# Uniform classification of classical Banach spaces

#### Bünyamin Sarı

University of North Texas

BWB 2014

## Uniform classification question

A bijection  $\phi: X \to Y$  is a uniform homeomorphism if both  $\phi$ and  $\phi^{-1}$  are uniformly continuous. A bijection  $\phi: X \to Y$  is a uniform homeomorphism if both  $\phi$ and  $\phi^{-1}$  are uniformly continuous.

**Basic questions:** Suppose X is uniformly homeomorphic to Y. Are they linearly isomorphic? If not, how much of the linear structure is preserved?

A bijection  $\phi: X \to Y$  is a uniform homeomorphism if both  $\phi$ and  $\phi^{-1}$  are uniformly continuous.

**Basic questions:** Suppose X is uniformly homeomorphic to Y. Are they linearly isomorphic? If not, how much of the linear structure is preserved?

**Ribe '76.** The local structure is preserved: There exists  $K = K(\phi)$  such that every finite dimensional subspace of X K-embeds into Y, and vice versa.

#### Johnson-Lindenstrauss-Schechtman '96

Suppose X is uniformly homeomorphic to  $\ell_p$  for 1 . $Then X is isomorphic to <math>\ell_p$ .

#### **Johnson-Lindenstrauss-Schechtman '96** Suppose X is uniformly homeomorphic to $\ell_p$ for 1 . $Then X is isomorphic to <math>\ell_p$ .

### Godefroy-Kalton-Lancien '00

If X is Lipschitz isomorphic  $c_0$ , then X is isomorphic to  $c_0$ . If X is uniformly homeomorphic to  $c_0$ , then X is 'almost' isomorphic to  $c_0$ .

### Johnson-Lindenstrauss-Schechtman '96 Suppose X is uniformly homeomorphic to $\ell_p$ for 1 . $Then X is isomorphic to <math>\ell_p$ .

#### Godefroy-Kalton-Lancien '00

If X is Lipschitz isomorphic  $c_0$ , then X is isomorphic to  $c_0$ . If X is uniformly homeomorphic to  $c_0$ , then X is 'almost' isomorphic to  $c_0$ .

**Open** for  $\ell_1$  (Lipschitz case too)

# Idea of the proof for 1 case

• Enough to show  $\ell_2 \not\hookrightarrow X$  (follows from Ribe and Johnson-Odell)

# Idea of the proof for 1 case

- Enough to show  $\ell_2 \not\hookrightarrow X$  (follows from Ribe and Johnson-Odell)
- For  $1 \le p < 2$  Midpoint technique Enflo '69, Bourgain '87

- Enough to show  $\ell_2 \not\hookrightarrow X$  (follows from Ribe and Johnson-Odell)
- For  $1 \le p < 2$  Midpoint technique Enflo '69, Bourgain '87
- For 2 Gorelik principle**Gorelik '94**

- Enough to show  $\ell_2 \not\hookrightarrow X$  (follows from Ribe and Johnson-Odell)
- For  $1 \le p < 2$  Midpoint technique Enflo '69, Bourgain '87
- For 2 Gorelik principle**Gorelik '94**
- Alternatively, for 2 Asymptotic smoothness Kalton-Randrianarivony '08

- Enough to show  $\ell_2 \not\hookrightarrow X$  (follows from Ribe and Johnson-Odell)
- For  $1 \le p < 2$  Midpoint technique Enflo '69, Bourgain '87
- For 2 Gorelik principle**Gorelik '94**
- Alternatively, for 2 Asymptotic smoothness Kalton-Randrianarivony '08

We will give another.

### Result

**Theorem.** Suppose  $\phi: X \to Y$  is a uniform homeomorphism and Y is reflexive. Then there exists  $K = K(\phi)$  such that for all n and all asymptotic spaces  $(x_i)_{i=1}^n$  of X and all scalars  $(a_i)_{i=1}^n$ , we have

$$\|\sum_{i=1}^{n} a_i x_i\| \le K \sup \|\sum_{i=1}^{n} a_i y_i\|$$

where sup is over all  $(y_i)_{i=1}^n$  asymptotic spaces of Y.

