



Classical and quantum satisfiability <sup>1</sup>

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# CLASSICAL AND QUANTUM SATISFIABILITY

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# Objectives

*General:* To analyze the main quantum and classical time-complexity classes ( $P$ ,  $NP$ ,  $BQP$  and  $QMA$ ), studying  $NP$  and  $QMA$  complete problems.

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*General:* To analyze the main quantum and classical time-complexity classes ( $P$ ,  $NP$ ,  $BQP$  and  $QMA$ ), studying  $NP$  and  $QMA$  complete problems.

*Specific:* To show that the existing quantum versions of the satisfiability problem ( $SAT$ ) do not allow an adequate logical analysis of the relationship between  $NP$  and  $QMA$ .

# Motivation

*General:* There are quantum algorithms that efficiently solves some important problems, for example: (1) *Shor's algorithm* is a quantum algorithm for integer factorization which takes time  $O((\log n)^3)$ ; (2) *Grover's algorithm* is a quantum algorithm for searching an unsorted database with  $n$  entries in  $O(\sqrt{n})$  time.

# Motivation

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*Specific:* There are classical and quantum problems that *at first glance* seem similar, notably: *probabilistic satisfiability problem (PSAT)* and *quantum satisfiability problem (QSAT)*. Both are probabilistic problems and can be viewed as linear program problems. In (Finger and de Bona, 2010), a polynomial-time reduction of *PSAT* to *SAT* was given. *PSAT* is *NP*-complete and *QSAT* is *QMA*-complete. So...

# Problem

Can we use *PSAT* and *QSAT* to compare the classes *NP* and *QMA*?

# Strategy

- To put *SAT* and *QSAT* in the same framework.
- To investigate whether all *SAT*-instances are *QSAT*-instances, and vice-versa.



# Quantum satisfiability problem (Kitaev-Bravyi)

*Input:* A set with  $m$  local density matrices  $|v_m\rangle\langle v_m| \otimes I_{n-k}$  defined on a Hilbert space  $\mathcal{H}^{\otimes n}$  of  $n$  qubits (dimension  $2^n$ ), where each  $|v_m\rangle$  is a vector in the subspace  $\mathcal{H}^{\otimes k} \subseteq \mathcal{H}^{\otimes n}$  and  $I_{n-k}$  is the identity operator on  $\mathcal{H}^{\otimes n-k}$ . For  $|v_m\rangle\langle v_m| \otimes I_{n-k} = (a_{ij}^m)$ , the condition of *locality* means that  $a_{ij}^m$  is given with  $poly(n)$  many bits.

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*Problem:* Is there a vector  $|v\rangle$  in  $\mathcal{H}^{\otimes n}$  such that

$$\sum_{l=1}^m \langle v | (|v_l\rangle\langle v_l| \otimes I_{n-k}) |v\rangle = 0?$$

Or, for each vector  $|v\rangle$  in  $\mathcal{H}^{\otimes n}$ , is it true that

$$\sum_{l=1}^m \langle v | (|v_l\rangle\langle v_l| \otimes I_{n-k}) |v\rangle \geq \epsilon, \text{ where } \epsilon \geq n^{-\alpha} \text{ for } \alpha = O(1) \text{ is a fixed precision parameter?}$$

## Intuition behind QSAT

- The reduced density matrices  $|v_I\rangle\langle v_I| \otimes I_{n-k}$  correspond to unsatisfying assignments of clauses  $\psi_I$  of a propositional formula  $\phi$  in CNF.

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- A vector  $|v\rangle$  in  $\mathcal{H}^{\otimes n}$  is such that  $|v_I\rangle\langle v_I| \otimes I_{n-k}|v\rangle = 0$  if, and only if,  $v$  is an assignment to the  $n$  variables which satisfies the clause  $\psi_I$ .

