$, we associate the corresponding **positional graph**

$$\mathcal{G}_n(\mathbf{V}) = (\mathbf{V}, \Delta(n)^c),$$

where we put an edge between two finite $F, G \in \mathbf{V}$ if they are **not** in the $\Delta(n)$ -position. Let $\mathcal{G}_n(\Gamma) = ([\Gamma]^{<\omega}, \Delta(n)^c)$.

Question

For which Γ and $\mathbf{V} \subseteq [\Gamma]^{<\omega}$, the positional graph $\mathcal{G}_n(\mathbf{V})$ is **countably chromatic**?

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$\mathcal{G}_0(\omega_1)$ is countably chromatic but $\mathcal{G}_0(\omega_2)$ is not.

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We say that a family \mathbf{V} of finite subsets of Γ is **dense** if for every infinite $A \subseteq \Gamma$ there is infinite $B \subseteq A$ such that $[B]^{<\omega} \subseteq \mathbf{V}$.

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Question

For which Γ there exist dense $\mathbf{V} \subseteq [\Gamma]^{<\omega}$ and an integer n such that the corresponding positional graph $\mathcal{G}_n(\mathbf{V})$ is countably chromatic?

Lemma (Key Fact 1)

If for some integer n there is a dense family $\mathbf{V} \subseteq [\Gamma]^{<\omega}$ such that $\mathcal{G}_n(\mathbf{V})$ is countably chromatic, then there is a normalized weakly null sequence indexed by Γ without infinite unconditional basic subsequence.

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- (2) $c(F) = c(G)$ implies that $|F| = |G|$.

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This leads us to the collection of **special functionals**

$$\mathcal{F} = \left\{ \sum_{i < k} |s_i|^{-1/2} \mathbf{1}_{s_i} : (s_i)_{i < k} \text{ is a finite } c\text{-special block-sequence} \right\}.$$

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Lemma

The weakly null sequence $(e_{\gamma})_{\gamma \in \Gamma}$ contains no infinite unconditional basic subsequence.

Countably chromatic positional graphs

Countably chromatic positional graphs

Fix a positive integer n and for each $1 \leq k \leq n$ fix

$$\rho^k : [\omega_k]^2 \rightarrow \omega_{k-1}$$

such that

1. $\rho^k(\alpha_0, \alpha_2) \neq \rho^k(\alpha_1, \alpha_2)$,
2. $\rho^k(\alpha_0, \alpha_1) \neq \rho^k(\alpha_1, \alpha_2)$,
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4. $\rho^k(\alpha_0, \alpha_1) \leq \max\{\rho^k(\alpha_0, \alpha_2), \rho^k(\alpha_1, \alpha_2)\}$.

Now, for each $0 \leq k \leq n$, we define

$$\varphi_k : [\omega_n]^{k+1} \rightarrow \omega_{n-k}$$

by letting

1. $\varphi_0 =$ the identity function on ω_n ,
2. $\varphi_k(\alpha_0, \alpha_1, \dots, \alpha_k) = \rho^{n-k+1}(\varphi_{k-1}(\alpha_0, \dots, \alpha_{k-1}), \varphi_{k-1}(\alpha_1, \dots, \alpha_k))$.

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The graph $\mathcal{G}_{2n-1}(\mathbf{V}_n)$ is countably chromatic.

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Question

Is there for every non-negative integer n a reflexive space of density \aleph_n without infinite unconditional basic sequence?

Subsymmetric sequences

A sequence (x_n) ($n < \omega$) in some Banach space X is **subsymmetric** if there is a constant $C \geq 1$ such that for every pair F and G of finite subsets of ω of the same cardinality k and every sequence a_n ($n < k$) of scalars,

$$\frac{1}{C} \left\| \sum_{n < k} a_n x_{F(n)} \right\| \leq \left\| \sum_{n < k} a_n x_{G(n)} \right\| \leq C \left\| \sum_{n < k} a_n x_{F(n)} \right\| .$$

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$$\frac{1}{C} \left\| \sum_{n < k} a_n x_{F(n)} \right\| \leq \left\| \sum_{n < k} a_n x_{G(n)} \right\| \leq C \left\| \sum_{n < k} a_n x_{F(n)} \right\| .$$

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Theorem (Tsirelson, 1972)

There is a reflexive infinite-dimensional Banach space X with no infinite subsymmetric sequence.

Theorem (LopezAbad-Todorcevic, 2013)

Let Γ be an infinite cardinal. The following are equivalent:

- (1) $\Gamma \rightarrow (\omega)_2^{<\omega}$.
- (2) Every separated normalized sequence (x_α) ($\alpha < \Gamma$) has a subsymmetric subsequence.
- (3) There are no large compact and hereditary families on Γ .

