

On hereditary approximation property

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Definition A Banach space X has the *approximation property* provided that, for every compact set $K \subset X$ and every $\epsilon > 0$, there exists a finite rank operator $T : X \rightarrow X$ such that $\|Tz - z\| < \epsilon$ for every $z \in K$.

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Examples of spaces with HAP:

- Johnson (1980) : for suitable $k_n \uparrow \infty$ and $p_n \rightarrow 2$, the space $X = \left(\sum_n \oplus \ell_{p_n}^{k_n} \right)_{\ell_2}$ has HAP and is not isomorphic to ℓ_2
- Johnson (1980): the 2-convexified Tsirelson's space $T^{(2)}$

- Pisier (1988): weak Hilbert spaces have HAP

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Definition (Pisier) A Banach space X is a *weak Hilbert space* provided there exist $\delta > 0$ and $K \geq 1$ such that: every finite-dimensional subspace $E \subseteq X$ contains a further subspace F with $\dim F \geq \delta \dim E$ and

$$d\left(F, \ell_2^{\dim F}\right) \leq K \quad \text{and} \quad \|P : X \rightarrow F\| \leq K.$$

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It is known that weak Hilbert spaces satisfy some very strong types of approximation properties, in particular they admit a finite-dimensional Schauder decomposition (Maurey-Pisier)

Question: Does every weak Hilbert space have a Schauder basis?

Spaces which do not have HAP

Szankowski (1978): a Banach space X does not have HAP (i.e., admits a subspace *without* the approximation property) whenever

$$p(X) = \sup\{p : X \text{ has type } p\} < 2$$

or

$$q(X) = \inf\{q : X \text{ has cotype } q\} > 2.$$

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X has *type* p (≤ 2) if there exists $C > 0$ such that for all n and $x_1, \dots, x_n \in X$

$$\left(\int_0^1 \left\| \sum_{i=1}^n r_i(t)x_i \right\|^2 dt \right)^{1/2} \leq C \left(\sum_{i=1}^n \|x_i\|^p \right)^{1/p}$$

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The rate of growth of $\{d_n(X)\}$ needed is of (inverse) Ackermann type: for a fixed $A > 1$, define $D : \mathbb{N} \rightarrow \mathbb{N}$ by $D(j) = 3[A^j]$ and let

$$\gamma(j) = \underbrace{D \circ D \circ \dots \circ D}_{3^{j+1}}(1).$$

The theorem requires $d_{\gamma(j)}(X) = o(\beta^{-j})$, for some $\beta < 1$.

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When the rate of growth of $d_n(X)$ is at least the same as $(\log n)^\beta$, for some $\beta > 1$, then X does not necessarily have HAP.

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Theorem (A-Chlebovec) *Let \mathcal{X} be the class of Banach spaces X which have cotype 2 and are of the form $X = \ell_2(Z)$, for some Banach space Z . Let X in \mathcal{X} and assume that there exist constants $\alpha > 0, \beta > 1$ such that*

$$d_n(X) \geq \alpha (\log n)^\beta \quad \forall n.$$

Then X does not have HAP.

Criterion (Enflo): Assume that in a Banach space Z there exist bounded sequences $\{z_k\}_k \subset Z$, $\{z_k^*\}_k \subset Z^*$ such that

(i) $z_k^*(z_k) = 1$, for all k , and $z_k^* \xrightarrow{w^*} 0$.

(ii) for every linear operator $T : Z \rightarrow Z$ and n we have

$$|\beta_n(T) - \beta_{n-1}(T)| \leq \|T\| \alpha_n$$

with $\sum_n \alpha_n < \infty$, where

$$\beta_n(T) = \frac{1}{2^n} \sum_{2^n \leq k < 2^{n+1}} z_k^*(Tz_k)$$

Then Z does not have the bounded approximation property.

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Proof $\beta_j(I) = 1$ for all j and $\beta_j(T) \rightarrow 0$ if T has finite rank. Then, for any T of finite rank

$$\begin{aligned} \|I - T\|_{[z_k]_{2^n \leq k < 2^{n+1}}} &\geq |\beta_n(I - T)| \geq 1 - |\beta_n(T)| \\ &\geq 1 - \sum_{j=n}^{\infty} |\beta_{j+1}(T) - \beta_j(T)| \geq 1 - \|T\| \sum_{j=n}^{\infty} \alpha_j. \end{aligned}$$

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Definition Let X be a Banach space. For $n = 1, 2, \dots$, let $\kappa_n(X) \geq 1$ be the smallest constant κ such that for every 1-unconditional normalized sequence of vectors $\{u_i\}_{i=1}^l$ in X , with $1 \leq l \leq n$, one has

$$\kappa^{-1}\sqrt{l} \leq \left\| \sum_{i=1}^l u_i \right\| \leq \kappa\sqrt{l}$$

[We say that X has *property (H)* provided $\kappa(X) := \sup_n \kappa_n(X) < \infty$].

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Proposition (Nielsen-Tomczak J.) *Let X be a Banach space which has type 2. There is a universal constant $C \geq 1$ such that, for all n ,*

$$d_n(X) \leq CT_2(X)^3 \kappa_n(\ell_2(X)).$$

This means: for all $n \geq 1$ there are 1-unconditional normalized vectors $\{z_1, \dots, z_n\} \subset \ell_2(X)$ such that either

$$\left\| \sum_{i=1}^n z_i \right\|_{\ell_2(X)} > cd_n(X) n^{1/2}$$

or

$$\left\| \sum_{i=1}^n z_i \right\|_{\ell_2(X)} < \frac{1}{cd_n(X)} n^{1/2}.$$

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When X has type 2 the first alternative fails.