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- The reduced density matrices  $|v_l\rangle\langle v_l| \otimes I_{n-k}$  correspond to unsatisfying assignments of clauses  $\psi_l$  of a propositional formula  $\phi$  in CNF.
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- $\phi$  is satisfiable if, and only if,  $\sum_{l=1}^m \langle v | (|v_l\rangle\langle v_l| \otimes I_{n-k}) |v\rangle = 0$  for some  $|v\rangle \in \mathcal{H}^{\otimes n}$ .

## Example (Aharonov and Naveh, 2002)

For the clause  $\psi_I = x \vee y \vee \neg z$ , we have the Hermitian matrix

$$H_I = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix} = |001\rangle\langle 001|,$$

since (001) is the only unsatisfying assignment for  $\psi_I$ . In this case,  $v$  is an assignment to the  $n$  variables which satisfies the clause  $\psi_I$  if, and only if,  $|v\rangle$  in  $\mathcal{H}^{\otimes n}$  is such that  $H_I \otimes I_{n-3}|v\rangle = 0$ .

## Some difficulties in the previous example

- It does not work a clause  $\psi'_1 = \neg x_1 \vee x_2 \vee \neg x_3$  in QSAT: (101) is the only unsatisfying assignment in this case and  $H'_1$  will not be a density matrix since  $\text{tr}(H'_1) > 1$ .

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- This shows that it is necessary a condition of normalization.
- Moreover, the matrices only have elements 0 and 1, but the original problem is general: any polynomial computable complex number which satisfies the condition of normalization can be an element of the matrices.

# QSAT<sup>l</sup>: a logical version of QSAT

- Let  $\psi_l$  be a clause of a propositional formula  $\phi$  in CNF with degree  $(k, n)$ , where  $k$  is the number of literal in each clause and  $n$  the number of variables in  $\phi$ . A *quantum assignment* to  $\psi_l$  is a  $2^n \times 2^n$ -matrix  $\|\psi_l\|_v$  on  $\mathbb{C}_2^{\otimes n}$  such that

$$\|\psi_l\|_v = A_l(|v(x_{l_1}) \cdots v(x_{l_k})\rangle \langle v(x_{l_1}) \cdots v(x_{l_k})| \otimes I_{n-k}),$$

where  $\{x_{l_1}, \dots, x_{l_k}\} = \text{var}(\psi_l)$ ,  $v \in \text{Eval}(\phi)$  is such that, for all  $l$  with  $1 \leq l \leq m$ ,  $\hat{v}(\psi_l) = 0$ ,  $A_l$  is matrix for which  $\text{tr}(\|\psi_l\|_v) = 1$ ,  $\|\psi_l\|_v = \|\psi_l\|_v^{T*}$ ,  $\langle u | \|\psi_l\|_v | u \rangle \geq 0$  for all  $|u\rangle \in \mathbb{C}_2^{\otimes n}$  and  $a_{ij}^l \neq 0$  for  $i = j$  but  $a_{ij}^l = 0$  for  $i \neq j$ .

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- Given an  $\epsilon \geq n^{-\alpha}$  for  $\alpha = O(1)$ ,  $\phi$  is *quantum satisfiable* if there is  $|w\rangle \in \mathbb{C}_2^{\otimes n}$  for which  $|v\rangle = |w\rangle + |v(x_1) \cdots v(x_n)\rangle$  is such that

$$\sum_{l=1}^m \langle v | (|v_l\rangle \langle v_l| \otimes I_{n-k}) | v \rangle = 0.$$

Otherwise,  $\phi$  is *quantum unsatisfiable*, i.e., for each vector  $|v\rangle$  in  $\mathcal{H}^{\otimes n}$ , is it true that

$$\sum_{l=1}^m \langle v | (|v_l\rangle \langle v_l| \otimes I_{n-k}) | v \rangle \geq \epsilon$$