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Remark

Recall that a family \mathcal{F} of subsets of Γ is **compact** if its pointwise closure consists only of finite subsets of Γ

Recall also that such an \mathcal{F} is **hereditary** if it is closed under taking subsets.

We say that a family \mathcal{F} of subsets of Γ is **large** if every infinite subsets of Γ contains elements of \mathcal{F} of arbitrary large finite cardinality.

Theorem (Argyros-Motakis, 2014)

There is a Banach space X of density 2^{\aleph_0} with no infinite subsymmetric sequence.

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What is the minimal cardinal Γ with the property that every Banach space X of density at least Γ must contain an infinite subsymmetric sequence?

Theorem (Brech-LopezAbad-Todorcevic, 2014)

There is a Banach space X of density bigger than the first ω -Mahlo cardinal with no infinite subsymmetric sequence.

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Definition

Let \mathcal{B} and \mathcal{C} be two families of subsets of some index-set Γ and let \mathcal{H} be a family of subsets of ω .

We say that \mathcal{C} is $(\mathcal{B}, \mathcal{H})$ -**large** if every infinite sequence (t_k) of elements of \mathcal{B} has an infinite subsequence $(t_k)_{k \in M}$ such that

$\bigcup_{k \in \nu} t_k \in \mathcal{C}$ for all $\nu \in \mathcal{H} \upharpoonright M$.

We say that \mathcal{C} is **\mathcal{B} -large** if it is $(\mathcal{B}, \mathcal{S})$ -large, where \mathcal{S} is the **Schreier family** on ω .

Definition

A sequence (\mathcal{F}_n) ($n < \omega$) of families of subsets of some index set Γ is a **CL-sequence** (sequence of **consecutively large** families) whenever $\mathcal{F}_0 = [\Gamma]^{\leq 1}$ and for every $n < \omega$:

1. \mathcal{F}_n is compact and hereditary,
2. $\mathcal{F}_n \subseteq \mathcal{F}_{n+1}$,
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Theorem (Brech-LopezAbad-Todorovic, 2014)

If some cardinal Γ supports a CL-sequence then there is a Banach space X of density Γ with no infinite subsymmetric sequence.

Constructing CL-sequences

Lemma (Key 1)

Suppose T is a tree such that

- 1. There is a CL-sequence on chains of T ,*
- 2. There is a CL-sequence on the set of immediate successors of every node of T .*

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Question

For which cardinals Γ do we have a tree T of cardinality Γ satisfying the two conditions?

Definition

Recall that a **C-sequence** on a cardinal Γ is a sequence

$\vec{C} = (C_\gamma : \gamma \in \Gamma)$ such that C_γ is a closed and unbounded subset of γ for all $\gamma \in \Gamma$.

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A C-sequence $\vec{C} = (C_\gamma : \gamma \in \Gamma)$ is **small** if there is a function $f : \Gamma \rightarrow \Gamma$ such that for every limit C of a subsequence of \vec{C} we have that $\text{otp}(C) < f(\min(C))$.

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If Γ supports a small C-sequence then there is a tree T on Γ which has a CL-sequence on its chains.

If moreover, Γ is inaccessible then there is a CL-sequence on immediate successors of every node of T and therefore, there is a CL-sequence on Γ .

Define

$$\rho_0 : [\Gamma]^2 \rightarrow \mathcal{P}(\Gamma)^{<\omega}$$

recursively by

$$\rho_0(\alpha, \beta) = \langle C_\beta \cap \alpha \rangle \frown \rho_0(\alpha, \min(C_\beta \setminus \alpha))$$

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Definition

To a given C-sequence C_α ($\alpha < \Gamma$) one associates the notion of a **walk** from an ordinal $\beta < \Gamma$ to a smaller ordinal α :

$$\beta_0(\alpha) = \beta > \beta_1(\alpha) > \cdots > \beta_n(\alpha) = \alpha,$$

where $n = |\rho_0(\alpha, \beta)|$ and where

$$\beta_{i+1}(\alpha) = \min(C_{\beta_i(\alpha)} \setminus \alpha).$$

The tree $T(\rho_0)$

Let

$$T(\rho_0) = \{\rho_0(\cdot, \beta) \upharpoonright \alpha : \alpha \leq \beta < \Gamma\}.$$

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Lemma

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3. there is unique j such that $\gamma_i(\alpha) = \gamma_i(\beta)$ for all $i \leq j$ and $\alpha \leq \gamma_{j+1}(\alpha) < \beta$.