Proposition *Let X be a Banach space which has type 2. There is $c = c(T_2(X)) > 0$ such that, for all $n \geq 1$, there exists a 1-unconditional normalized sequence of vectors $\{z_i\}_{i=1}^n \subset \ell_2(X)$ with*

$$\left\| \sum_{i=1}^n z_i \right\|_{\ell_2(X)} \leq \frac{1}{cd_n(X)} n^{1/2}.$$

Theorem *Let X be a Banach space which has cotype 2. Assume there exist constants $\alpha > 0$ and $\beta > 1$ such that*

$$d_n(X) \geq \alpha (\log(n))^\beta \quad \forall n.$$

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For all n , we use (similarly as Szankowski) a partition ∇_n of $\{2^n, \dots, 2^{n+1} - 1\}$. For $A \in \nabla_n$ we can find $\{e_i^*\}_{i \in A} \in \ell_2(X^*)$ such that

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Let $\{e_j\}_{j \in A} \subset \ell_2(X)$ such that $e_i^*(e_j) = \delta_{ij}$. Denote $X_A = \text{span}\{e_i\}_{i \in A}$ and then set

$$Y = \left(\sum_{n=1}^{\infty} \sum_{A \in \nabla_n} \bigoplus X_A \right)_{\ell_2} \subset \ell_2(X).$$

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with basis vectors $f_i = (0, \dots, 0, e_i, 0, \dots) \in Y$ ($e_i \in X_A$ in its corresponding position for $i \in A$)

$$Y^* = \left(\sum_{n=1}^{\infty} \sum_{A \in \nabla_n} \bigoplus X_A^* \right)_{\ell_2}$$

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Subspace without AP : $Z = \overline{\text{span}}\{z_i\}_i \subset Y$

$$z_i = f_{2i} - f_{2i+1} + f_{4i} + f_{4i+1} + f_{4i+2} + f_{4i+3}$$

It remains to check Enflo's criterion for $\{z_i\}_i, \{z_i^*\}$

$$z_i^* = \frac{1}{2}(f_{2i}^* - f_{2i+1}^*)|_Z = \frac{1}{4}(f_{4i}^* + f_{4i+1}^* + f_{4i+2}^* + f_{4i+3}^*)|_Z$$

Criterion (Enflo): Assume that in Z there exist bounded sequences $\{z_i\}_i \subset Z$, $\{z_i^*\}_i \subset Z^*$ s. t.

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with $\sum_n \alpha_n < \infty$, where

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Then Z does not have the bounded approximation property.

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- $|\beta_n(T) - \beta_{n-1}(T)| \leq \frac{1}{2^{n+1}} \sum_{A \in \nabla_{n+1}} \left| \sum_{i \in A} f_i^*(Ty_i) \right|$
 $= \frac{1}{2^{n+1}} \sum_{A \in \nabla_{n+1}} \left| \int_0^1 \left(\sum_{i \in A} r_i(t) f_i^* \right) \left(\sum_{i \in A} r_i(t) Ty_i \right) dt \right|$

$$\begin{aligned}
&\leq \frac{1}{2^{n+1}} \sum_{A \in \nabla_{n+1}} \max_{\varepsilon_i = \pm 1} \left\{ \left\| \sum_{i \in A} \varepsilon_i f_i^* \right\| \left\| T \left(\sum_{i \in A} \varepsilon_i y_i \right) \right\| \right\} \\
&\leq \frac{1}{2^{n+1}} \frac{2^{n+1}}{m_{n+1}} \|T\| \max_{A, \varepsilon_i = \pm 1} \left\| \sum_{i \in A} \varepsilon_i f_i^* \right\| \cdot \max_{A, \varepsilon_i = \pm 1} \left\| \sum_{i \in A} \varepsilon_i y_i \right\|
\end{aligned}$$

(here we used $|A| = m_{n+1} = 2^{(n+1)/8}$)

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- $\left\| \sum_{i \in A} \varepsilon_i f_i^* \right\| \leq \frac{c}{d_{|A|}(X^*)} |A|^{1/2} = \frac{c}{(\log(m_{n+1}))^\beta} m_{n+1}^{1/2}$

$$\leq \frac{1}{2^{n+1}} \sum_{A \in \nabla_{n+1}} \max_{\varepsilon_i = \pm 1} \left\{ \left\| \sum_{i \in A} \varepsilon_i f_i^* \right\| \left\| T \left(\sum_{i \in A} \varepsilon_i y_i \right) \right\| \right\}$$

$$\leq \frac{1}{2^{n+1}} \frac{2^{n+1}}{m_{n+1}} \|T\| \max_{A, \varepsilon_i = \pm 1} \left\| \sum_{i \in A} \varepsilon_i f_i^* \right\| \cdot \max_{A, \varepsilon_i = \pm 1} \left\| \sum_{i \in A} \varepsilon_i y_i \right\|$$

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- special choice of A 's : $\left\| \sum_{i \in A} \varepsilon_i y_i \right\| \leq 9|A|^{1/2} = 9m_{n+1}^{1/2}$

($y_i =$ a linear combination of 9 vector basis f_j)

Thus

$$|\beta_n(T) - \beta_{n-1}(T)| \leq c \|T\| \frac{1}{(\log(m_{n+1}))^\beta}$$

with $m_{n+1} = 2^{(n+1)/8}$.

Since $\beta > 1$, Enflo's criterion is satisfied:

$$\sum_n \frac{1}{(\log(m_{n+1}))^\beta} < \infty.$$



Final remarks

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The parameters $k_n \uparrow \infty$ and $p_n \rightarrow 2$ can be chosen such that X has HAP and

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Question Is it true that $\ell_2(X)$ does not have HAP?