## Another example

Take the formula  $\phi = (x \vee \neg y) \wedge (x \vee z)$ . The assignment  $v \in \text{Eval}(\phi)$  such that  $v(x) = 0$ ,  $v(y) = 1$  and  $v(z) = 0$  is such that  $\hat{v}(x \vee \neg y) = \hat{v}(\neg x \vee z) = 0$  and so  $\hat{v}(\phi) = 0$ . In this case,

$$|v(x)v(y)\rangle\langle v(x)v(y)| = |01\rangle\langle 01| = |0\rangle \otimes |1\rangle\langle 0| \otimes \langle 1| = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

and

$$|v(x)v(z)\rangle\langle v(x)v(z)| = |00\rangle\langle 00| = |0\rangle \otimes |0\rangle\langle 0| \otimes \langle 0| = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

As  $k = 2$  and  $n = 3$ ,  $\|x \vee \neg y\|_{\vee}$  and  $\|x \vee z\|_{\vee}$  are, respectively, the following matrices:

$$A_1 \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}, A_2 \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix},$$

for some appropriate  $8 \times 8$ -matrices  $A_1$  and  $A_2$ .

However,  $|v(x)v(y)v(z)\rangle = |010\rangle = |0\rangle \otimes |1\rangle \otimes |0\rangle$  is the vector

$$\begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}.$$

Since  $A_1 \|x \vee \neg y\|_v |v(x)v(y)v(z)\rangle = 0$  but

$A_1 \|x \vee z\|_v |v(x)v(y)v(z)\rangle \neq 0$  for any matrices  $A_1$  and  $A_2$ , we conclude that  $\phi$  is quantum unsatisfiable.

# QSAT<sup>1</sup> is not an adequate generalization of SAT

## Theorem

Let  $\phi$  be a propositional formula in CNF with degree  $(k, n)$  such that  $\text{var}(\phi) = \{x_1, \dots, x_n\}$ . Suppose that  $\phi$  is satisfiable and  $\psi_p$  as well as  $\psi_q$  are clauses of  $\phi$  such that  $\text{var}(\psi_p) \neq \text{var}(\psi_q)$ . Then, there exists an assignment  $v \in \text{Eval}(\phi)$  such that, for all  $l$ ,  $\hat{v}(\psi_l) = 0$  but there is no vector  $|w\rangle$  in  $\mathbb{C}_2^{\otimes n}$  such that, for  $|v\rangle = |w\rangle + |v(x_1) \cdots v(x_n)\rangle$ , both  $\langle v | \|\psi_p\|_v |v\rangle = 0$  and  $\langle v | \|\psi_q\|_v |v\rangle = 0$ .

## Proof (idea).

- Permutations of the conjunctions and disjunctions occurring in  $\phi$  do not change its classical truth-value.
- Put the literals of  $\psi_p$  and  $\psi_q$  that have different variables in the same position in  $\|\psi_p\|_v$  and  $\|\psi_q\|_v$ .



## *Present work*

- The logical relationship between *SAT* and *QSAT* was made explicit. It was shown that the connection between them is only superficial and not deep enough to allow a direct comparison between *NP* and *QMA*.



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- Variations of  $QSAT$  more closed to  $PSAT$  are just stoquastic versions of  $QSAT$ . Thus, the same limitations exhibited here also are applicable to them.

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- Variations of  $QSAT$  more closed to  $PSAT$  are just stoquastic versions of  $QSAT$ . Thus, the same limitations exhibited here also are applicable to them.
- Is there a  $QMA$ -complete problem that, from a logical point of view, is an appropriate quantum generalization of  $SAT$ ?

## Future work

- If  $QSAT^I$  is  $QMA$ -complete, then the answer is “No!” and perhaps this fact can be used to show that  $NP \not\subseteq QMA$ .

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- If  $QSAT^I$  is *not*  $QMA$ -complete, then the answer is, perhaps, “Yes!” and we don’t know what say about the question “ $NP \subseteq QMA$ ?”!