### Result

**Theorem.** Suppose  $\phi : X \to Y$  is a uniform homeomorphism and Y is reflexive. Then there exists  $K = K(\phi)$  such that for all n and all asymptotic spaces  $(x_i)_{i=1}^n$  of X and all scalars  $(a_i)_{i=1}^n$ , we have

$$\|\sum_{i=1}^{n} a_i x_i\| \le K \sup \|\sum_{i=1}^{n} a_i y_i\|$$

where sup is over all  $(y_i)_{i=1}^n$  asymptotic spaces of Y.

If  $Y = \ell_p$ , then this means

$$\|\sum_{i=1}^{n} a_i x_i\| \le K (\sum_{i=1}^{n} |a_i|^p)^{1/p}.$$

Thus, X cannot contain  $\ell_2$  if p > 2.

### **Maurey-Milman-Tomczak-Jaegermann '94** Let X be a Banach space with a normalized basis (or a minimal system) $(u_i)$ . Write n < x < y if

 $n < \min \operatorname{supp} x < \max \operatorname{supp} x < \min \operatorname{supp} y.$ 

**Maurey-Milman-Tomczak-Jaegermann '94** Let X be a Banach space with a normalized basis (or a minimal system)  $(u_i)$ . Write n < x < y if

 $n < \min \operatorname{supp} x < \max \operatorname{supp} x < \min \operatorname{supp} y.$ 

An *n*-dimensional space with basis  $(e_i)_1^n$  is called an asymptotic space of X, write  $(e_i)_1^n \in \{X\}_n$ , if for all  $\varepsilon > 0$ 

$$\forall m_1 \exists m_1 < x_1 \ \forall m_2 \exists m_2 < x_2 \ \dots \forall m_n \ \exists m_n < x_n$$

such that the resulting blocks (called **permissible**) satisfy  $(x_i)_1^n \stackrel{1+\varepsilon}{\sim} (e_i)_1^n$ .

 $(e_i)_1^n \in \{X\}_n$  means that for all  $\varepsilon > 0$  there exists a block tree of n-levels

$$T_n = \{x(k_1, k_2, \dots, k_j) : 1 \le j \le n\}$$

so that every branch  $(x(k_1), x(k_1, k_2), \ldots, x(k_1, \ldots, k_n))$  is  $(1 + \varepsilon)$ -equivalent to  $(e_i)_1^n$ .

# Asymptotic- $\ell_p$ spaces

X is asymptotic- $\ell_p$  (asymptotic- $c_0$  for  $p = \infty$ ), if there exists  $K \ge 1$  such that for all n and  $(e_i)_1^n \in \{X\}_n$ ,  $(e_i)_1^n \stackrel{K}{\sim} \text{uvb } \ell_p^n$ .

•  $\ell_p$  is asymptotic- $\ell_p$ .

- $\ell_p$  is asymptotic- $\ell_p$ .
- $L_p$  is not. Indeed, every C-unconditional  $(x_i)_1^n \subset L_p$  is  $CK_p$ -equivalent to some asymptotic space of  $L_p$ .

- $\ell_p$  is asymptotic- $\ell_p$ .
- $L_p$  is not. Indeed, every C-unconditional  $(x_i)_1^n \subset L_p$  is  $CK_p$ -equivalent to some asymptotic space of  $L_p$ .
- Tsirelson space T is asymptotic- $\ell_1$ .

- $\ell_p$  is asymptotic- $\ell_p$ .
- $L_p$  is not. Indeed, every C-unconditional  $(x_i)_1^n \subset L_p$  is  $CK_p$ -equivalent to some asymptotic space of  $L_p$ .
- Tsirelson space T is asymptotic- $\ell_1$ .
- $T^*$  is asymptotic- $c_0$ .

Define the **upper envelope** function  $r_X$  on  $c_{00}$  by

$$r_X(a_1, \dots, a_n) = \sup_{(e_i)_1^n \in \{X\}_n} \|\sum_i^n a_i e_i\|$$

and the **lower envelope**  $g_X$  by

$$g_X(a_1,...,a_n) = \inf_{(e_i)_1^n \in \{X\}_n} \|\sum_i^n a_i e_i\|$$

Define the **upper envelope** function  $r_X$  on  $c_{00}$  by

$$r_X(a_1, \dots, a_n) = \sup_{(e_i)_1^n \in \{X\}_n} \|\sum_i^n a_i e_i\|$$

and the **lower envelope**  $g_X$  by

$$g_X(a_1,...,a_n) = \inf_{(e_i)_1^n \in \{X\}_n} \|\sum_i^n a_i e_i\|$$

• X is asymptotic- $\ell_p$  iff  $g_X \simeq \|.\|_p \simeq r_X$ .

Define the **upper envelope** function  $r_X$  on  $c_{00}$  by

$$r_X(a_1, \dots, a_n) = \sup_{(e_i)_1^n \in \{X\}_n} \|\sum_i^n a_i e_i\|$$

and the **lower envelope**  $g_X$  by

$$g_X(a_1,...,a_n) = \inf_{(e_i)_1^n \in \{X\}_n} \|\sum_i^n a_i e_i\|$$

X is asymptotic-ℓ<sub>p</sub> iff g<sub>X</sub> ≃ ||.||<sub>p</sub> ≃ r<sub>X</sub>.
r<sub>X</sub> ≃ ||.||<sub>∞</sub> implies X is asymptotic-c<sub>0</sub>.

**Theorem.** Suppose  $\phi : X \to Y$  is uniform homeomorphism, and X and Y are reflexive. Then there exists  $K = K(\phi)$  such that for all scalars  $a = (a_i) \in c_{00}$ , we have

$$\frac{1}{K}r_Y(a) \le r_X(a) \le Kr_Y(a).$$

**Theorem.** Suppose  $\phi : X \to Y$  is uniform homeomorphism, and X and Y are reflexive. Then there exists  $K = K(\phi)$  such that for all scalars  $a = (a_i) \in c_{00}$ , we have

$$\frac{1}{K}r_Y(a) \le r_X(a) \le Kr_Y(a).$$

**Corollary.** Suppose X is uniformly homeomorphic to a reflexive asymptotic- $c_0$  space. Then X is asymptotic- $c_0$ .

**Theorem.** Suppose  $\phi : X \to Y$  is uniform homeomorphism, and X and Y are reflexive. Then there exists  $K = K(\phi)$  such that for all scalars  $a = (a_i) \in c_{00}$ , we have

$$\frac{1}{K}r_Y(a) \le r_X(a) \le Kr_Y(a).$$

**Corollary.** Suppose X is uniformly homeomorphic to a reflexive asymptotic- $c_0$  space. Then X is asymptotic- $c_0$ .

Example.  $T^*$ 

**Theorem.** Suppose  $\phi: X \to Y$  is a uniform homeomorphism and Y is reflexive. Then for all  $(e_i)_1^k \in \{X\}_k$ , integers  $(a_i)_1^k$  and  $\varepsilon > 0$ , there exist permissible  $(x_i)_1^k$  in X with  $(x_i)_1^k \stackrel{1+\varepsilon}{\sim} (e_i)_1^k$  and permissible tuple  $(h_i/||h_i||)_1^k$  in Y with  $||h_i|| \leq K|a_i|$ (K depends only on  $\phi$ ) such that

$$\left\|\phi\left(\sum_{i=1}^{k}a_{i}x_{i}\right)-\sum_{i=1}^{k}h_{i}\right\|\leq\varepsilon$$